We investigate the BCS-BEC crossover in three-dimensional degenerate Fermi gases in the presence of spin-orbit coupling (SOC) and Zeeman field. We show that the superfluid order parameter destroyed by a large Zeeman field can be restored by the SOC. With increasing strengths of the Zeeman field, there is a series of topological quantum phase transitions from a nontopological superfluid state with fully gapped fermionic spectrum to a topological superfluid state with four topologically protected Fermi points (i.e., nodes in the quasiparticle excitation gap) and then to a second topological superfluid state with only two Fermi points. The quasiparticle excitations near the Fermi points realize the long-sought low-temperature analog of Weyl fermions of particle physics. We show that the topological phase transitions can be probed using the experimentally realized momentum-resolved photoemission spectroscopy.

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Ultracold Fermi gases with tunable atom interaction through Feshbach resonance [1] have garnered tremendous attention recently [2] for their potential use as an ideal platform for emulating many important physical phenomena. Interesting physics, including the crossover from Bardeen-Cooper-Schrieffer (BCS) superfluid to Bose-Einstein condensate (BEC) of molecules [3], universal properties in the unitary limit [4], vortices [5], etc., have been observed in experiments. In addition to single species Fermi atoms with equal population in two spin states, Fermi gases with population and mass imbalance have also been intensively studied [6–8]. Here the population imbalance between two pseudospin states serves as an effective Zeeman field, which have stimulated enormous experimental efforts on searching for the Fulde-Ferrel-Larkin-Ovchinnikov state in Fermi gases [9].

The pseudospin of atoms can couple with not only the effective Zeeman field, but also with the orbital degrees of freedom of atoms [i.e., spin-orbit coupling (SOC)]. The recent experimental realization of SOC for ultracold atoms [10] opens a completely new avenue for investigating the SOC physics using degenerate cold Fermi gases. In this context, it is natural to investigate the BCS-BEC crossover physics [11,12] in the presence of SOC, which is an important and interesting problem by itself and has not been investigated previously. Furthermore, such crossover physics is also important because the $s$-wave superfluid, together with SOC and Zeeman field, may yield intriguing chiral $p$-wave physics [13] such as Majorana fermions with non-Abelian statistical properties [14]. However, such chiral $p$-wave physics may be observable only in the crossover region where the superfluid order parameter is large and thus robust against finite temperature effects.

In this Letter, we investigate the BCS-BEC crossover and the topological properties of a three-dimensional (3D) uniform $s$-wave superfluid in the presence of both Zeeman field and Rashba type of SOC. Under the mean-field approximation, we derive the superfluid gap and atom density equations and solve them self-consistently in the BCS-BEC crossover region. Our main results are the following: (I) It is well known that the superfluid can be destroyed by the Zeeman field beyond a critical value for a given $s$-wave interaction strength [15]. We show that a finite SOC strength can restore the superfluid pair potential back to the system even when the Zeeman field is well above the critical value. (II) At zero temperature, the nonzero superfluid pair potential in the presence of SOC supports, with increasing strength of the Zeeman field, a series of 3D topological quantum phase transitions [16] from a nontopological superfluid state with fully gapped fermionic excitations to a topological superfluid state with four protected Fermi points (i.e., gap nodes) and then to a second topological superfluid state with only two protected Fermi points (see Fig. 3). Such 3D topological superfluids are gapless without Majorana fermions because of the existence of Fermi points, as opposed to the 2D fully gapped topological superfluids with Majorana fermions [14]. (III) The superfluid phases separated by the topological quantum critical points are indistinguishable in terms of the superfluid pair potential, which remains continuous across both transitions. On the other hand, the superfluid phases are distinguishable in terms of the ground states which have very different excitation spectra. The nontopological superfluid state is a usual fully gapped $s$-wave superfluid, while the topological superfluid states are gapless even if the superfluid pair potentials are still strictly $s$ wave. These peculiar gapless topological superfluids are new phases of matter and here we show how to identify such phases and the corresponding quantum critical points using the experimentally already
realized momentum-resolved photoemission spectroscopy [17,18].

Mean-field theory.—The system we consider is a 3D uniform s-wave fermionic superfluid with the atom density $n$ and which is subject to Rashba SOC in the xy plane and a Zeeman field along the z direction. The dynamics of the Fermi gas can be described by the Hamiltonian $H = H_0 + H_{\text{int}}$, where the single particle Hamiltonian $H_0 = \sum_{\gamma} \epsilon_k c_{\gamma k}^\dagger c_{\gamma k} + \alpha (\sigma_x k_y - k_x \sigma_y) + \Gamma \sigma_z$, $\gamma = 1, 2$, is the pseudospin of the atoms, $\epsilon_k = \mu - \mu_k$ is the chemical potential, $\alpha$ is the Rashba SOC strength, $I$ is the $2 \times 2$ unit matrix, $\sigma_i$ is the Pauli matrix, $\Gamma$ is the strength of the Zeeman field, and $c_{\gamma k}$ is the atom annihilation operator.

$H_{\text{int}} = g \sum_{\gamma} c_{\gamma k}^\dagger c_{\gamma k} M_{\gamma} M_{\gamma}$ is the s-wave scattering interaction with $g = 4\pi \hbar^2 \tilde{a}_s/m$, and the scattering length $\tilde{a}_s$ can be tuned by the Feshbach resonance [1].

In the mean-field approximation, the s-wave superfluid pair potential $\Delta = g \sum_{\gamma} c_{\gamma k}^\dagger c_{\gamma k}$ and $H_{\text{int}} = -\Delta^2/g + \Delta \sum_{\gamma} (c_{\gamma k} c_{\gamma k} - c_{\gamma k}^\dagger c_{\gamma k}^\dagger)$. Under the Nambu spinor basis $\Psi_k = (c_{\gamma k}^\dagger, c_{\gamma k}^\dagger, -c_{\gamma k}^\dagger)^T$, the Hamiltonian is $H = \frac{1}{2} \sum \Psi_k^\dagger M_k \Psi_k - \Delta^2 / g + \sum \xi_k$, where

$$M_k = \begin{pmatrix} H_0(k) & \Delta I \\ \Delta I & -\sigma_z H_0(-k) \sigma_y \end{pmatrix}$$

preserves the particle-hole symmetry. The quasiparticle excitation energy

$$E^\pm_k = \lambda \sqrt{\xi_k^2 + \alpha^2 k_z^2 + \Gamma^2 + |\Delta|^2 \pm 2E_0}$$

is the eigenvalue of $M_k$, where $\lambda = \pm$ correspond to the particle and hole branches, $E_0 = \sqrt{\Gamma^2 (\xi_k^2 + |\Delta|^2) + \alpha^2 k_\perp^2 \xi_k^2}$, and $k_\perp = \sqrt{k_x^2 + k_y^2}$. For $\alpha = \Gamma = 0$, Eq. (2) reduces to $E^\pm_k = \lambda \sqrt{\xi_k^2 + |\Delta|^2}$ in the standard BCS theory.

Diagonalizing the total Hamiltonian using the Bogoliubov transformation and following the standard procedure [2], we obtain the gap equation

$$\frac{m \Delta}{4\pi \hbar^2 a_s} = -\Delta \sum_{k, \eta} (1 - \eta \Gamma^2/E_0) f(E^+_{k,\eta}) - \frac{1}{2} \xi_k f(E^+_{k,\eta})$$

where $f(E^+_{k,\eta}) = \tanh(\beta E^+_{k,\eta}/2) / 4E^+_{k,\eta}$, $\beta = 1/k_BT$, $T$ is the temperature, and $k_B$ is the Boltzmann constant. Following the standard procedure [2], the ultraviolet divergence at the large $k$ in Eq. (3) has been regularized through subtracting the term $1/2\xi_k$ and $a_s$ is defined as the renormalized scattering length. The total number of atoms can be obtained using a similar method [2]

$$N = 2 \sum_{k, \eta} \gamma \left( \alpha^2 k_\perp^2 + \Gamma^2 \right) E_0 f(E^+_{k,\eta}) + \frac{1}{2} \xi_k f(E^+_{k,\eta})$$

We self-consistently solve the gap equation (3) and the number equation (4) for different parameters ($\alpha K_F$, $\Gamma$, $\nu$, $T$) for a fixed atom density $n$ to determine $\Delta$ and $\mu$. Here $\nu = 1/K_F a_s$ and $K_F = (3\pi^2 n)^{1/3}$ is the Fermi vector for a noninteracting Fermi gas with the same density at $\Gamma = \alpha = 0$. The energy unit is chosen as the Fermi energy $E_F = \hbar^2 K_F^2/2m$.

BCS-BEC crossover.—Without SOC, it is well known that the Zeeman field $\Gamma$ can lift the spin degeneracy between $\uparrow$ and $\downarrow$, thus destroys the Cooper pairing when the Zeeman field is larger than the pairing interaction energy [15]. This result can also be understood from Eq. (2), where the quasiparticle excitation gap $E^\pm_k = \min k \sqrt{\xi_k^2 + |\Delta|^2}$ is nonzero for a suitable chosen $k$ when $\alpha = 0$ and $|\Gamma| > \Delta$. With SOC, the single particle Hamiltonian $H_0$ has two bands and each band contains both spin $\uparrow$ and $\downarrow$ components even with a large Zeeman field, leading to nonzero superfluid Cooper pairing between two fermions in the same band with opposite momenta.

Such SOC induced nonzero superfluid pair potential can be clearly seen in Fig. 1, where we plot the change of $\mu$ and $\Delta$ with respect to $\nu = 1/K_F a_s$ for different parameters ($\alpha K_F$, $\Gamma$) at $T = 0$. In the BCS side, the SOC strength $\alpha K_F$ and the Zeeman field $\Gamma$ do not have a significant influence on $\Delta$ because all fermion atoms form bound molecules. Hence we mainly focus on the BCS side. At $\alpha = 0$, the superfluid pair potential is destroyed when $\Gamma > \Delta$, as expected. In contrast, $\Delta$ and $\mu$ are independent of $\Gamma$ when $\Gamma < \Delta$. This can be understood from the fact that, without SOC, Eqs. (3) and (4) are independent of $\Gamma$ when $\Gamma < \Delta$. Therefore there is a sudden jump of $\Delta$ and $\mu$ at $\Gamma = \Delta$ for $\alpha = 0$, as clearly seen from Fig. 1. We see $\Delta$ can be restored to nonzero values even for a large $\Gamma$ when $\alpha K_F$ is switched on.

In Fig. 2(a), we plot $\Delta$ with respect to $\alpha K_F$ on the BCS side with $\nu = -1$ and $T = 0$. For other values of $\nu$ and $T$, the results are similar. When $\Gamma < \Delta$, the pair potential $\Delta$ approaches the same point (determined by the interaction strength $\nu$) for different $\Gamma$ when $\alpha \rightarrow 0$. $\Delta$ vanishes for $\Gamma$ beyond a critical value at $\alpha = 0$, but can be restored when $\alpha$ is nonzero. Therefore the superfluid order can still be

![FIG. 1 (color online). Plot of $\mu$ (a) and $\Delta$ (b) versus $\nu = 1/K_F a_s$ for different parameters ($\alpha K_F$, $\Gamma$) at $T = 0$.](image-url)
observed even with a large Zeeman field. In Fig. 2(b), we plot \( \Delta \) versus \( \Gamma \) for different SOC. Without SOC, we observe a sudden jump of the pair potential at \( \Gamma = \Delta \), as expected. With nonzero SOC, \( \Delta \) decreases smoothly with \( \Gamma \). At large \( \Gamma \), the numerical results can be fitted with \( \Delta \sim \chi \Gamma^{-2} \) with the constant \( \chi \) depending on the SOC.

**Topological phase transition.**—The existence of nonzero superfluid pair potentials induced by the SOC does not automatically determine the topological properties of the superfluid. In a 3D uniform superfluid, the momentum is a good quantum number and the topological order of the superfluid can be classified by the number of Fermi points when different phases: (A) a fully gapped nontopological superfluid becomes a gapped BEC at a single topological quantum phase transition (two quantum critical points) is quite different from the situation encountered across a \( p \)-wave Feshbach resonance (i.e., driven by varying the interaction strength), where a 3D gapless \( p_x + i p_y \) BCS superfluid becomes a gapped BEC at a single topological critical point at \( \mu = 0 \) \([19]\). In addition, the superfluid pair potential is still finite in our system, while it vanishes in the \( p_x + i p_y \) superfluid \([19]\) when the quasiparticle excitation gap closes.

**Observation of topological phase transition.**—The peculiar phases B and C with strictly \( s \)-wave pair potentials, but topologically protected Fermi points, are new phases of matter. Here we show how to identify such phases and the corresponding topological quantum critical points by the momentum-resolved photoemission spectroscopy \([17,18]\).

Note that topological quantum critical points are not easily observable in usual experimental probes because of the absence of broken symmetries and emergent order parameters at such transitions. In the photoemission experiments, \( E_{k-}^+ \) for a fixed (or a small range of) \( k_z \) can be measured by analyzing the part of the time of flight image with the same \( k_z \). This measurement yields \( E_g \) as a function of \( k_z \) and \( \Gamma \), from which the phase diagram in Fig. 3(b) can be mapped out through \( E_g(k_z, \Gamma) = 0 \). In the following, we describe the change of \( E_g \) for \( k_z = 0 \) and increasing \( \Gamma \) [the horizontal red arrow in Fig. 3(b)]. The results are similar for \( k_z \neq 0 \).
For $k_c = 0$, $E_g$ does not close at the boundary between phases A and B; therefore, the quasiparticle excitations are gapped nontopological when $\Gamma < \Gamma_c$ (i.e., A and B phases merge together), $E_g$ vanishes at $\Gamma_c$, and then reopens when $\Gamma > \Gamma_c$, where the quasiparticle excitations become topologically nontrivial. In Fig. 4(a), we plot $E_g$ at $k = 0$ with respect to $\Gamma$ at $T = 0$. The corresponding $\Delta$ and $\mu$ are also plotted. When $\Gamma$ sweeps through $\Gamma_c = 0.56E_F$, $E_g$ first closes and then reopens, indicating a transition from nontopological quasiparticle excitations to gapless excitations and then to topological excitations. At $\Gamma_c$, we still observe a strong $\Delta = 0.52E_F$, although $E_g$ has a node. For other fixed $k_c \neq 0$, the corresponding $\Gamma_c$ can also be determined similarly through the vanishing of $E_g$. Therefore the phase diagram for the 3D topological phase transition in Fig. 3(b) can be mapped out.

A realistic experiment only works at a finite temperature, which induces thermal excitations and may destroy the superfluid. The percentage of the thermal component in the gas depends strongly on the ratio $E_g/k_B T$. Note that at finite temperature, there is no definite boundary between different phases; i.e., there are only finite temperature phase crossovers. Nevertheless, we still plot the phase lines in Fig. 4(b) at which

$$E_g(\alpha, \nu, \Gamma, T) = k_BT$$

for the illustration of the topological phase crossover region. Note that $E_g$ also depends on $T$, and Eq. (6) should be solved self-consistently with Eqs. (3) and (4). Therefore the relationship between $\Delta$ and $\Gamma$ here is quite different from the zero temperature case in Fig. 4(a). In experiments, the fixed temperature corresponds to a horizontal line (with the arrow) in the phase diagram Fig. 4(b). Between phases A and C, there is a quantum critical region where finite temperature generates many quasiparticle excitations.

Experimental parameters.—In experiments, the Rashba SOC and Zeeman field can be generated through the coupling between atoms and laser fields [10,20]. We consider a set of realistic parameters for $^{40}$K atom with $n = 5 \times 10^{12}$ cm$^{-3}$, corresponding to the Fermi energy $E_F = \hbar \times 3.5$ kHz. A large SOC strength $\alpha K_F \sim E_F$ is also attainable [10,20]. The effective Zeeman field $\Gamma$ from 0 to $E_F$ can be obtained by tuning the intensity of laser beams [10,20]. By tuning the $s$-wave scattering length using the Feshbach resonance [1], we can vary $\Delta$ from $-0.1E_F$ to $\sim E_F$. The existence of nonzero superfluid pair potentials induced by the SOC with a large Zeeman field can be detected through the emergence of vortices [5] in experiments. The topological phase transition can be observed by detecting the change of $E_g$ versus $\Gamma$ for each fixed $k_c$ using the momentum-resolved photoemission spectroscopy [17,18]. From Fig. 4(a), we see for $1/K_F a_s = -0.1$ and $\Gamma = 0.85E_F$, $E_g \sim E_F$, which is much larger than the energy resolution in the photoemission experiment. In experiments, the observed gap $E_g$ first decreases to zero and then increases, which provides a clear signature for the transition from nontopological to topological superfluid phases. Note that the transition temperature $T_c$ from superfluid to normal states in the presence of SOC should be larger than that for the superfluid without SOC [3] because of the enhanced superfluid order parameter $\Delta$ [see Fig. 2(a)] [21]. The temperature needed for the observation of the topological phase crossover in Fig. 4(b) is clearly lower than $T_c$, which is nonzero on both sides of the critical $\Gamma_c$ because of the existence of the nonvanishing $\Delta$.

Summary.—In summary, we study the BCS-BEC crossover and the topological phase transitions in 3D uniform spin-orbit coupled degenerate Fermi gases. The predicted SOC induced $s$-wave superfluid opens new possibilities for generating and observing many new topological phenomena in Fermi gases. The observation of the 3D topological phase transitions using the experimentally already realized photoemission spectroscopy provides a critical first step for searching for nontrivial topological superfluid states (which in 2D support Majorana fermions and the associated non-Abelian statistics [14,22]) in cold atom $s$-wave superfluids, which are of not only fundamental but also technological importance.

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Note added.—Recently, two papers appeared [21,23], where the BCS-BEC crossover with SOC (but without Zeeman fields) is discussed. See following Letters.

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