Non-Functional Requirements

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Non-Functional Requirements
Practices and Recommendations:
A Brief Synopsis

- Why
- What
- Some Classification Schemes
- NFRs and RE Processes
- Product-Oriented Approach: Some Individual NFRs
- The NFR Framework
- Appendix
  - With Rational Unified Process and UML
  - With Volere Requirements Specification Templates
  - Others
Why Non-Functional Requirements (NFRs)?

- Consider a brochure from an automobile manufacturer:
  - When you buy our car, you can now drive to a store...

- Consider a brochure from a cellular phone manufacturer:
  - When you buy our cellular phone, you can now call your friend.
  - Well, …
Non-Functional Concerns drive just about everything

- **With cellular phones:**
  "... enhancements enable the *best possible* operation of your mobile ... in *various conditions*. ...

  The earpiece fits in either ear allowing for *convenient* and *reliable* access to *all basic call controls*. ... To *maximize call security*, the headset also supports ... the wireless connection for *compatible* ... models."

- **With home networking:**
  "... is the total home networking solution ... linking variety of digital home appliances as one.

  It enables you to enjoy *convenient, pleasant, comfortable*, and *reliable* living environment *at any time and any place*."

---

*NF*
Why NFRs?

- **With CASE tool software:**
  - The basic function is provision of some services
  - “… is a powerful, easy-to-use application definition platform used by business experts to quickly assemble functionally rich simulations of Web-based applications in a matter of hours. … Using the easy to learn, drag-and-drop paradigm …, business people can quickly lay out the page flow of simulations and create high fidelity pages that precisely mimic not only the look and feel of the final application, …”
Software is harder than hardware

Soft is harder to deal with than hard
What are Non-Functional Requirements?

- *-ilities*: understandability, usability, modifiability, inter-operability, reliability, portability, dependability, flexibility, availability, maintainability, scalability, (re-)configurability, customizability, adaptability, stability, variability, volatility, traceability, verifiability, predictability, compatibility, survivability, accessibility, …

- *-ities*: security, simplicity, clarity, ubiquity, integrity, safety, modularity, nomadicity, …

- *-ness*: user-friendliness, robustness, timeliness, responsiveness, correctness, completeness, conciseness, cohesiveness, …

...and there are many more: convenience, comfort, performance, efficiency, accuracy, precision, slim, esthetics, consistency, coherence, fault tolerance, self-healing capability, autonomy, cost, development time, time-to-market, long-lasting battery, low coupling, …

soft subjective, ambiguous, conflicting, global
NFRs: IEEE definition

“**non functional requirement** – in software system engineering, a software requirement that describes *not what* the software will do, *but how* the software will do it, for example, software performance requirements, software external interface requirements, design constraints, and software quality attributes. Nonfunctional requirements are difficult to test; therefore, they are usually evaluated subjectively.”

**General Observations**

“**non functional requirement** – generally informally stated, often contradictory, difficult to enforce during development and evaluate for the customer prior to delivery”

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NFRs: subjective in both definitions & solutions

Classification is supposed to bring order into chaos, but ...

Classification 1 [Bauer, IEEE Computer 1985]
- 6 classes + 2-3 levels

- **Interface requirements**: describe how the system is to interface with its environment, users and other systems. E.g., user interfaces and their qualities (e.g., user-friendliness)

- **Performance requirements**: describe performance constraints involving
  - time/space bounds, such as workloads, response time, throughput and available storage space. E.g., “system must handle 100 transactions/second”
  - reliability involving the availability of components and integrity of information maintained and supplied to the system. E.g., “system must have less than 1hr downtime/3 months”
  - security, such as permissible information flows
  - survivability, such as system endurance under file, natural catastrophes

- **Operating requirements**: include physical constraints (size, weight), personnel availability, skill level considerations, system accessibility for maintenance, etc.

- **Lifecycle requirements**: can be classified under two subcategories:
  - quality of the design: measured in terms such as maintainability, enhanceability, portability.
  - limits on development, such as development time limitations, resource availability, methodological standards, etc.

- **Economic requirements**: immediate and/or long-term costs

- **Political requirements**
NFRs:

*subjective* in both definitions & solutions

Classification 2 - Process, Product and External considerations

3 classes + 2 levels
NFRs: subjective in both definitions & solutions

Classification 3
3 classes + 3 levels

McCall’s NFR list

Product operation
- usability
- integrity
- efficiency
- correctness
- reliability
- maintainability
- testability
- flexibility
- reusability
- portability
- interoperability

Product revision
- operability
- training
- communicativeness
- I/O volume
- I/O rate
- Access control
- Access audit
- Storage efficiency
- execution efficiency
- traceability
- completeness
- accuracy
- error tolerance
- consistency
- simplicity
- conciseness
- instrumentation
- expandability
- generality
- Self-descriptiveness
- modularity
- machine independence
- s/w system independence
- comms. commonality
- data commonality

Note correlations
# NFRs:

**subjective** in both definitions & solutions

<table>
<thead>
<tr>
<th>Classification 4</th>
<th>4+ classes + 2 levels</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dimensions of Quality – Components of FURP+</td>
<td></td>
</tr>
<tr>
<td><strong>F</strong>unctionality</td>
<td>Feature set capabilities, security, generality</td>
</tr>
<tr>
<td><strong>U</strong>sability</td>
<td>Human factors aesthetics, consistency, documentation</td>
</tr>
<tr>
<td><strong>R</strong>eliability</td>
<td>Frequency/severity of failure, recoverability, predictability, accuracy, [MTBF]</td>
</tr>
<tr>
<td><strong>P</strong>erformance</td>
<td>Speed efficiency, resource usage, throughput, response time</td>
</tr>
<tr>
<td><strong>S</strong>upportability</td>
<td>Testability, Extensibility</td>
</tr>
<tr>
<td></td>
<td>Adaptability, Maintainability</td>
</tr>
<tr>
<td></td>
<td>Compatibility, Configurability</td>
</tr>
<tr>
<td></td>
<td>Serviceability, Installability</td>
</tr>
<tr>
<td></td>
<td>Localizability, Robustness</td>
</tr>
</tbody>
</table>

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[Grady1992]
NFRs:

**subjective** in both definitions & solutions

Classification 5
2 classes + 3 levels

Software Quality Tree [Boehm 1976]

NFRs:
- portability
- reliability
- as-is utility
- efficiency
- human engineering
- testability
- maintainability
- understandability
- modifiability
- device-independence
- self-containedness
- accuracy
- completeness
- robustness/integrity
- consistency
- accountability
- device efficiency
- accessibility
- communicativeness
- self-descriptiveness
- structuredness
- conciseness
- legibility
- augmentability

Note correlations
NFRs: *subjective* in both definitions & solutions

Relationship Between Design Goals

Client (Customer, Sponsor)
- Low cost
- Increased Productivity
- Backward-Compatibility
- Traceability of requirements
- Rapid development
- Flexibility

Runtime Efficiency
- Functionality
- Ease of *Use*
- Ease of learning
- Fault tolerant
- Robustness

Reliability
- Portability
- Good Documentation

Minimum # of errors
- Modifiability, Readability
- Reusability, Adaptability
- Well-defined interfaces

End User
- User-friendliness
- Flexibility
- Portability

Developer/ Maintainer
- Minimum # of errors
- Modifiability, Readability
- Reusability, Adaptability
- Well-defined interfaces

Adapted from [Brugge]
NFRs & RE Processes: Why?

Quality of product $\rightarrow$ Quality of Process

- Garbage in garbage out, so get the right requirements
- Garbage thru garbage out, so get the right process

Evolution is inevitable – traceability is a virtue

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Approaches to NFRs

Measurement of products or systems is absolutely fundamental to the engineering process. I am convinced that measurement as practised in other engineering disciplines is IMPOSSIBLE for software engineering [Sommerville; http://www.utdallas.edu/~chung/SE3354Honors/IEEInaugural.pdf]

- **Product vs. Process?**
  - **Product-oriented Approaches**
    - Focus on system (or software) quality
    - Aim is to have a way of measuring the product once it’s built – metrics
  - **Process-oriented Approaches**
    - Focus on how NFRs can be used in the design process
    - Aim is to have a way of making appropriate design decisions

- **Quantitative vs. Qualitative?**
  - **Quantitative Approaches**
    - Find measurable scales for the quality attributes
    - Calculate degree to which a design meets the quality targets
  - **Qualitative Approaches**
    - Study various relationships between quality goals
    - Reason about trade-offs etc.

The most important things can't be measured [Deming]

Not everything that can be counted counts, and not everything that counts can be counted. [Albert Einstein]
NFRs & RE Processes:

So, where are NFRs in an RE Process?

- Before FRs?
- After FRs?
- At the same time with FRs?
- ...and what about Business objectives/goals, system architectures, system models, SS, SRS, ...?

But, should we perhaps better know about the various relationships between NFRS and such and such, before answering these questions, more clearly, understandably, concisely, precisely, agreeably, ...?
Product-oriented approaches

Making Requirements Measurable

We have to turn our vague ideas about quality into measurables

The Quality Concepts
(abstract notions of quality properties)

Measurable Quantities
(define some metrics)

Counts taken from Design Representations
(realization of the metrics)

reliability
mean time to failure?
run it and count crashes per hour???

complexity
information flow between modules?
count procedure calls???

usability
time taken to learn how to use?
minutes taken for some user task???
Product-oriented approaches

<table>
<thead>
<tr>
<th>Property</th>
<th>Metric</th>
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<tbody>
<tr>
<td>Speed</td>
<td>transactions/sec, response time, screen refresh time</td>
</tr>
<tr>
<td>Size</td>
<td>KBytes, LOCs, Function Points, Complexity measures</td>
</tr>
<tr>
<td>Ease of use</td>
<td>transactions/sec, response time, screen refresh time</td>
</tr>
</tbody>
</table>

*usual metrification process:*
1. determine a set of desirable attributes (i.e., ilities)
2. determine relative importance/weight of such attributes
3. evaluate the quality (rating) of each of the attributes
4. compute weighted rating for each
5. sum up all the weighted ratings

<table>
<thead>
<tr>
<th>Property</th>
<th>relative weight</th>
<th>rating</th>
<th>weighted rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Speed</td>
<td>.3</td>
<td>6</td>
<td>1.8</td>
</tr>
<tr>
<td>Size</td>
<td>.6</td>
<td>5</td>
<td>3.0</td>
</tr>
<tr>
<td>Ease of use</td>
<td>.1</td>
<td>7</td>
<td>0.7</td>
</tr>
<tr>
<td>Overall Quality</td>
<td></td>
<td></td>
<td>5.5/10</td>
</tr>
</tbody>
</table>

*an inexact science at this point
* however, aids in understanding the factors that affect sw quality
  a first-cut approximation very poor quality factor

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NFRs: Portability

- The degree to which software running on one platform can easily be converted to run on another platform
- E.g., number of target statements (e.g., from Unix to Windows)
- Hard to quantify, since it is hard to predict what a “next generation” platform might be like
- Can be enhanced by using languages, OSs and tools that are universally available and standardized.

E.g., C/C++/C#/Java
J2EE/J2ME/.NET

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NFRs: Reliability

- the ability of the system to behave consistently in a user-acceptable manner when operating within the environment for which the system was intended.

- theory and practice of hardware reliability are well established; some try to adopt them for software

- one popular metric for hardware reliability is mean-time-to-failure (MTTF)
  "Bathtub" curve characterizes MTTF:

- Infant mortality:
  Given a large population of a particular component, many will fail soon after development due to inaccuracies in the manufacturing process;

- Issues:
  Do 2 different software copies have different characteristics?
  Does software wear & tear by decomposition?
  Does software obey physical laws?  

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NFRs: Reliability

- Sometimes reliability requirements take the form:
  "The software shall have no more than X bugs/1K LOC"
  But how do we measure bugs at delivery time?

- **Bebugging Process** - based on a Monte Carlo technique for statistical analysis of random events.
  1. before testing, a known number of bugs (seeded bugs) are secretly inserted.
  2. estimate the number of bugs in the system
  3. remove (both known and new) bugs.

  \[
  \frac{\text{# of detected seeded bugs}}{\text{# of seeded bugs}} = \frac{\text{# of detected bugs}}{\text{# of bugs in the system}}
  \]

  \[
  \text{# of bugs in the system} = \frac{\text{# of seeded bugs} \times \text{# of detected bugs}}{\text{# of detected seeded bugs}}
  \]

  Example: secretly seed 10 bugs (say, in 100 KLOC) an independent test team detects 120 bugs (6 for the seeded)
  \[
  \text{# of bugs in the system} = \frac{10 \times 120}{6} = 200
  \]
  \[
  \text{# of bugs in the system after removal} = 200 - 120 - 4 = 76
  \]

- But, deadly bugs vs. insignificant ones; not all bugs are equally detectable; (Suggestion [Musa87]:
  "No more than X bugs/1K LOC may be detected during testing"
  "No more than X bugs/1K LOC may remain after delivery, as calculated by the Monte Carlo seeding technique"
NFRs: Efficiency

- refers to the level at which a software system uses scarce computational resources, such as CPU cycles, memory, disk space, buffers and communication channels

- can be characterized along a number of dimensions:
  
  **Capacity:** maximum number of users/terminals/transactions ...
  
  **Degradation of service:** what happens when a system with capacity X widgets per time unit receives X+1 widgets?
  - Let the system handle the load, perhaps with degraded performance
  - Let the system crash

  **Timing constraints:** Let stimulus refer to an action performed by the user/environment, and response refer to an action generated by the system.

  - **stimulus-response:** e.g., "the system will generate a dial tone within 10 secs from the time the phone is picked up"
  - **response-response:** e.g., "the system will record that the phone is in use no later than 1 micro-second after it had generated a dial tone"
  - **stimulus-stimulus:** e.g., "the user will type her password within 15 secs from typing her login name"
  - **response-stimulus:** e.g., "the user will start dialing the phone number within 1 minute from getting the dial tone"
NFRs: Usability

- broadly – quality; fit to use
  narrowly - good UI

- **Usability inspection:**
  finding usability problems in UI design, making recommendations for fixing them, and improving UI design.

- **Heuristics:** a set of criteria against which usability of UI design is evaluated

- "9 usability heuristics" [Nielsen90]
  - **Promptness** no undue delay in accepting info items and responding to requests
  - **Tolerance** no hang-ups against errors, delays, unexpected behavior, etc.
  - **Guidance** providing guidance for correcting errors, generating reminders, etc.
  - **Coherence** ...

- "10 usability heuristics" [Molich and Nielsen90]
  - **Simple and natural dialogue; Speak the user's language**
  - **Minimize the user's memory; Consistency; Feedback**
  - **Clearly marked exits; Shortcuts**
  - **Precise and constructive error messages; Prevent errors**
  - **Help and documentation**

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NFRs:
Usability

- All users will be satisfied with the usability of the product.
- 95% of all users will be satisfied with the usability of the product.

- 95% of the users will be able to complete representative tasks without requiring assistance (e.g., modifying exclusion date set)
- 95% of the users will be able to complete representative tasks by the third attempt without requiring assistance

- 95% of the users will be able to complete tasks X Y Z by the third attempt without requiring assistance

- 95% of the users will be able to complete tasks X Y Z in less than 10 minutes without requiring assistance
- 95% of the users will be able to complete task X in less than 10 minutes without requiring assistance
- 80% of the users will be able to complete task Y in less than 10 minutes
- 77% of the users will be able to complete task Z in less than 5 minutes
Non-Functional Requirements

Practices and Recommendations:
A Brief Synopsis

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Non-Functional Requirements

What - Essential Concepts
NFRs:

**functional vs. non-functional: a mathematical perspective**

- **(mathematical) function:**
  
  \[ f_1: I \rightarrow O \]
  
  \[ f_2: I_1 \times I_2 \rightarrow O \]
  
  e.g.: sum: \( R \times R \rightarrow R \)

- **non-functional:**
  
  - How fast can it be done?
  - How precise is the answer?
  - How easy is it to figure out how to use it?
  - How robust is it concerning the 2\(^{nd}\) input of \( f_2 \)?
  - Who can use it?
  - Can it be changed easily?
  - How much would it cost to design and implement it?
NFRs: functional vs. non-functional: a mathematical perspective

- (mathematical) function:
  \[ f(x, y) = f_1(f_2(x), f_3(y)) \]

- non-functional:
  \[ nf(x, y) = nf_1(nf_2(x), nf_3(y)) \]
  \[ nf(x, y) = nf_1(nf_2(n(x)), nf_3(n(y))) \]

Global nature

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NFRs: subjective, graded, interacting

- Subjective vs. objective:
  - subjective
  - objective

- Graded:
  - worse
  - better
  - expensive
  - cheaper
  - slower
  - faster

- Interacting:
  - Conflicting: the whole is less than the sum of its parts
  - Synergistic: the whole is more than the sum of its parts

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NFRs: subjective in both definitions & solutions

Classification 1 [Roman, IEEE Computer 1985]
- Interface requirements: describe how the system is to interface with its environment, users and other systems. E.g., user interfaces and their qualities (e.g., user-friendliness)

Classification 2 - Process, Product and External considerations [Sommerville 1992]
- Performance requirements:
  - time/space bounds, such as a system must handle 100 transactions per second. E.g., “system must handle 100 transactions per second”
  - reliability involving the availability of the system. E.g., “system must be available 99% of the time”
  - security, such as permission, passwords.
  - survivability, such as system recovery from natural disasters.

Classification 3 - Software Quality Tree [Boehm 1976]
- Operating requirements: input and output considerations, system access, etc.
- Lifecycle requirements: can be broken down into the quality of the design: measure, limits on development, support, etc.
- Economic requirements: in terms of money.
- Political requirements

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NFRs:

**subjective in both definitions & solutions**

- Consider “security” – problem is subjective
  - Protection of data alone, fine with Chris
  - Protection of data, and data availability, fine with Pat
  - Protection of data, and data availability, and data accuracy, fine with Alex
  - Protection of data, and data availability, and data accuracy, and filtering of viruses, fine with Neo
  - Protection of data, and data availability, and data accuracy, and filtering of viruses, and blocking adware, fine with Gail

- Consider “security” – solutions are subjective
  - A password authentication fine with Chris
  - A password authentication, with periodic change, fine with Pat
  - A password, together with a fingerprint verification, fine with Alex
  - A password, with a fingerprint verification rechecked every hour, fine with Neo
  - A password, with a fingerprint verification rechecked every hour, and co-presence of two people, fine with Gail

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NFRs:
subjective – and also relative in priorities

security  →  performance  →  reliability

usability  →  security  →  safety  →  reliability

reliability  →  security
NFRs:
graded in both definitions and solutions – and relative

<table>
<thead>
<tr>
<th>very bad</th>
<th>bad</th>
<th>good</th>
<th>very good</th>
</tr>
</thead>
<tbody>
<tr>
<td>worse</td>
<td></td>
<td>better</td>
<td></td>
</tr>
<tr>
<td>expensive</td>
<td></td>
<td>cheaper</td>
<td></td>
</tr>
<tr>
<td>slower</td>
<td></td>
<td>faster</td>
<td></td>
</tr>
</tbody>
</table>

- Protection of data alone good
- A password authentication alone bad

- Protection of data alone << Protection of data, and data availability
- A password authentication << A password, together with a fingerprint verification
NFRs: interacting

- **Conflicting**: the whole is less than the sum of its parts
  - A password, with a fingerprint verification rechecked every hour, fine for security
  - Simplicity is the key for ease-of-use

- **Synergistic**: the whole is more than the sum of its parts
  - A password, with a fingerprint verification rechecked every hour, fine for security
  - Restricted access is good for data accuracy
Non-Functional Requirements

What - Essential Concepts

- non-functional,
- subjective,
- graded,
- interacting
- – and relative
- - in both definitions & solutions
Non-Functional Requirements

How 1 - Essential Tasks

Goal-oriented analysis focuses on the description and evaluation of alternatives and their relationship to the organizational objectives.
NFRs:

functional vs. non-functional: a mathematical perspective

- (mathematical) function:
  \[ f_1: I \rightarrow O \]
  \[ f_2: I_1 \times I_2 \rightarrow O \]
  \[ e.g.: \text{sum}: R \times R \rightarrow R \]
  \[ f(x, y) = f_1(f_2(x), f_3(y)) \]
  \[ \text{nff}(x, y) = \text{nff}_1(\text{nff}_2(x), \text{nff}_3(y)) \]
  \[ = \text{nff}_1(\text{nff}_2(\text{n}(x)), \text{nff}_3(\text{n}(y))) \]

- non-functional:
  - How fast can it be done? \textit{Fast, Fast}(f), \textit{Fast}(f_2)
  - How precise is the answer? \textit{Precise, Precise}(f), \textit{Precise}(O)
  - How easy is it to figure out how to use it?
    \textit{Easy-to-learn, Easy-to-learn}(f), \textit{Easy-to-learn}(f_2), \textit{Easy-to-learn}(x)
  - How robust is the input? \textit{Robust, Robust}(I_1), \textit{Robust}(I_2)
  - Can it be changed easily?
    \textit{Changeability, Changeability}(f), \textit{Changeability}(f_2)
  - How much would it cost?
    \textit{Cost, Design-cost}(f), \textit{Implementation-cost}(f), \textit{Testing-cost}(f_2)
Quality Function Deployment (QFD) aka House of quality

http://blogs.merrick.co.uk/imagenes/2007/10/23/qfd.jpg
Quality Function Deployment (QFD) aka House of quality

- Intuitive
- Rich with relationships
- No relationship with problems
- NFRs not structured
- Solutions not structured
The NFR Framework

Chung, Nixon, Yu and Mylopoulos, Non-functional Requirements in Software Engineering

- **Softgoal**
  - No clear-cut definition and or criteria as to whether it is satisfied or not; NFRs are subjective, relative, and interdependent
  - No optimal solution

- **satisficing**
  - One softgoal can *contribute positively or negatively, fully or partially*, towards other softgoals (i.e., achieved not absolutely but within acceptable limits).

- **Softgoal Interdependency Graphs (SIGs)**
  - For modeling non-functional requirements and interdependencies between them

- **Labeling (evaluation) procedure**
  - To determine the degree to which softgoals/contributions are satisficed

- **Catalogues**
  - for knowledge of NFR satisficing and correlations, much like patterns for design

---

*NFRs act as the basis for exploring alternatives and as the criteria for selecting among alternatives, hence for rationalization*

Qualitative in nature, Process oriented
The NFR Framework

Softgoal Interdependency Graph (SIG): Three types of refinements

Softgoal types:
- NFR
- Operationalizing
- Claim (supporting/explaining a choice)

Formal Softgoal := Priority Type [Topic]

contribution types:
- AND (decomposition)
- OR (alternatives)

Operationalize
 disadvantaged

Use Indexing [WS]

Minimum Response Time [WS]

Minimum Space [WS]

Good Performance [WS]

Argument

Claim ["Expected size of data is small; hence use of indexing won't significant increase space consumption"]

Make >> Help >> Hurt >> Break

Label types
- satisfied
- denied
- conflicting
- undetermined
The NFR Framework

- Secure Accounts
  - Integrity of Accounts
  - Confidentiality of Accounts
  - Availability of Accounts
  - Accuracy of Accounts
  - Completeness of Accounts

NFR softgoal

Sub-goals

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The NFR Framework

Softgoal Interdependency Graph (SIG)

Softgoal types:
- NFR
- Operationalizing (satisficing technique)
- Claim (supporting/explaining a choice)

Softgoal := Informal Sg | Formal Sg
Formal Sg := Type [Topic]

Contribution types:
- AND (decomposition)
- OR (alternatives)

Labels (evaluation of softgoals/contributions)
- satisfied
- denied
- conflicting
- undetermined

Make >> Help >> Hurt >> Break

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Domain, Agent, User, Platform, ...
as topic (parameters)

Domain

Account
- debit
- credit

Transaction
- description
- date
- history

Transaction History
- lost disputes

RegularAccount
GoldAccount
PremierAccount

Agent Interaction

Credit card company
- clerk
- manager
- supervisor
- Class-I-secretary
- auditor

client

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The NFR Framework

[J. Mylopoulos, L. Chung, E. Yu, "From object-oriented to goal-oriented requirements analysis", CACM, pp31-37.ACM Press]

Example: A small portion of a hospital model for requirements analysis

Softgoal Interdependency Graph (SIG)

Object Model

From Softgoals to Use Cases
NFRs: subjective in both definitions & solutions

- Know at least what you mean - decompose

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NFRs: 

**subjective** in both **definitions** & solutions

- Know at least what you mean *as precisely as possible*
- *as many decompositions as needed*
NFRs: *subjective* in both definitions & *solutions*

- Know at least what you mean *as precisely as possible*
  - *as many decompositions* as needed

Diagram:
- Authentication
  - Password
    - Single password
    - Multiple passwords
  - Fingerprint
    - Single password
    - Multiple passwords
  - Password + fingerprint
    - Single password
    - Several different passwords

- Indexing
  - Single-level indexing
  - Multi-level indexing
  - Keyword-based indexing
  - Category-based indexing
NFRs:

*subjective in both definitions & solutions*

- Know at least what you mean *as precisely as possible - as many decompositions as needed*

---

security

Integrity

Confidentiality

Availability

Accuracy

Completeness

+Coverage

-Coverage

performance

Time-P

Space-P

Responsive

Response-T

Throughput

indexing

Single-level indexing

Multi-level indexing

Keyword-based indexing

Category-based indexing

Operationalize

authentication

password

fingerprint

Password + fingerprint

Single password

Multiple passwords

Single password

multiple checks

Several different passwords

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Non-functional ... and ... functional

- Know at least what you mean – decompose
- Relate Functional and Non-functional sides

Diagram:
- Security
- Integrity
- Confidentiality
- Availability
- Authentication
- Password
- Fingerprint
- Time-P
- Space-P
- Responsive
- Indexing
- Single-level indexing
- Multi-level indexing

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NFRs:

**non-functional ...and... functional**

- Know at least what you mean – decompose
- Relate Functional and Non-functional sides
- **Be as specific about the scope/topic/parameter: from global to local**

![Diagram showing security, confidentiality, availability, authentication, password, fingerprint, and various home networking components like Garage Door, Home Networking Controller, Oven, Lighting, Home Security, Home Networking.](image-url)
NFRs: non-functional …and… subjective in both definitions & solutions

- Know at least what you mean – decompose
- Relate Functional and Non-functional sides
- Different functional operationalizations contribute differently

Information security

Physical security

Integrity

Confidentiality

Availability

authentication

password

fingerprint

Password + fingerprint

Home networking

Garage Door

Home Networking Controller

Home Security

Oven

Fixed Lighting

Variable Lighting

Lighting

Make >> Help >> Hurt >> Break

“Satisficing” (cf. Nilsson’s)

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NFRs:
graded in both definitions and solutions – and relative

- Explore alternatives – some are better/worse than others
NFRs:
graded in both definitions and solutions – and relative

- Explore alternatives – some are better/worse than others
- **Different alternatives may have different degrees of contributions**

“Satisficing” (cf. Nilsson’s)

Confidentiality | Integrity | Confidentiality | Availability
--- | --- | --- | ---
Authentication | + | ++ | |
Password | + | ++ | |
Fingerprint | |
Individual password | + | |
Shared password | |
Security | |

Integrity

Confidentiality

Availability

Authentication

Password

Fingerprint

Individual password

Shared password

Break

Make >> Help >> Hurt >>

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NFRs:
interacting

- Conflicting: the whole is less than the sum of its parts
- Synergistic: the whole is greater than the sum of its parts
**NFRs:**
interacting – graded/relative

- Different techniques thru *nfr-operationalizations* have different impacts (cf. fr-operationalizations)

---

**Diagram:**

- **Security**
  - Home networking
  - Authentication
  - Password
  - Fingerprint
  - Individual password
  - Shared password

- **Performance**
  - Time-P
  - Space-P
  - Responsive
  - Indexing
  - Single-level indexing
  - Multi-level indexing
NFRs: interacting – graded and relative

- Through functional choices (fr-operationalizations)

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NFRs: interacting – graded/relative

- Different techniques have different impacts
- **Prioritize**

NFRs:
interacting – graded and relative

- Through functional choices
- **Prioritize**

### Claims
- Evaluate

**thru propagation of labels (satisfied, denied)**
Softgoal Interdependency Graph (SIG):
Evaluation Thru Label Propagation

Quiz:

- Healthy
- Elixir
- Bomb
- Make >> Help >> Hurt >> Break
  - AND
  - OR

Label types:
- satisfied
- denied
- conflicting
- undetermined
Softgoal Interdependency Graph (SIG): Evaluation Thru Label Propagation

Quantitative evaluation as a function of numeric values for labels and priorities.

e.g.

Make >> Help >> Hurt >> Break

AND

OR

Label types:
- satisfied
- denied
- conflicting
- undetermined

Priorities
- Very important
- Important
...
Softgoal Interdependency Graph (SIG): Summary of Modeling Concepts

- Softgoals: NFR Softgoals, Operationalizing Softgoals, Claim Softgoals

\[ \text{Softgoals ::= Priority Type [topic list] Label} \]

- Contributions:
  - Make >> Help >> Hurt >> Break
  - “Satisficing”

No reported break-in incidents due to fixed lighting

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Softgoal Interdependency Graph (SIG): Semantics

- Proposition = Softgoal U Contribution

\[
\text{AND: } \quad \text{Propositions} \times 2^{\text{Propositions}}.
\]
\[
satisfied(G_1) \land satisfied(G_2) \land \ldots \land satisfied(G_n) \land
\]
\[
satisfied(\text{AND}(G_0, \{G_1, G_2, \ldots, G_n\})) \rightarrow satisfied(G_0)
\]
\[
\land
\]
\[
(denied(G_1) \lor denied(G_2) \lor \ldots \lor denied(G_n)) \land
\]
\[
satisfied(\text{AND}(G_0, \{G_1, G_2, \ldots, G_n\})) \rightarrow denyable(G_0)
\]

\[
\text{OR: } \quad \text{Propositions} \times 2^{\text{Propositions}}.
\]
\[
denied(G_1) \land denied(G_2) \land \ldots \land denied(G_n) \land
\]
\[
satisfied(\text{OR}(G_0, \{G_1, G_2, \ldots, G_n\})) \rightarrow denyable(G_0)
\]
\[
\land
\]
\[
(satisfied(G_1) \lor satisfied(G_2) \lor \ldots \lor satisfied(G_n)) \land
\]
\[
satisfied(\text{OR}(G_0, \{G_1, G_2, \ldots, G_n\})) \rightarrow satisfyable(G_0)
\]
**Softgoal Interdependency Graph (SIG): Semantics**

**MAKE**  \( \text{Propositions} \times \text{Propositions} \):

\[
\text{satisficed}(G_1) \land \text{satisficed}(\text{MAKE}(G_0, G_1)) \rightarrow \text{satisficeable}(G_0)
\]

**HELP**  \( \text{Propositions} \times \text{Propositions} \):

\[
\text{denied}(G_1) \land \text{satisficed}(\text{HELP}(G_0, G_1)) \rightarrow \text{deniable}(G_0)
\]

If \( \text{satisficed}(\text{HELP}(G_0, G_1)) \) then there exist propositions \( G_2, \ldots, G_n \) such that
\[
\neg(\text{satisficed}(G_2) \land \ldots \land \text{satisficed}(G_n)) \rightarrow \text{satisficeable}(G_0)
\]

but
\[
\text{satisficed}(G_1) \land \text{satisficed}(G_2) \land \ldots \land \text{satisficed}(G_n) \land \text{satisficed}(\text{HELP}(G_0, G_1))
\]

\[
\rightarrow \text{satisficeable}(G_0)
\]

**BREAK**  \( \text{Propositions} \times \text{Propositions} \):

\[
\text{satisficed}(G_1) \land \text{satisficed}(\text{BREAK}(G_0, G_1)) \rightarrow \text{deniable}(G_0)
\]

**HURT**  \( \text{Propositions} \times \text{Propositions} \):

\[
\text{denied}(G_1) \land \text{satisficed}(\text{HURT}(G_0, G_1)) \rightarrow \text{satisficeable}(G_0)
\]

If \( \text{HURT}(b(G_0, G_1)) \) then there exist \( G_2, \ldots, G_n \) such that
\[
\neg(\text{satisficed}(G_2) \land \ldots \land \text{satisficed}(G_n) \land \text{satisficed}(\text{HURT}(G_0, G_1)))
\]

\[
\rightarrow \text{deniable}(G_0)
\]

but
\[
\text{satisficed}(G_1) \land \text{satisficed}(G_2) \land \ldots \land \text{satisficed}(G_n) \land \text{satisficed}(\text{HURT}(G_0, G_1))
\]

\[
\rightarrow \text{deniable}(G_0)
\]

**und**  \( \text{Propositions} \times \text{Propositions} \):

\[
\text{Und}(G_0, G_1) = \text{MAKE}(G_0, G_1) \lor \text{HELP}(G_0, G_1) \lor \text{HURT}(G_0, G_1) \lor \text{BREAK}(G_0, G_1)
\]

**eql**  \( \text{Propositions} \times \text{Propositions} \):

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Softgoal Interdependency Graph (SIG): Process of Construction

An iterative, interleaving process!!!

- Post NFR Softgoals:
  - Refine NFR Softgoals as many times until the meaning is clear
    - Refine the type
    - Refine the topic list
    - Refine the priority

- Operationalize NFR Softgoals
  - Refine Operationalizing Softgoals as many times until all the parts and relationships are designed (N.B: recall “one person’s floor is another person’s ceiling”)
    - Refine the type
    - Refine the topic list
    - Refine the priority

- Provide justifications in terms of Claim Softgoals, for any kind of softgoals
- Evaluate the degree to which each softgoal is satisficed.
The NFR Framework: Reuse of Knowledge of NFRs

- Introduces **Catalogues** of NFRs much like patterns for design are built
  - Methods:
  - Correlation Rules:

- **Security**
- **Integrity**
- **Confidentiality**
- **Availability**

- **Lawrence Chung**

  Security
  - Claim["MIL Std"]
  - Claim["in commercial application, accuracy could be the main concern"]

  Integrity
  - Claim["weaponry-info"]

  Confidentiality
  - Claim["weaponry-info"]

  Availability
  - Claim["weaponry-info"]

- **Claim["MIL Std"]**
- **Claim["common practice"]**

- **Authentication**

  Security
  - Claim["weaponry-info"]

  Integrity
  - Claim["weaponry-info"]

  Confidentiality
  - Claim["weaponry-info"]

  Availability
  - Claim["weaponry-info"]

- **User-friendliness**

  Security
  - Claim["weaponry-info"]

  Integrity
  - Claim["weaponry-info"]

  Confidentiality
  - Claim["weaponry-info"]

  Availability
  - Claim["weaponry-info"]
The NFR Framework

Patterns:
The NFR Framework and the Reference Model

Recall: Example 1: Patient Monitoring

D₁: There will always be a nurse close enough to hear the buzzer
D₂: The sound from the heart falling below a certain threshold indicates that heart has (is about to) stop
R₁: A warning system notifies the nurse if the patient’s heartbeat stops
S₁: If the sound from the sensor falls below a certain threshold, the buzzer shall be actuated
C – with a microphone as a sensor and a buzzer as an actuator

Designation Categories:
- \( e_h \): the nurse and the heartbeat of the patient.
- \( e_v \): sounds from the patient’s chest.
- \( s_v \): the buzzer at the nurse’s station.
- \( S_p \): internal representation of data from the sensor.

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Recall:

The NFR Framework and the Reference Model

Example 1: Patient Monitoring

**Need:** monitoring if a patient’s heart is failing

**Problem:** monitoring if a patient’s heart is failing is difficult and sometimes has been unsuccessful

- A nurse cannot stay close to the patient always and on alert

**D1:** There will always be a nurse close enough to hear the buzzer

**D2:** The sound from the heart falling below a certain threshold indicates that heart has (is about to) stop

**R1:** A warning system notifies the nurse if the patient’s heartbeat (is about to) stop

**S1:** If the sound from the sensor falls below a certain threshold, the buzzer shall be actuated as a sensor and a buzzer as an actuator

---

**Designation Categories:**

- \( e_h \): the nurse and the heartbeat of the patient.
- \( e_s \): sounds from the patient’s chest.
- \( s_v \): the buzzer at the nurse’s station.
- \( s_s \): internal representation of data from the sensor.
The NFR Framework
in relation to the Reference Model, KAOS, Tropos

- **WRSPM:** $S, D \models R$

- **KAOS:**
  - $S, Ac, D \models R$ with $S, Ac, D \not\models false$
  - $R, As, D \models G$ with $R, As, D \not\models false$

- **The NFR Framework:**

  - *nfr-operationalizations*
  - *fr-operationalizations*

<table>
<thead>
<tr>
<th>W</th>
<th>Fn</th>
<th>NFn</th>
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<tbody>
<tr>
<td>S</td>
<td></td>
<td></td>
</tr>
<tr>
<td>R</td>
<td></td>
<td></td>
</tr>
<tr>
<td>D</td>
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</tbody>
</table>

- *Any phenomena/functional description, indicative or optative or expectational, and any agent can be associated with softgoals*
- *satisficed* $(Q(S^G))$, *satisficed* $(Q(D^G))$ $\models$ *satisficed* $(Q(R^G))$
- *satisficed* $(Q(P^G))$, *satisficed* $(Q(M^G))$ $\models$ *satisficed* $(Q(S^G))$

What the Metaphysics of Quality would do is take this separate category, Quality, and show how it contains within itself both subjects and objects. The Metaphysics of Quality would show how things become enormously more coherent--fabulously more coherent--when you start with an assumption that Quality is the primary empirical reality of the world.... [Robert Pirsig]
Property Preserving Evolution

\[(G^s_i, S_i), (D_i, X_i) \models R_i; (G'^s_i, S_i), (D_i, X_i) \models G'^r_i; (G'^r_i, R_i) (D_i, X_i) \models G'^d_i\]
Property Preserving Evolution

\[(G^s_i, S_i), (D_i, X_i, G^x_i) \models R^s_i; (G^s_i, S_i), (D_i, X_i, G^x_i) \models G^r_i; (G^r_i, R_i), (D_i, X_i, G^x_i) \models G^d_i\]

AS-IS

TO-BE1

TO-BE2

dependency/traceability
The NFR Framework and the Reference Model

G₀₁: best quality patient care
G₀₂: best quality monitoring of patient’s heart failure

R₁: A warning system notifies the nurse if the patient’s heartbeat (is about to) stop

Gᵣ₁.₁: low-cost, low-maintenance, easily configurable, proven (warning system)
Gᵣ₁.₂: fast (notification)
Gᵣ₁.₃: well qualified and capable (nurse)

Gᵣ₁’: A warning system using the buzzer

D₁: There will always be a nurse close enough to hear the buzzer
D₂: The sound from the heart falling below a certain threshold indicates that heart has (is about to) stop

Gᵰ₁.₁: well qualified and capable (nurse)

S₁: If the sound from the sensor falls below a certain threshold, the buzzer shall be actuated

Gₛ₁: clear (sound)
Gₛ₂: in a safe and secure manner, and fast, but loud enough (buzzer activation)

P – an implementation of S
Gₚ₁: low-cost, best quality (P)

C – with a microphone as a sensor and a buzzer as an actuator
Gᶜ₁: best quality (sensor)
Gᶜ₂: best quality (buzzer)

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The NFR Framework
From Specification to Architecture

R1: A warning system notifies the nurse if the patient’s heartbeat is about to stop

- G01: best quality patient care
- G02: best quality monitoring of patient’s heart failure
- G1: A warning system using the buzzer
- G2: best quality monitoring of patient’s heart failure
- G3: in a safe and secure manner, and fast, but loud enough (buzzer activation)
- G4: well qualified and capable (nurse)
- G5: low-cost, best quality (P)

D1: There will always be a nurse close enough to hear the buzzer
D2: The sound from the heart falling below a certain threshold indicates that heart has (is about to) stop

S1: If the sound from the sensor falls below a certain threshold, the buzzer shall be actuated

- GS1: clear (sound)
- GS2: in a safe and secure manner, and fast, but loud enough (buzzer activation)

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Non-Functional Requirements

How 2 – Dos and Don’ts
### NFRs – Dos & Don’ts

**Dos**
- Relate to FRs
- Clarify scope/topic
- Identify agents, whenever useful
- Discover relationships between definitions of NFRs
- Discover relationships between solutions to NFRs
- Refine definitions as many times as needed
- Refine solutions as many times as needed
- Prioritize
- Discover conflicts
- Safeguard against conflicts
- Discover synergies
- Discover operationalizations as reasons for conflicts/synergies
- Determine strengths of contributions
- Justify strengths of contributions
- Explore alternatives
- Discover solutions from requirements
- Discover requirements from solutions
- Consider use of multiple solutions
- Consider scenarios
- If necessary, quantify
- Evaluate, …subjectively, …objectively
- Establish traceability

**Don’ts**
- Absolute security, absolute reliability, absolute safety, ….
- One definition fits all
- One solution solves all problems
- The contribution is such and such, since I say so
- Refine the definition only once
- They are falling down from the sky
- Dissociate from FRs
- May be more important than FRs, but should consume less resources
- You name it; our system does it
- No quantification, no existence
- Everybody needs the same
- Be only pessimistic
- Asking why “+” reveals ignorance
- Beg the question
- Evaluate & only evaluate
- Brainwash nothing but objectivity
Conflict resolution 1

- Delete email w. any zip file attachment
  - misunderstanding betw. sender and receiver
    - move email w. any zip file attachment into a junk file folder
      - If the receiver does not check the junk file folder, still misunderstanding
        - at the time the file is moved, notify this to the receiver
          - if the receiver still does not check the junk file folder or checks it late, still misunderstanding
            - at the time the file is moved, notify the sender too
      - If the receiver checks the junk file folder and opens it and the file is an attack, still a security breach
        Delete email w. any zip file attachment and block any future email from the same sender
Conflict resolution 2

- If the receiver opens email w. zip file and the file is an attack, a security breach
- Delete email any w. zip file attachment
  -> misunderstanding betw. sender and receiver
    <- move email w. any zip file attachment into a junk file folder
    -> If the receiver does not check the junk file folder, still misunderstanding
    <- at the time the file is moved, notify this to the receiver
    -> if the receiver still does not check the junk file folder or checks it late, still misunderstanding
    <- at the time the file is moved, notify the sender too
  -> If the receiver checks the junk file folder and opens it and the file is an attack, still a security breach
- Delete email w. any zip file attachment and block any future email from the same sender
- If the email is from a sender who is not in the list of allowed senders, delete it
- Leave the email but delete the attachment only

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Conflict resolution 3

- Denied (S[attachment]) -> denied (S[email]) -> denied (S[PC])
- Zip(attachment) ^ attack(attachment) ^ open(attachment) -> denied (S[attachment])
  /* If the receiver opens email w. zip file and the file is an attack, a security breach */
  ~ Zip(attachment) v ~attack(attachment) v ~open(attachment) -> ~ denied (S[attachment]) helps
  ~ denied(S[email])
- Delete email w. any zip file attachment
  -> misunderstanding betw. sender and receiver
    <- move email w. any zip file attachment into a junk file folder
      -> If the receiver does not check the junk file folder, still misunderstanding
        <- at the time the file is moved, notify this to the receiver
          -> if the receiver still does not check the junk file folder or checks it late, still misunderstanding
            <- at the time the file is moved, notify the sender too
              -> If the receiver checks the junk file folder and opens it and the file is an attack, still a security breach
- Leave the email, but delete the attachment only
- Leave the email, but delete the attachment only if it is an attack
- Leave the email but change the name of the attachment to “…renameToZip”
- If the email is from a sender who is not in the list of allowed senders, delete it
- Delete any email w. zip file attachment and block any future email from the same sender.
Conflict resolution 4

- Denied (S[attachment]) -> denied (S[email]) -> denied (S[PC])
- Zip(attachment) ^ attack(attachment) ^ open(attachment) -> denied (S[attachment])
  /* If the receiver opens email w. zip file and the file is an attack, a security breach */
  ~ Zip(attachment) v ~attack(attachment) v ~open(attachment) -> ~ denied (S[attachment]) helps
  ~denied(S[email])
- Delete email w. any zip file attachment, at the time of reception
  -> misunderstanding betw. sender and receiver
    <- move email w. any zip file attachment into a junk file folder
      -> If the receiver does not check the junk file folder, still misunderstanding
        <- at the time the file is moved, notify this to the receiver
          -> if the receiver still does not check the junk file folder or checks it late, still misunderstanding
            <- at the time the file is moved, notify the sender too
    -> If the receiver checks the junk file folder and opens it and the file is an attack, still a security breach
- Leave the email, but delete the attachment only
- Leave the email, but delete the attachment only if it is an attack: detectable[attack(attachment)]
- Leave the email but change the name of the attachment to “…renameToZip”
- If the email is from a sender who is not in the list of allowed senders, delete it
- Delete email w. any zip file attachment and block any future email from the same sender.
NFRs – Where

Wherever better/cheaper/faster/happier matters

- Requirements Engineering
- System Architecting
- Software Architecting
- Design
- Implementation
- Validation & Verification
- Testing
- Maintenance
- Software Process
- Project Planning and Management
- Configuration Management
- Decision making
NFRs – How to represent

- From informal to tabular to visual (a la html->xml->oo-xml/eb-xml/…; CRC cards->classes; use cases & use case templates)

- **Dos**
  - Bring in FRs
  - Clarify scope/topic
  - Identify agents, whenever useful
  - Discover relationships between definitions of NFRs
  - Discover relationships between solutions to NFRs
  - Refine definitions as many times as needed
  - Refine solutions as many times as needed
  - Prioritize
  - Discover conflicts
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  - Discover solutions from requirements
  - Discover requirements from solutions
  - Consider use of multiple solutions
  - Consider scenarios
  - If necessary, quantify
  - Evaluate
  - Evaluate subjectively
  - Evaluate objectively
  - Establish traceability

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<tr>
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<tr>
<td>Claim</td>
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</table>

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Non-Functional Requirements

Practices and Recommendations:
A Brief Synopsis

- Why
- What
- Some Classification Schemes
- NFRs and RE Processes
- Product-Oriented Approach: Some Individual NFRs
- The NFR Framework
- Appendix
  - With Rational Unified Process and UML
  - With Volere Requirements Specification Templates
  - Others
Appendix

- Dependability
- RUP Specification
- Volere Specification
- How to Augment UML
Dependability

**Dimensions of Dependability**
- **Availability** - The ability of the system to deliver services when requested
- **Reliability** - The ability of the system to deliver services as specified
- **Safety** - The ability of the system to operate without catastrophic failure
- **Security** - The ability of the system to protect itself against accidental or deliberate intrusion

**Cost of development** - Geometric rise in cost from low dependability to highest

**Effects of low dependability**
- Often unused
- Failure recovery costs may be high
- Difficult to retrofit dependability
- Loss of information

**Repeatable improvement process helps**
- CMM -SEI
- More later

**Critical Systems**
- Safety critical
- Mission critical
- Business critical

**Dependability a key aspect**
- A system failure causes
  - Significant economic loss
  - Physical damage
  - Threat to or loss of human life

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Dependability

- Cost of failure
  - direct
    - Loss of life / Injury
    - Loss of business
  - Indirect
    - Litigation
    - Good will

- Availability and Reliability
  - Factors effecting
    - Environment office versus university
    - Perception (frequency of occurrence)

- Degrees
  - Failure - service that is expected is not delivered
  - Error – behavior that does not conform to the specification
  - Fault – incorrect state – un-anticipated
  - Human error

- Improve **reliability**
  - Fault avoidance
  - Fault detection and removal – testing and debugging
  - Fault tolerance - self checking and redundancy

- Errors of this type are random
  - Remain after testing due to unforeseen combinations of input or use
  - Random based on user methods
    - Not all inputs done the same
    - Learn to avoid
    - Therefore removal of some faults will not improve perception

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**Dependability - Safety**

- **Ability to operate normally or abnormally without threat to life or environment**
- **Classes**
  - Primary safety critical
    - Embedded as controller
  - Secondary
    - There output could effect indirectly other processes (CAD)
- **Reasons for less than 100% certainty of fault tolerant/free**
  - Incomplete specification
  - Hardware malfunction – causing exceeded limits in software
  - Incorrect input

- **Methods to lessen chance of safety failure**
  - Hazard avoidance
    - Added control features (I.e. two man rule)
  - Hazard detection and removal
    - Scans for known causes and cause preventive action
  - Damage limitation (control)
    - Firewalls and other protective reactions to results

- **Terms**
  - Accident
  - Hazard
  - Damage
  - Hazard Severity
  - Hazard Probability
  - Risk

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Specification

- **Safety**
  - IEC 61508 safety life cycle
    - Concept to death
      - Hazard analysis
      - Safety requirements definition
      - Planning, validation, development, external risk reduction
      - Separate safety validation – installation and commissioning
      - O&M
      - Decommissioning
  - Hazard and Risk Analysis
    - Iterative process
      - Hazard Identification
        - Hazard description
      - Risk analysis and hazard classification
        - Risk assessment
      - Hazard decomposition
        - Analysis as to potential causes (fault-tree analysis)
      - Risk reduction analysis
      - Preliminary safety requirements

- **Fault tree**
  - Deductive – start with a hazard
  - Inductive – start with failure
  - Fault tree starts with the failure and works backwards to potential causes

- **Risk assessment**
  - Classifications
    - Intolerable
    - As low as reasonably practical (ALARP)
    - Acceptable
  - For each hazard
    - Probability
    - Severity
    - Estimated risk

- **Risk reduction**
  - Avoidance
  - Detection and removal
  - Damage limitation
Dependability - Security

Lack of **security** comprise to **availability** and **reliability**

Types
- Denial of service
- Corruption of programs or data
- Unauthorized disclosure

Terms
- Exposure
- Vulnerability
- Attack
- Threats
- Controls

Methods
- Vulnerability avoidance
- Detection and neutralization
- Damage limitation

**Security Specification**
- Similar to safety
- Impractical to specify
- Usually are “shall not”

**Cycle in General**
- Asset ID and evaluation
  - Degree of importance
- Threat analysis and risk assessment
- Threat assignment lists all threats against each asset
- Technology analysis what is available to counteract
- Security specification
Specification

Requirements specification
- Functional for error detection and recovery
- Non functional for **reliability** and **availability**
- Shall not requirements

Reliability specification
- Hardware
- Software
- Operator

Decrease probability of failure
- For a series of dependent components $P_t = \sum P_1$ to $P_n$
- But if there are $n$ replicated (redundant) and independent components then the $P_t = p_a$ to the $n$th

Metrics for reliability
- POFD probability of failure on demand $0.001 = 1$ on 10000
  - Systems with unpredictable demand over long time periods – emergency systems
- ROCOF Rate of failure occurrence $2/1000$
  - Systems with a regular demand atm/airline reservations
- MTTF Mean time to Failure avg time between observed failures $500 = \text{avg of 1 in 500 time units}$
  - Systems with long transactions (auto save)
- AVAIL probability system is available at any given time $0.999$ equals in every given 1000 time units system is likely to be available for 999 of these
  - Systems of continuous service; tp switch

Non-functional reliability requirements
- ID type of failure to occur
- Partition them into
  - Transient
  - Permanent
  - Recoverable
  - Unrecoverable
  - Non-corrupting
  - Corrupting
- Define the appropriate requirement (metric)
  - E.g. recoverable w/intervention – POFOD
  - If automatic the ROCOF
- Assign a proper metric as a functional reliability metric
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With Rational Unified Process and UML

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**Home Appliance Control System**

**Vision**

Version 1.2

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### Revision History

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6. Constraints
   - 6.1 Security
   - 6.2 Usability
   - 6.3 Responsiveness
   - 6.4 Capacity

Appendix A. COTS Components

---
6. Constraints

6.1 Security
Security for the HACS includes authentication, access control, data integrity, and data privacy.
Authentication of the user is by identifier and password.
Homeowners and Business Owners can monitor and change the state of the system.
Customer Care users can only monitor the system and manually place a medical alert 911 emergency request for an ambulance.
Transmissions should be encrypted for privacy

6.2 Usability
Easy to use (especially safety related features)
Request for an ambulance, police or fire truck needs to be at the push of a button or voice activated

6.3 Responsiveness
System responds quickly to user requests or changes in the environment.
System responds within 2 seconds on average to local user requests and changes in the environment.
System responds within 4 seconds on average to remote user requests and changes in the environment.

6.4 Capacity
Maximum number of sequences for indoor lights is twenty (20)
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10 Look and Feel Requirements

10a. The interface

Content

The section contains requirements relating to spirit of the interface. Your client may have given you particular demands such as corporate branding, style, colors to be used, degree of interaction and so on. This section captures the requirements for the interface rather than the design for the interface.

Motivation

To ensure that the appearance of the product conforms to the organization’s expectations.

Examples

- The product shall comply with corporate branding standards.
- The product shall be attractive to a teenage audience.
- The product shall appear authoritative.

Considerations

Interface design may overlap the requirements gathering process. This particularly true if you are using prototyping as part of your requirements process. As prototypes develop it is important to capture the requirements that relate to the look and feel. In other words, be sure that you understand your client’s intentions for the product’s look and feel. Record these as requirements instead of merely having a prototype to which the client has nodded his approval.

10b. The style of the product

Content

A description of salient features of the product that are related to the way a potential customer will see the product. For example, if your client wants the product to appeal to the business executive, then a look and feel requirement is that the product has a conservative and professional appearance. Similarly if the product is for sale to children, then the look and feel requirement is that it be colorful and look like it’s intended for children. …

Motivation

Given the state of today’s market and people’s expectations, … Once the functional requirements are satisfied, it is often the appearance of products that determines whether they are successful or not. …

Considerations

The look and feel requirements specify the your client's vision of the product's appearance. The requirements may at first
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### 11 Usability and Humanity Requirements

#### 11a. Ease of use.

**Content**

This section describes your client's aspirations for how easy it will be for the intended users of the product to operate it. The product's usability is derived from the abilities of the expected users of the product and the complexity of its functionality.

The usability requirements should cover such things as:

- **Efficiency of use** - how quickly or accurately the user can use the product.
- **Ease of remembering** - how much is the casual user expected to remember about using the product.
- **Error rates** - for some products it is crucial that the user commits very few, or no, errors.
- **Overall satisfaction in using the product** - this is especially important for commercial, interactive products where there is a lot of competition. Web sites are a good example of this.
- **Feedback** - how much feedback does the user need in order to feel confident that the product is actually accurately doing what the user expects. The necessary degree of feedback will be higher for some products (e.g., safety critical) than in others.

**Motivation**

To guide the product's designers into building a product that will meet the expectations of its eventual users.

**Examples**

- The product shall be easy for 11-year-old children to use.
- The product shall help the user to avoid making mistakes.
- The product shall make the users want to use it.
- The product shall be used by people with no training, and possibly no understanding of English.

**Fit Criterion**

These examples may seem simplistic, but they do express the intention of the client. To completely specify what is meant by the requirement it is necessary to add a measurement of acceptance. We call this a fit criterion. The fit criterion for the above examples would be:

- [An agreed percentage, say 90%] of a test panel of 11 year olds shall be able to successfully complete [list of tasks] within [specified time]
- One month's use of the product shall result in a total error rate of less than [an agreed percentage, say 2%]
- An anonymous survey shall show that [an agreed percentage, say 75%] of the users are regularly using the product after [an agreed time] familiarization period.
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Home Appliance Control System
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Version 1.2

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   6.3 Responsiveness
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#### 6.1 Security

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- Easy to use (especially safety related features)
- Request for an ambulance, police or fire truck needs to be at the push of a button or voice activated.

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The section contains requirements relating to spirit of the interface. Your client may have given you particular demands such as corporate branding, style, colors to be used, degree of interaction and so on. This section captures the requirements for the interface rather than the design for the interface.

Motivation
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Examples
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Considerations
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10b. The style of the product

Content
A description of salient features of the product that are related to the way a potential customer will see the product. For example, if your client wants the product to appeal to the business executive, then a look and feel requirement is that the product has a conservative and professional appearance. Similarly if the product is for sale to children, then the look and feel requirement is that it be colorful and look like it's intended for children.

You would also consider here the design of the package if this were to be a manufactured product. The package may have some requirements as to its size, style, and consistency with other packages put out by your organization, etc. Keep in mind the European laws on packaging. There is a requirement that the package not be significantly larger than the product it encloses. The requirements that you record here will guide the designers to produce a product as envisioned by your client.
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11 Usability and Humanity Requirements

11a. Ease of use.

Content
This section describes your client's aspirations for how easy it will be for the intended users of the product to operate it. The product's usability is derived from the abilities of the expected users of the product and the complexity of its functionality.

The usability requirements should cover such things as:

- Efficiency of use - how quickly or accurately the user can use the product.
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- Error rates - for some products it is crucial that the user commits very few, or no, errors.
- Overall satisfaction in using the product - this is especially important for commercial, interactive products where there is a lot of competition. Web sites are good example of this.
- Feedback - how much feedback does the user need in order to feel confident that the product is actually accurately doing what the user expects. The necessary degree of feedback will be higher for some products (eg: safety critical) than in others.

Motivation
To guide the product's designers into building a product that will meet the expectations of its eventual users.

Examples
- The product shall be easy for 11 year-old children to use.
- The product shall help the user to avoid making mistakes.
- The product shall make the users want to use it.
- The product shall be used by people with no training, and possibly no understanding of English.

Fit Criterion
These examples may seem simplistic, but they do express the intention of the client. To completely specify what is meant by the requirement it is necessary to add a measurement of acceptance. We call this a fit criterion. The fit criterion for the above examples would be:

- [An agreed percentage, say 90%] of a test panel of 11 year olds shall be able to successfully complete [list of tasks] within [specified time].
- One month's use of the product shall result in a total error rate of less than [an agreed percentage, say 2%].
### 11b. Personalization and internationalization requirements

#### Content

This section describes the way in which the product can be altered or configured to take into account the user's personal preferences or choice of language. The personalization requirements should cover such things as:

- Languages, spelling preferences, language idioms
- Currencies including the symbols and decimal conventions
- Personal configuration options - there are a myriad of these

#### Motivation

To ensure that the product's users do not have to struggle with, or meekly accept, the cultural conventions of the builder.

#### Examples

- The product shall retain the buyer's buying preferences.
- The product shall allow the user to select a chosen language.

#### Considerations

Consider the locations of the potential customers and users of your product. Any out of country users will welcome the opportunity to convert to their home spelling and expressions.

By allowing users to customize the way in which they use the product, you are giving them the opportunity to participate more closely with your organization, as well as give them their own personal user experience.

You might also consider the configurability of the product. This allows different users to have different functional variations of the product.
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11c. Ease of learning.
Content
A statement of how easy it should be to learn to use the product. This will range from zero time for products intended for placement in the public domain (for example a parking meter or a web site) to a considerable time for complex, highly technical products. (We know of one product where it was necessary for graduate engineers to spend 18 months in training before being qualified to use the product.)

Motivation
To quantify the amount of time that your client feels is allowable before a user can successfully use the product. This requirement will guide designers in how users will learn the product. For example, the designers may build elaborate interactive help facilities into the product, or the product may be packaged with a tutorial. Alternatively the product may have to be constructed so that all of its functionality is apparent upon first encountering it.

Examples
- The product shall be easy for an engineer to learn.
- A clerk shall be able to be productive within a short time.
- The product shall be able to be used by members of the public who will receive no training before using it.
- The product shall be used by engineers who will attend 5 weeks of training before using the product.

Fit Criterion
Fit criterion for the above example requirements are:
- An engineer shall produce a [specified result] within [specified time] of beginning to use the product, without needing to use the manual.
- After receiving [number of hours] training a clerk shall be able to produce [quantity of specified outputs] per [unit of time].
- [Agreed percentage] of a test panel shall successfully complete [specified task] within [specified time limit].
- The engineers shall achieve [agreed percentage] pass rate from the final examination of the training.

Considerations
Refer back to Section 3, the Users of the System, to ensure that you have considered the ease of learning requirements from the perspective of all the different types of users.
11d. Understandability and Politeness requirements.

This section is concerned with discovering requirements related to concepts and metaphors that are familiar to the intended end-users.

Content

This specifies the requirement for the product to be understood by its users. While usability refers to ease of use, efficiency etc., understanding determines whether the users instinctively know what the product will do for them. In other words, the product fits into their view of the world. You can think of this as the product being polite to its users and not expecting them to know or learn things that have nothing to do with their business problem.

Motivation

To avoid forcing the user to learn terms and concepts that are part of the product’s internal construction and are not relevant to the users’ world. To make the product more comprehensible and thus more likely to be adopted by its intended users.

Examples

The product shall use symbols and words that are naturally understandable by the user community.

The product shall hide the details of its construction from the user.

Considerations

Refer back to Section 3, the Users of the Product, and consider the world from the point of view of each of the different types of users.

11e. Accessibility requirements.

Content

The requirements for how easy it should be for people with common disabilities to access the product. These disabilities might be to do with sight, physical disablement, hearing, cognitive, or others.

Motivation

In many countries it is required that some products are made available to the disabled. In any event, it seems self-defeating to exclude this sizable community of potential customers.

Examples

The product shall be usable by partially-sighted users.

The product shall conform to the Americans with Disabilities Act.
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12 Performance Requirements

12a. Speed and latency requirements

Examples

Any interface between a user and the automated system shall have a maximum response time of 2 seconds

The response shall be fast enough to avoid interrupting the user's flow of thought

The product shall poll the sensor every 10 seconds

The product shall download the new status parameters within 5 minutes of a change

Fit Criterion - Unit of measurement, Required range of values

12b. Safety critical requirements

Examples

The product shall not emit noxious gases that damage people's health.

The heat exchanger shall be shielded from human contact.

Fit Criterion - Description of the perceived risk, Factors that could cause the damage

Unit for measuring the factors that could cause the damage

"The product shall be certified to comply with the Health Department's standard E110-98. This is to be certified by qualified testing engineers."

"No member of a test panel of [specified size] shall be able to touch the heat exchanger. The heat exchanger must also comply with safety standard [specify which one].".

12c. Precision or accuracy requirements

Examples

All monetary amounts shall be accurate to 2 decimal places.

Accuracy of road temperature readings shall be within + or - 2 degrees centigrade.

Fit Criterion - Unit of measure plus degree of precision
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12d. Reliability and Availability requirements
Examples
- The product shall be available for use 24 hours per day, 365 days per year.
- The product shall be available for use between the hours of 8:00am and 5:30pm.
- The escalator shall run from 6am until the last flight arrives at 10pm.
- The product shall achieve 99% up time.

12e. Robustness or Fault Tolerance requirements
Examples
- The product shall continue to operate in local mode whenever it loses its link to the central server.
- The product shall provide 10 minutes of emergency operation should it become disconnected from the electricity source.

12f. Capacity requirements
Examples
- The product shall cater for 300 simultaneous users within the period from 9:00am to 11:00am. Maximum loading at other periods will be 150.
- During a launch period the product shall cater for up to 20 people to be in the inner chamber.

Fit Criterion - quantified, and thus can be tested.

12g. Scalability requirements
Examples
- The product shall be capable of processing the existing 100,000 customers. This number is expected to grow to 500,000 within three years.
- The product shall be able to process 50,000 transactions an hour within two years of its launch.

12h. Longevity requirements
Examples
- The product shall be expected to operate within the maximum maintenance budget for a minimum of 5 years.
NFRs:
With Volere Requirements Specification Template

13 Operational Requirements
13a. Expected physical environment
Examples
    The product shall be used by a worker, standing up, outside in cold, rainy conditions.
    The product shall be used in noisy conditions with a lot of dust.

13b. Expected technological environment

13c. Partner applications
Examples
    We must be able to interface with any html browser.
    The new version of the spreadsheet must be able to access data from the previous 2 versions.

13d. Productization Requirements
Examples
    The product shall be distributed as a ZIP file.
    The product shall be able to be installed by an untrained user without recourse to separately-printed instructions.
NFRs: With Volere Requirements Specification Template

14 Maintainability and Support Requirements

14a. Maintenance Requirements

Examples
- New MIS reports must be available within one working week of the date the requirements are agreed
- A new weather station must be able to be added to the system overnight

14b. Are there special conditions that apply to the maintenance of this product?

Examples
- The maintenance releases will be offered to end-users once a year.
- Every registered user will have access to our help site via the Internet.

Fit Criterion
Description of type of maintenance + amount of effort budgeted

14c. Supportability Requirements

14d. Adaptability requirements

Examples
- The product is expected to run under Windows 95 and Unix
- The product might eventually be sold to the Japanese market

Fit Criterion
Specification of system software on which the product must operate.
Specification of future environments in which the product is expected to operate.

14e. Installation requirements

Example
- The product shall be able to be installed in the specified environment within 2 working days.
NFRs:
With Volere Requirements Specification Template

15 Security Requirements
15a. Access requirements
Examples
- Only direct managers can see the personnel records of their staff.
- Only holders of current security clearance can enter the building.

Fit Criterion
System function name or system data name
User role/s and/or names of people who have clearance

15b. Integrity requirements
Examples
- The product shall prevent its data from incorrect data being introduced.
- The product shall protect itself from intentional abuse.

15c. Privacy requirements
Examples
- The product shall make its user aware of its information practices before collection data from them.
- The product shall notify customers of changes to its information policy.

15d. Audit requirements

15e. Immunity requirements
Content
The requirements for what the product has to do to protect itself from infection by unauthorized or undesirable software programs, such as viruses, worms, Trojan horses and others.

Motivation
To build a product that is as secure as possible from malicious interference.

Considerations
Each day brings more malevolence from the unknown, outside world. People buying software, or any other kind of product, expect that it can protect itself from outside interference,
16 Cultural and Political Requirements

16a. Cultural requirements
Examples
- The product shall not be offensive to religious or ethnic groups.
- The product shall be able to distinguish between French, Italian and British road numbering systems.

16b. Political requirements
Examples
- The product shall be installed using component X.
- The product shall make all functionality available to the managing director.
- The product shall be developed using XYZ standards.

Considerations
Did you intend to develop the product on a Macintosh, when the office manager has laid down a edict that only Windows machines are permitted?
Is a director also on the board of a company that manufactures products similar to the one that you intend to build?
Whether you agree with these political requirements has little bearing on the outcome. The reality is that the system has to comply with political requirements even if you can find a better/more efficient/more economical solution.
A few probing questions here may save some heartache later. The political requirements might be purely concerned with the politics inside your organization. However there are situations when you need to consider the politics inside your customers' organizations or the national politics of the country.
NFRs:
With Volere Requirements Specification Template

17 Legal Requirements
17a. Compliance requirements
Examples
- Personal information shall be implemented so as to comply with the data protection act.

Fit Criterion
Lawyers' opinion that the product does not break any laws.

Considerations
Consider consulting lawyers to help identify the legal requirements.
Are there any copyrights/intellectual property that must be protected? Alternatively, do any competitors have copyrights that you might be in danger of infringing?

17b. Standards requirements
Example
- The product shall comply with MilSpec standards.
- The product shall comply with insurance industry standards.
- The product shall be developed according to SSADM standard development steps.

Fit Criterion
The appropriate standard-keeper certifies that the standard has been adhered to.

Considerations
It is not always apparent that there are applicable standards because their existence is often taken for granted. Consider the following:
Are there any industry bodies that have applicable standards?
Has the industry a code of practice, watchdog or ombudsman?
Are there any special development steps for this type of product?
NFRs:
With Rational Unified Process and UML

**UML – de facto standard for OOA; but FR-dominance!**

**Use cases** as primary tool for FRs elicitation and modeling

**Package Dependency Diagram**  **Class diagram** to describe components/objects and their relationships

Use cases are realized with **interaction diagram** showing interaction between components or objects
NFRs:
With Rational Unified Process and UML

What Are Use Cases?

**System** = the *system in question* that provides the functionality represented by use cases

**Actor** = an *external entity* (human or system)

**Use case** = *functionality* (FRs) provided by the system

**Actor-Use Case Association** = an *interface* between an actor and the system

**Use case details, including NFRs, are embedded textually using a template**
# NFRs:

## With Rational Unified Process and UML

### Inadequate Handling of NFRs

<table>
<thead>
<tr>
<th>Title</th>
<th>Submit Price Proposal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Supplier submits price proposal against a RFP (request for proposal).</td>
</tr>
<tr>
<td>Actors</td>
<td>Supplier</td>
</tr>
</tbody>
</table>
| Basic Flow  | 1. Supplier selects an RFP and requests system to submit a proposal against the RFP.  
2. System prompts the Supplier for proposal information.  
3. Supplier provides the following proposal information…  
4. …. |
| Alternate Flows | In step 3, Supplier may request to … |
| Special Requirements | Supplier may not see other suppliers’ identity and submitted proposals. |

## Problems:

1. NFRs not modeled and organized, and not *visually*
2. NFRs not traceable to architecture and design
3. Error prone if NFR applicable to multiple use cases
### NFRs: With Rational Unified Process and UML

#### Other Integration Schemes

<table>
<thead>
<tr>
<th>Method</th>
<th>Integration Point</th>
<th>NFR Modeling Constructs</th>
<th>Drawbacks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cysnerios’s [1]</td>
<td>Text (LEL)</td>
<td>SIG, Class/ERD extensions</td>
<td>Not using the preferred use case modeling for FR elicitation</td>
</tr>
<tr>
<td>Lee’s [2]</td>
<td>Use cases</td>
<td>Use cases</td>
<td>Using use cases (FR constructs) to represent NFRs. No organizational constructs.</td>
</tr>
<tr>
<td>Moreira’s [3]</td>
<td>Text (use case template)</td>
<td>Unnamed use cases with stereo type name indicating the NFR, e.g., &lt;&lt;Security&gt;&gt;</td>
<td>Using use cases (FR constructs) to represent NFRs. Non-standard usage of unnamed entity. No organizational constructs.</td>
</tr>
<tr>
<td>Dimitrov’s [4]</td>
<td>Use cases, Sequence diagram, State chart, Activity diagram</td>
<td>Informal annotation on diagrams</td>
<td>Specific to performance NFR. No organizational constructs.</td>
</tr>
</tbody>
</table>

**No single scheme providing all of:**

- Use case driven
- Modeling constructs for representing and organizing NFRs
- Preserving underlying use case principles (e.g., ovals for FRs but not for NFRs)
- Generic for a wide range of NFRs