Running head: MUSIC DESCRIPTOR SPACE

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Cognitive Music Listening Space: A Multivariate Approach

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- Survey design & creation, Data collection & processing, Statistical analyses, Writing -
- Original draft preparation; Mathilde Vandenberghe: Original concept, Survey design &
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1

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Abstract

Participants with either French or American nationality responded novel music stimuli and

evaluated those musical excerpts using either adjectives or quantitative musical dimensions.

19 Results were analyzed using correspondence analysis (CA), Hierarchical cluster analysis

20 (HCA), Multiple Factor Analysis (MFA), and Partial Least Squares Correlation (PLSC).

21 All except the HCA used Bootstrapping and Permutation testing for inferences. Significant

22 differences were revealed in how French and American listeners responded to the excerpts

using adjectives, but not using the quantitative dimensions. We did not control how

24 participants listened to the stimuli, but they were encouraged to use headphones or listen

25 in a quiet listening environment. Participants were also able to complete the survey using a

mobile device. This serves as a case study in research methodology that allows for a

²⁷ balance between relaxing experimental control and maintaining statistical rigor.

28 Keywords: Music, Emotion, Multivariate Analyses

Word count: 5631

Cognitive Music Listening Space: A Multivariate Approach

31 #top

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World events over the last year have demonstrated the need for an expansion of traditional experimental paradigms. Specifically, it has demonstrated the need for robust and consistent remote or online data collection. However, that shift in collection necessitates a consequent shift in analysis. Experiments conducted in labs are subject to all of the controls that are possible under lab conditions, the data collected are therefore cleaner than those collected using online surveys. Dirtier data means that most likely, some of the assumptions associated with traditional univariate analyses, hypothesis testing, and inferences are violated, thus necessitating different methods of analysis and inference.

Here we present a case study using real data that features online multinational data collection and multivariate analyses. The initial motivation for this came from a study investigating cross modal sensory mapping between gustation perception, specifically beer, and music perception. As such, this study was designed to investigate whether a music cognitive listening space could be established using the experimental and analysis paradigm outlined below, to allow cross-modal comparison. Additional questions arise from the study itself: are there significant differences in how participants from different nationalities (and by extension musical cultures) perceive, or, more precisely, describe music? Are there parallels in how music is evaluated using music non-specific descriptors and music-specific qualities?

Noise in online data collection comes in many forms, including, but not limited to incomplete responses, environment, or technology used to access the survey. Maintaining experimental rigor through these sources of variance can be difficult, but is not unmanageable. Check-all-that-apply (CATA) (Meyners & Castura, 2014) is an example of a data collection technique that features a number of benefits in this regard. Other sources of noise can be minimized by increasing sample size, which is relatively easy when using

online data collection, and by using analyses that are able to capture a greater dimensionality in their solutions.

In the CATA technique, for each stimulus, participants are presented with a list from 58 which they are instructed to select any and all items that they feel describes the stimulus. It minimizes participant cognitive demand by providing a rapid means of assessing sensory profiles (Ares et al., 2010; Meyners & Castura, 2014). Katz and Braly (1933) provides an early example of the use of the CATA paradigm in the psychological sciences. It is not terribly common in the psychological sciences anymore, but has been and continues to be used widely in sensory evaluation (Abdi & Williams, 2010a). A single stimulus may be described by multiple adjectives, so selecting only one 'correct' answer is not necessary. Similarly, the adjectives that may only partially describe the stimulus, or do so tangentially, are likely to be selected by fewer participants, and adjectives that more completely describe the stimulus will be selected by more participants. Thus we have a data collection paradigm that allows for a gradient across the adjectives and stimuli that is robust to violations, either intentional or not. A more complete treatment of the value of 70 such a data collection mechanism, including assessments in which there is a 'correct' answer, is found in Coombs et al. (1956).

Multivariate analyses are useful tools for dealing with 'dirty' data, that is, data with
a smaller signal-to-noise ratio. Univariate analyses are less than ideal for studies run online
because any violations in the one target variable reduce the signal, and make it more
difficult to interpret results and draw conclusions. One solution is greater power, another is
to increase the number of variables and change the analytical paradigm. Using a
multivariate perspective helps the analysis. In a solution to a system in which there are ten
or more dimensions, greater noise in one or two of those dimensions is less intrusive
because the multivariate solution evaluates the total variance in all of the dimensions,
instead of the variance for each individual dimension separately. This makes the system

and the solution more robust to violations and noise. Additionally, the robustness of this
type of analysis is compounded by greater power.

84 Music Perception

Quantifying music perception is an interesting problem that gets at the heart of this
specific issue. Music is an artistic and communicative acoustic medium that unfolds over
time. Most music studies impose strict controls over participants' listening environment to
minimize differences in the auditory signal and environment. Small changes can affect
listeners' perception, especially when the study involves timing or specific tuning. However,
the experimental controls may be loosened slightly when investigating holistic music
listening, as the macro signal is more important than any individual facet.

In this holistic listening paradigm, listeners continuously evaluate incoming information and compare it with that which came before. These comparisons are related to both technical and affective aspects of music. While these two aspects of music are theoretically distinct, in practice there is a great deal of interplay between the two.

Listeners respond affectively to technical aspects of music, and composers use various musical and compositional techniques things to reflect the internal emotional states they want to express. And, although isolated musical characteristics have been demonstrated to have a certain effect on listeners' affective perception (Bruner II, 1990), the interactions between multiple musical characteristics provide a more complicated challenge, to say nothing of the individual associations that participants bring to the table (Kopacz, 2005).

One of the reasons these interactions have been difficult to pin down is that models
like ANOVA which use only a few variables are limited by how many variables a researcher
can include while remaining coherent. Thus, the many studies that use strict controls and
vary only one element of music at a time to evaluate how various technical aspects of music
correspond to emotions for the purpose of induction, (see Bruner II (1990) for a summary)

do not reflect the complexity inherent to music and music listening.

Research on music and emotion is a similarly well-trod topic. See, for example, Juslin 108 and Sloboda (2010). An early study by Wedin (1969) supported Osgood's (1955) theory 109 that valence and arousal were the two most salient dimensions in evaluating emotionally 110 charged stimuli, including music. Studies supporting the existence of the valence-arousal 111 plane (Osgood & Suci, 1955) have replicated these results many times. In fact, recent 112 trends in experimental procedure in behavioral studies of music and emotion have been for 113 participants to rate music using arousal and valence sliders (Bigand et al., 2005; Madsen, 114 1997), specifically asking the participants to rate on those two dimensions. This is useful, 115 but limiting, as it provides fine-grained detail on the level of arousal or valence a given 116 stimulus provides, but does not qualify that information. There have been a few studies 117 that have specifically investigated dimensions beyond those first two (for example Rodà et 118 al. (2014)), and recent theories of the dimensionality of emotion include as many as 27 119 dimensions (Cowen & Keltner, 2017), but the various results on perceptual dimensions 120 beyond valence and arousal are inconclusive. 121

One common analysis used for these kinds of studies is Multidimensional Scaling (MDS). MDS was introduced fairly early on as a means of evaluating the perceptual space around musical excerpts (Wedin, 1969, 1972). Studies in this vein have continued to date. However, MDS is primarily a distance analysis, and is therefore limited in the perspective it can provide. It is commonly used to represent the cognitive distance between stimuli. This is an interesting application of this analysis, but doesn't use it to its full potential. We suggest that this analysis may be more effective in representing the cognitive differences in the behavior of participants.

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Present questions & methods of analysis

mode of investigation, sample & size, and analysis. The basic question was simple: how do 132 French and American participants describe music? Our investigative paradigm, along with sample and size, are addressed in the methods section below, but we felt it may be useful to provide a quick overview of the analytical techniques for readers who may be unfamiliar. 135 Correspondence Analysis. The primary analysis used on the data collected in 136 the surveys is Correspondence Analysis (CA). CA has many names, and has been 137 'discovered' many times by many people. There are a number of excellent references that 138 illustrate the calculative (Greenacre, 1984) and graphical or geometrical (Benzécri, 1973). 139 CA is similar to Principal Components Analysis (PCA), except that it allows for the analysis of qualitative data. Data for a CA is organized in a contingency table or a pseudo contingency table. A contingency table is be when a participant selects only one option from a list for each stimulus, resulting in a table for each participant with one and only one one (1) per row, and a pseudo contingency table has as many ones as items selected for a given stimulus. Because we use a CATA paradigm for the adjective survey, we use the latter. In this table, the value in a given cell represents the relationship between the observation and the variable symmetrically, that is, it is both the number of times a 147 variable was selected to be associated with an observation, and the number of times an 148 observation was selected to be associated with a variable. Because of this, the variance of 149 the table as a whole can represent either the variance associated with the rows or the 150 columns, depending on how it is analyzed. Thus, this technique allows us to plot factor 151 scores for both rows and columns in a single space. In addition to the standard factor plots, 152 we used permutation tests and bootstrapping to make inferences. 153

In this study, we attempt to address three specific issues with the field as a whole:

Partial Least Squares Correlation. Partial Least Squares Correlation (PLSC)

(Abdi & Williams, 2013) analyzes two data tables that have the same information either on
the observations (rows) or variables (columns). The PLSC extracts the covariance between

two tables in the form of *latent variables*. This technique is commonly used in 157 neuroimaging studies to evaluate correlations between matrices of imaging data and of 158 behavioral or task data (Krishnan et al., 2011). In our context, the PLSC extracts the 159 information that is shared between the adjectives ratings and the musical dimensions 160 ratings. The stimuli are on the observations (rows) for both data tables. Additionally, the 161 contributions and loadings will show us which variables are responsible for creating or 162 defining the primary axes of similarity between the two data sets. There are some criticisms 163 of this technique that argue that it is overpowered, that it can 'find' spurious correlations, 164 and to that end we would simply suggest caution when interpreting PLSC results. 165

Multidimensional Scaling. Multidimensional Scaling (MDS) (Borg & Groenen, 166 2005) analyzes a square, symmetrical distance matrix in which each cell represents the 167 distance, or the amount of difference, between the item on the row and on the column. The 168 resultant factor scores are the relative distance between all of the points, and are plotted 169 similarly to PCA. In this case, we calculated a symmetrical distance matrix for the 170 participants, to see whether there were any significant differences between groups of 171 participants when grouped according to any of the factors extracted from the demographics 172 survey.

Multiple Factor Analysis. Multiple Factor Analysis (MFA) is the only 174 unplanned analysis used in this study, and is also the newest (Abdi et al., 2013). We chose 175 to run this analysis post hoc after finding significant mean differences between French and 176 American participants for one of the surveys. MFA is uniquely suited to analyze and 177 visualize the relative contributions of multiple tables or groups of variables simultaneously, and allows for the disambiguation of the various contributions of either a population or a 179 set of variables in a plot. The observations must all be the same for MFA, but analysis can 180 either evaluate the entire population, with the variables grouped in ways that are useful or 181 valuable to isolate, or with separate populations, using all the same variables for both 182 groups. The number of tables (i.e., populations or groups of variables) you choose to 183

analyse is limited by what makes sense, either mathematically by way of planned analyses 184 or visually in the partial factor scores plots. In any case, the visualization output for this 185 plot provides the researcher with factor scores of the observations overall, and partial factor 186 scores showing how each of the tables contributed to each observation; where each 187 individual weighted table would fall in the factor space relative to the other/s. Because the 188 tables for this analysis are weighted according to their overall inertia, with larger tables 189 being weighted less than smaller tables, this is a very useful technique when dealing with 190 unbalanced groups. 191

Inference Methods. Because the methods outlined above are not inferential methods, and do not inherently allow for hypothesis testing, we need to also apply methods that help with that. To acheive this, we use permutation testing (Berry et al., 2011) and bootstrapping (Hesterberg, 2011).

Permutation testing shuffles the data and recomputes the eigenvalues for each 196 iteration. Because the eigenvalues extracted from these data tables are also an indication of 197 how much variance is extracted by each dimension, random data should give us smaller 198 eigenvalues, indicating a weaker signal. Therefore, if the observed eigenvalues are larger 199 than a certain threshold, we can infer that the data we collected do, in fact, represent 200 something real or important. Importantly, this is determined by the number of iterations 201 that we permute, we can only infer to that degree. If we want to infer to the standard 202 alpha level of .05, then we would need to run at least 100 permutations, and hope that the 203 observed result was one of the largest five values. 204

Bootstrapping, on the other hand, is resampling with replacement. We use this
technique for two reasons: the first is to resample the factor scores to establish a confidence
interval around the mean of the groups, the other is to resample with a focus on the
loadings, to see which of the observations and variables load consistently on the dimensions
we're interpreting. Both give us an idea of the consistency of the data, and can once again
give us an idea of the statistical significance of mean differences based on the number of

iterations performed.

212 Methods

213 Participants

Participants (N = 604) were recruited similarly for both Experiments 1 and 2, and 214 thus are discussed simultaneously here. Participants for this study were recruited in multiple ways. The participants in the United States (n = 292) were recruited using the 216 traditional method of offering experimental participation credit, and also via social media. 217 French participants (n = 312) were recruited by word of mouth, email, and social media. 218 The only restrictions on participation were that the participant must have self-reported 219 normal hearing. We recognize that although we suggest that data collected in this way 220 have a much greater hypothetical reach, the data here represent a) a convenience sample, 221 b) that is limited to participants that have access to the internet, and c) because of the 222 nature of social media, many of the participants in the researchers' social circles are 223 themselves students, thus providing an additional confound. However, these specific 224 limitations could be remedied when designing and implementing future research. 225 The population we recruited was different for the two experiments. For Experiment 1, 226 we specifically sought out highly trained musicians (n = 84) with ten years or more of 227 music training. We recruited this population for two reasons: firstly, as a validation step, 228 to ascertain whether the stimuli truly reflected the composer's intent. Secondly, we had the 229 goal of evaluating the perceptual effect of the stimuli as it relates specifically to the musical 230 qualities. These perceptual evaluations were to then be correlated with the adjectives selected by those who participated in the adjectives survey. Participants were recruited for 232 Experiment 2 (n = 520) without regard to level of music training. 233 Of the responses to Experiment 1, 51 were removed to incomplete data (nF = 45, nA 234 = 6), leaving a total of 33 for the analysis. Of the responses to Experiment 2, 160 were 235 removed for not completing the survey (nF = 140, nA = 20), leaving a total of 360. Of the 236

responses to the survey administered in the US, participants were excluded from analysis if
they indicated a nationality other than American. "Asian-American," for example, was
included, but "Ghanian" was not. This left a total of 279 survey responses for Experiment
240 2 and 312 for analysis across both experiments.

All recruitment measures were approved by the UT Dallas IRB.

Material

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All stimuli were original, novel musical excerpts, in various western styles, 243 composed for this study. They were designed to evaluate a number of musical dimensions 244 and control for others (e.g., timbre). The stimuli were all string quartets, in order to 245 control for the confounding factor that different instruments are fundamentally described 246 in different ways. All stimuli were between 27s and 40s long, with an average length of 247 32.4s. The intent was to have all stimuli be around 30s long while preserving musical 248 integrity. All stimuli were composed using finale version 25.5.0.290 [cite finale] between 240 April 13 and June 18, 2020. Stimuli were recorded as way files directly from finale using 250 the human playback engine and embedded into each question in qualtrics in that format. 251 There were two separate surveys presented to participants. The survey 252 used in Experiment 1 (hereafter: Qualities Survey/QS) evaluated the musical stimuli on 253 concrete musical qualities like meter and genre. The survey used in Experiment 2 254 (hereafter: Adjectives Survey/AS) asked participants to evaluate the stimuli using 255 adjectives using the CATA paradigm. Both surveys also captured participants' 256 demographic data, including age, gender, nationality, occupation, and musical experience. The qualities assessed in the QS were selected from standard music-theoretical 258 descriptors of western music. For example, when rating the excerpts on tempo, participants 259 were asked to rate the excerpt using the scale Very Slow, Slow, Moderately Slow, Moderate, 260 Moderately Fast, Fast, and Very Fast. The full list of musical qualities and answer choices 261 is listed in the supplementary materials. The words for the AS were selected using

Wallmark (2019) as a guide and in consult with a French professional musician. Some words were initially selected in French and some in English. In all cases, words were selected for which there was a clear French (vis-à-vis English) translation. The words are listed in English and in French in the supplementary materials.

Procedure Procedure

Participants were provided with a link to either the AS or the QS. Both surveys were 268 administered using Qualtrics. After standard informed consent, participants listened to 15 269 excerpts and answered questions. Participants were instructed to listen to the excerpts 270 presented either using headphones or in a quiet listening environment, but that was not 271 strictly controlled, nor was it part of the survey. Participants in Experiment 1 answered 10 272 questions per excerpt, rating the excerpts using the qualities and scales provided. 273 Participants in Experiment 2 answered a single question per excerpt, in which they selected 274 any and all adjectives that they felt described the excerpt. Demographic survey questions 275 followed the experimental task. 276 Data Processing. Raw data were cleaned and processed in Excel and R. This 277 included translating all French responses to English for ease of analysis. Data were cleaned 278 and transformed into a pseudo contingency table for each participant, with the stimuli, as 279

included translating all French responses to English for ease of analysis. Data were cleaned and transformed into a pseudo contingency table for each participant, with the stimuli, as observations, on the rows and the responses as variables on the columns. In these individual tables, a one (1) at the intersection of each row or column indicates that the participant selected that adjective or musical quality for that stimulus. A zero means that they did not. These individual tables were all compiled into into two 'bricks,' or three-dimensional arrays of data with the same structure for the rows and columns, and the participants on the third dimension, which we will refer to as 'pages' here. Each array was then summed across pages into a single, two dimensional, summary pseudo-contingency table, so that any given cell contained the total number of times a participant selected a given adjective or quality for a given stimulus.

Since we did not use *a priori* grouping variables for the excerpts or adjectives, the summed tables were evaluated using hierarchical cluster analyses to see what groupings arose during evaluation. Hierarchical cluster analyses, included in supplementary materials, captured groupings of the excerpts when rated by the adjectives and when rated on musical qualities. The musical qualities were grouped by quality (e.g., levels of tempo, types of genre). These groupings were used for coloring on the plots and for statistical inferences.

295 Results

6 Experiment 1: Musical Qualities Survey

Participants. The

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scree plot in Figure 1 shows the eigenvalues for the distance analysis between musical experts. The usual guideline of analyzing only dimensions with eigenvalues greater than one seems prohibitive here, as all dimensions except the last have $\lambda > 1$. For

the purposes of this case study, we've opted

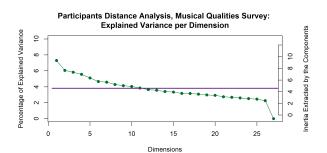


Figure 1

to focus on the first two dimensions, with $\lambda=9.06$ and $\lambda=7.52$, respectively. This scree plot suggests that each of the participants is contributing similarly to the dimensionality of this analysis. To evaluate this, we ran a Multidimensional Scaling (MDS) analysis on a double-centered cross product symmetric distance matrix calculated from the pages of the brick. This analysis revealed no significant difference between the experts based on any of the grouping variables used. The factor plots in Figure 2 show how the means of the factor scores, grouped by nationality and gender identity, respectively, show the means clustered on top of one another, right at the origin. The overlapping ellipses are the confidence intervals for the means.

Factor Scores for Participants in the Qualities Survey

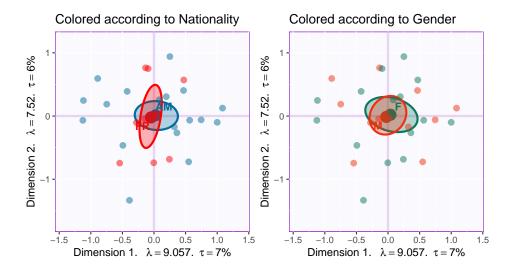


Figure 2

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Excerpts. The

scree plot for the analysis of the musical
quality ratings survey, Figure 3, shows
the high dimensionality of this space, with
the first three dimensions extracting a total
of 18.44%, 14.09% and 8.81% respectively,
totaling only 41.34% of the variance.

It isn't until we get to the 11th dimension

that we see >80% of the variance explained.

 $_{323}$ However, given that the assumption in an

analysis like this is that the sample is random, it's important to take these numbers with a grain of salt. Music itself is not random, and in a single excerpt of music of the type that was presented in this study, repetition is common, and some musical qualities are

inextricably linked, for example some stylistic elements with genre. Graphing the variable

Percentage of Explained Variance of Explaine

Explained Variance per Dimension

Figure 3

loadings (see Figure 4) of the musical qualities shows which ones contribute the most to the first two dimensions. Because of how CA is calculated, we know that the excerpts that load 320 on the same dimension and direction as the musical qualities are the excerpts that are most 330 associated with those qualities. The contributions shown here are only those that 331 contribute significantly to the first two dimensions. There are some obvious groups of 332 variables, especially tempo and articulation in the first dimension, with fewer contributions 333 from the dynamics group. The tempo variables, which are a continuum, load from high 334 (tempo.F6 and tempo.F7) in the positive direction to low (tempo.F2 and tempo.F1) in the 335 negative direction. Other contributions are one-off: major harmony, triple meter, classical 336 genre, undulating contour, and disjunct motion. The excerpts that load positively, and are 337 therefore associated with the qualities that load in the positive direction, are all from group 338 2: Excerpts 4, 13, 23, and 26. The ones that load in the negative direction are from mostly from group 4: Excerpts 7, 10, 24, and 27, with one from group 3, Excerpt 3. The second dimension seems to dominated by a few groups: harmony, meter, genre, dynamics. The one-offs are slow tempo, ascending contour, and "no melody." The excerpts that load significantly on this dimension are from all four groups. In the positive direction, 343 it's Excerpts 7, 12, 15, and 27 from Group 4, and Excerpt 19 from Group 1. In the negative direction it's Excerpts 2, 3, 11, and 17. All are from group 3 except for Excerpt 2, 345 which is from Group 2. A full enumeration of contributions, loadings, and boostrap ratios 346 is available at the github url in the author note. 347

Discussion. The graph depicted in Figure 5 is a biplot depicting how excerpts and variables plot in the same space. This biplot is possible because of the nature of correspondence analysis. Because the rows and columns of the contingency table X by definition have the same variance, the eigenvalues extracted from any matrix X are the same as X^{T} . Thus the axes on which the factor scores are plotted are the same for both the rows and the columns. However, interpretation requires some discernment. The distance between the excerpts can be interpreted directly as similarity, and the distance between the

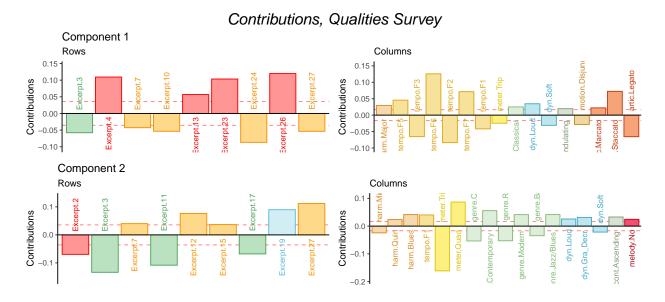


Figure 4

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musical qualities can be interpreted directly as similarity, but the distance between a quality and an excerpt cannot. Instead, the angle between an excerpt and a quality is indicative of their correlation. An angle of 0 indicates a correlation of 1, an angle of 90 indicates a correlation of 0, and an angle of 180 indicates a correlation of -1.

Overall, this helps us to evaluate what contribute to the excerpt groupings. These 359 first two dimensions suggest that the hierarchical cluster analysis (see supplementary 360 materials) revealed groupings roughly according to genre. However, there are two notable 361 outliers. Excerpts 6 and 14 are unique in that they are each the only representative of their 362 respective genres. Excerpt 6 is minimalist, à la Steve Reich, and Excerpt 14 is jazzy. 363 Preliminary versions of this analysis showed that they dominated the 2nd and 3rd 364 dimensions, respectively (see supplementary materials for visualizations). In the plot below, they are included instead as supplementary projections, essentially 'out of sample' elements. Their placement on the plot below alludes to the fact that the dimensionality of this space may in fact be related to musical genre or family. Although they dominated the space 368 when included in the sample, they are much closer to the barycenter of the plot when 369 included as out of sample. Were they to fall exactly on the origin, that would suggest that 370

they shared no information whatsoever with the other excerpts included in the analysis.

The disparity between their placement on the graph below and their placement on the graphs in which they are included in the main sample suggests that they share some information, but there is still a large amount of information that is not accounted for in the factor space depicted in Figure 5.

One perceptual element that is revealed here is that tempo and dynamics seem to 376 contribute, intensity-wise, similarly to the first dimension. This points to two specific 377 things. Firstly, it highlights possible bias in the compositional process. The excerpts were 378 not intentionally composed with those characteristics being similar in mind, but it's 379 entirely possible that the high or low arousal levels of the various excerpts that participants 380 respond to also drove some of the compositional process, and that turned up in the results. 381 Secondly, it's possible that the level of arousal was conflated between various musical 382 qualities. For example, the intensity and therefore tempo of a stimulus may have been 383 affected by the volume or dynamics (Kamenetsky1997?). Perception of tempo is also affected by note rate or event density, which is also tied to arousal. In two pieces played at 385 the same tempo, the one with more notes per unit time is more likely to be judged faster 386 than one with fewer (Drake1999?). There are also a few musical elements revealed from 387 the associations. The term staccato means short, or light and separated, and the term 388 legato means smooth and connected. The participants in this experiment didn't have 389 access to the notation, so they would be judging the excerpts aurally only. Between faster 390 and slower excerpts, notes of the same rhythmic value take up less time in the faster 391 excerpts, and may be more likely to be judged as light and separate, regardless of what the 392 actual articulation was. Slow tempo and legato are associated differently. In terms of 393 performance practice or pedagogy, slow notes are often intended to be connected as 394 smoothly as possible, in order to create a sense of continuity. In terms of genre and 395 harmony, many genres have harmonies associated with them (Kennedy2013?), and the 396 coordinate mapping of jazz/blues harmony and genre (on the third dimension) is the most 397

extreme example of this. A glance back at the factor scores plot shows us more detail: the 398 older styles, baroque, classical, and romantic, are negative on the 2nd dimension, as are the 399 simpler harmonies of major and minor. Likewise the newer western styles, impressionist, 400 modern, and contemporary, load positively on the 2nd dimension, along with the more 401 complex harmonies of chromatic, whole tone, and ambiguous. A brief historical survey of 402 the development of western harmony provides an interpretation for this. The classical 403 genre has fairly structured rules for both harmony and voice leading, but the romantic era 404 relaxed those rules and introduced more complex harmonies. The gradual devolution of 405 those rules and the increase in complexity of harmony continued through the modern and 406 contemporary styles (Kennedy2013?). Historically speaking, the whole tone scale wasn't 407 used commonly until the impressionist era. It is worth remembering, however, that because 408 of the nature of this survey, these results tell us more about the perception of the excerpts themselves rather than the behavior of the participants. Because the excerpts were 410 composed with the intent of varying across all of these musical dimensions, what we see is 411 a sort of validation that there is, in fact, that variety among these excerpts, and that they 412 are different enough to create a large and varied factor space. 413

Experiment 2: Musical Adjectives Survey

Participants. The scree plot 415 depicted in Figure 6 shows the explained 416 variance per dimension for the distance 417 analysis of participants in the adjectives 418 survey. Again, having a high number of 419 participants means that the dimensionality 420 is high, and each dimension is only 421 extracting a little variance. The first five 422 dimensions all have $\lambda > 1$: 1.66, 1.27, 1.13, 423

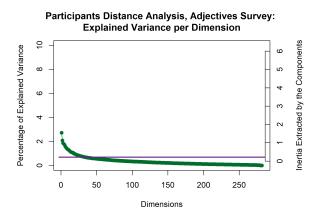
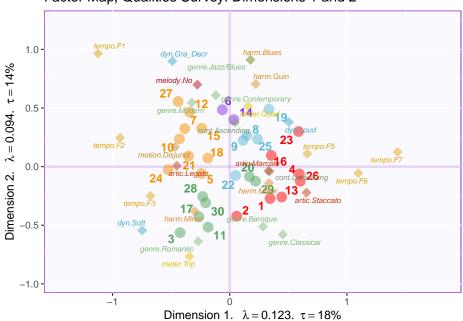


Figure 6



Factor Map, Qualities Survey: Dimensions 1 and 2

Figure 5

1.09, and 1.06, respectively, but because of

the high dimensionality here, the first dimension extracts only ~3\% of the overall variance.

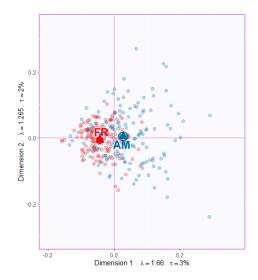
Again, as above, for the purposes of this case study, we're focusing on the first two

427 dimensions.

An MDS analysis of a distance matrix calculated from the pages of the brick revealed 428 significant group differences in how French and American participants described the 429 excerpts, p. < .01. The factor scores of the participants are plotted in Figure 7, with with 430 group means and bootstrapped confidence intervals shown for those means. The 431 bootstrapping resampling was performed with 1000 iterations. We also analyzed the data 432 using two other participant groupings as factors: gender identity, with three levels: Male, Female, or Non-Binary, and level of music training, with three levels: < 2 years, 2-5 years, and >5 years. Neither of these analyses revealed any significant differences between groups. 435 The plot in Figure 8 shows the explained variance per dimension in the 436

analysis of the excerpts contingency table. Although there are no components with $\lambda > 1$,

Figure 7. R_V Analysis of Participants in the Adjectives Survey



Note. Group means are indicated with triangles and labled with AM and FR. The ellipse around the group mean indicates the confidence interval, after bootstrapping 1000 iterations. The fact that there is a clear separation between the group means and the confidence intervals suggests that there is a significant difference between the groups, p > .001.

there are two strong dimensions that extract a majority of the variance. The first two dimensions extract 72.25% of the variance, with the first dimension extracting a majority: 50.05%, and the second dimension extracting almost a quarter of the overall variance: 50.05%.

This plot also suggests
that there are multiple 'elbows,' at the
3rd, 5th, and 7th dimensions, respectively,
with the third and fourth dimensions
forming an 'eigen-plane,' of two dimensions
which extract similar amounts of variance
and should be considered together. For this
analysis, however, we're focused on the two

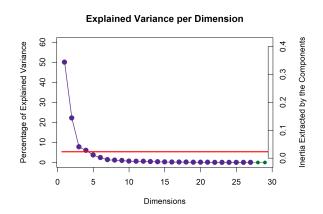


Figure 8

- 450 first dimensions. Additionally, although
- excerpts 6 and 14 are outliers in the
- musical qualities survey, for reasons detailed above, they were not outliers in this analysis.
- We therefore included them in all of the analyses for Experiment 2.

The contributions to the first two dimensions are depicted in Figure 9. Contributing 454 significantly to the positive end of the first dimension are excerpts from group three (green) 455 and to the negative end are excerpts from group one (yellow). Strong contributions on the 456 positive end of the dimension from the adjectives "Sad," "Dark," "Melancholy," "Slow," 457 Mysterious," "Solemn," and "Disturbing." The negative end of the first dimension is 458 defined by the adjectives "Fast," "Happy," "Dancing," "Colorful," and "Bright." The 459 second dimension is dominated by excerpts from group 4 (red) in the positive direction and group 2 (blue) in the negative direction. Two excerpts from group 3 also contribute significantly, excerpts 7 in the positive direction and excerpt 10 in the negative direction. 462 The columns contributing strongly in the positive direction are "Aggressive," "Fast," 463 Disturbing," "Mysterious," "Surprising" and "Complex." The columns contributing in the 464 negative direction are "Warm," Soft", "Happy", "Slow", "Round", and "Light". 465

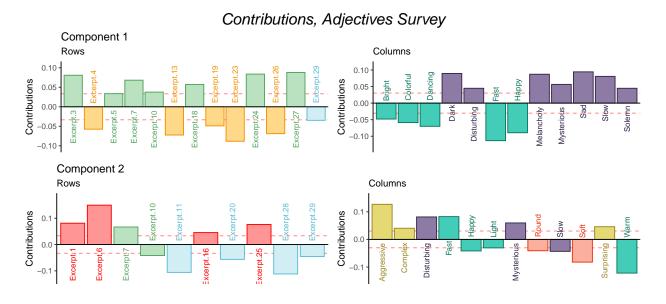


Figure 9

The barplots in Figure 10 show the bootstrap ratios calculated for the rows and 466 columns. Here we've included all of the rows and columns, because it's useful to see both 467 which are significant and which are not. This is an inferential method that tells us is how 468 consistently each of the observations and variables load on the first two dimensions. The 469 threshold in this case is p < .05. From this we get an idea of which of the rows and 470 columns are stable, in other words, which ones tended to be rated in a certain way 471 consistently across all participants, and also how likely these are to be observations 472 reflective of the population as a whole. In this plot, the more extreme value of the 473 bootstrap ratio, the more likely that it is a reflection of the 'real' value. The values in the 474 center of each plot that are grayed out identify the rows or columns that are not 475 consistently loading on the dimensions. With the observations and variables ordered like 476 this, it makes it easy to see how the consistently the clusters are distributed in the space. This plot was not included for Experiment 1 because it would be less informative given 478 what the survey in Experiment 1 was assessing. Experiment 1 doesn't evaluate the 479 behavior of participants, but the nature of the excerpts. Note that there are far more 480 significant bootstrap ratios than there are significant contributions. That just means that 481 while not everything is contributing, overall the model seems to be stable. Fewer significant 482 bootstrap ratios would suggest that there was a greater amount of variance in the 483 observations and variables than were accounted for, at least in the first two dimensions. 484 Looking at the nonsignificant values for the adjectives may inform our understanding of the 485 participants' use of the adjectives. 'Incisive,' 'transparent,' 'poweful,' 'dense,' 'round,' and 486 'sparse,' are all nonsignificant on the first dimension, and 'weak,' 'dull,' 'sparse,' 'valiant,' 487 and 'short' are all nonsignificant on the second dimension. All but 'sparse' are significant 488 on one dimension or the other. Looking at the column sum for 'sparse' tells us that it was 480 used, so this isn't an effect of participants not using this word. It's more likely that 'sparse' 490 doesn't really fit into the Valence-arousal plane. It's a neutrally valenced word that could 491 describe excerpts that fall anywhere within that plane. 'Weak' and 'transparent' give us 492

another important perspective. These were the two least commonly used adjectives, but the fact that they are consistently loading on one dimension or the other suggests that when they were used, they were used in the same way.

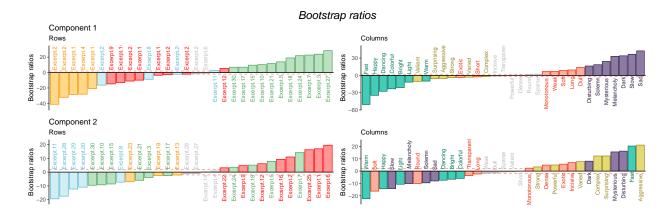
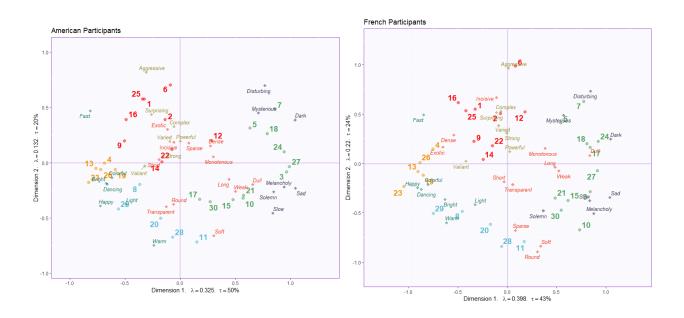


Figure 10

The factor maps below show the row and column factor scores for the 496 American and French participants. These are once again symmetric plots, interpretation is 497 the same as the factor plot for the musical qualities. There's a clear valence-arousal plane 498 apparent for both, and in both cases valence seems to define the first dimension and 499 arousal defines the second dimension. However, the difference in the amount of variance 500 extracted by the first two dimensions between the French and American participants is 501 notable. The French data show a weaker first dimension but a stronger second dimension 502 relative to the Americans, both in terms of variance extracted (tau), effect size (lambda). 503 This tells us that French participants were less affected by the excerpts than the American 504 participants, but they responded more to the arousal of the excerpts. There are also 505 differences in how the adjectives and the excerpts are distributed in the space. One clear example is that Excerpt 6 is in quadrant two in the American plot, but quadrant one in the French. This is a small change, but it suggests that the French participants were more likely to assign negative valence to this excerpt, and American Participants were more 509 likely to assign positive valence. For the adjectives, 'bright' and 'dancing' are directly on 510 top of one another in the American plot, but there is some space between the two in the 511

French plot. It's possible that this reflects the idea that although the meaning is shared between languages, there are semantic or associational differences between the words.

Figure 11. Symmetric Plots for Rows and Columns of the Adjectives Surveys, by Participant Nationality



Note. For these plots, the survey responses were split by nationality and analyzed separately. Note the differences in variance extracted by each of the first two dimensions.

Another way to visualize the relative contributions of the groups to the factor space is to use an MFA, the results of which are displayed in Figure 12. In these plots, we can see the differences in behavior between the groups more clearly. A few examples of excerpts that were rated differently are Excerpts 6, 8, 12, and 17. Words that were used differently include "Disturbing," "Round," "Solemn," and "Bright." It appears that the valence-arousal plane uncovered in the CA is also present here, and this provides a framework for interpreting the differences in behavior between the groups. Excerpt 17 is

perhaps the most extreme example. American participants rated this excerpt with much 521 lower arousal and slightly less negative valence than the French participants, so much so 522 that for the American participants, the excerpt landed in the "low arousal/negative 523 valence" quadrant, and for the french participants it landed in the "high arousal/negative 524 valence" quadrant. Another interesting case is for Excerpt 8, which lands in the same 525 quadrant for both groups, but much further from the origin for the French participants 526 than the Americans. The way in which the two groups used the words is also curious. For 527 example, Disturbing seems to be more extreme for the French participants than the 528 Americans. On the other hand, "Solemn" seems to be more a function of arousal in French 529 and valence in English. "Bright" is another example of a word that seems to have the same 530 intent but different extremity between cultures. For American participants, "Bright" seems 531 to carry much more positive valence than for French participants.

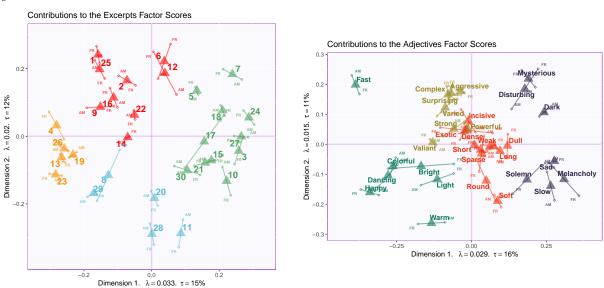


Figure 12. Partial Factor Scores Plots from the MFA

Note. In each plot, the triangles represent the combined factor scores and the small circles represent the partial factor scores contributed by each of the groups.

Experiment 3: Combined Surveys

Experiment 3 used the pseudo-contingency tables from Experiments 1 and 2 together. 534 Since excerpts 6 and 14 were excluded from analysis for Experiment 1, we also removed 535 those rows from the contingency table for Experiment 2. This is so that the dimensions of 536 the two tables for this PLSC would be conformable (remember that we need the same rows 537 or columns in both tables for this analysis). The point of this experiment is to identify the 538 strongest covariance, or the strongest shared signal, between the two tables. Now, this is 539 not to say that these two tables are evaluating the same thing. Instead it allows us to see 540 what is most common between two sets of different information - how often an excerpt was 541 associated with both a musical quality and an adjective. The visualizations below allow us 542 to see which variables from each of the two tables correspond with one another; which 543 adjectives are associated with which musical dimensions. Even though both individual tables have their own factor spaces, plotting the common factor space between the two should allow us to see which excerpts are separated from one another using data from both surveys.

Results. This analysis

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revealed two dimensions that extracted the 540 majority of the variance (83.60%). Of that 550 total extracted by the first two dimensions, 551 the first dimension extracted 64.35% and 552 the second dimension extracted 19.26%. 553 The scree plot below shows that it's possible that there are two elbows in this graph, at the 3rd and 5th dimensions. The 556 3rd and 4th dimensions are also significant,

PLSC Music Features: Inertia Scree Plot 2 Percentage of Explained Variance Extracted by the Component 9 50 40 30 20 9 0 0 5 10 15 20 25 Dimensions

Figure 14

extracting 6.02% and 3.67% of the variance, respectively. Interpretations of the third 558 dimension and beyond is beyond the scope of this paper, but seeing that there are multiple 559

significant dimensions beyond the second suggests possible future analyses and interpretations using this method.

The plot below shows which variables from each data table load the most on the first 562 and second dimensions. For the purposes of this visualization, we are showing only the 563 variables for which 70% or more of the variance is explained. The nature of the PLSC also suggests that these are the variables that are most associated with one another between the 565 two tables. The strongest signal on the first dimension juxtaposes the slow and legato 566 musical qualities in the positive direction with the fast, staccato, marcato, and conjunct 567 musical qualities in the negative direction. The adjectives associated with the qualities in 568 the positive direction are "Dark," "Dull," "Long," "Melancholy," "Sad," "Slow," "Solemn," 569 and "Weak." The adjectives associated with the negative direction are "Bright," "Colorful," 570 "Dancing," "Fast," "Happy," and "Light." 571

The second dimension identified in the positive direction major harmony and mezzo dynamics, associated with "Light," "Round," "Soft," and "Warm." The negative direction is driven by the impressionist genre being associated with "Aggressive," "Complex," "Dense," "Disturbing," "Powerful," and "Surprising."

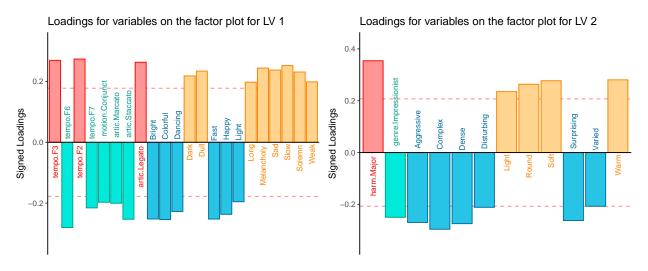


Figure 15

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Contributions and loadings are similar, but not exactly the same. A variable's

contributions to a dimension are the ratio of the squared factor score to the eigenvalue 577 representing that dimension (Abdi & Williams, 2010b), and loadings are the correlation 578 between a variable and a component, effectively indicating the shared information between 579 the two. For a more complete disambiguation on this, see (Abdi & Williams, 2010b). 580 Figures 16 and 15 show us that there are quite a few more variables that contribute 581 significantly to these dimensions than for which a significant portion of the variance is 582 explained. We do see similar groups, however: on the first dimension, the tempo variables 583 are contributing significantly, along with some from harmony, density, genre, dynamics, 584 motion, range, and articulation. The adjectives contributing significantly are Bright, 585 colorful, Dancing, Fast, Happy, Light, and Valiant in the negative direction, and Dark, Dull, 586 Long, Melancholy, Monotonous, Sad, Slow, Solemn, and Weak in the positive direction. 587 What's notable here is that while some of these variables did contribute significantly in the plots above (see Figure 11 and Figure 5), some didn't contribute much at all and fell near the barycenter of the factor plot. We also see that this juxtaposes some negatively and positively valenced adjectives, which allows us to identify which of the musical qualities 591 contributes to the valence dimension. The second dimension tells us a similar story. Here 592 we see more of the harmony variables, along with one tempo variable, some density, genre, 593 a few dynamics, contour, motion, range, and articulation. The adjectives contributing 594 negatively are Aggressive, Complex, Dense, Disturbing, Incisive, Mysterious, Powerful, 595 Surprising, and Varied, and those contributing positively are Light, Round, Soft, 596 Transparent, and Warm. Again we see similar effects of variables that may not have 597 contributed significantly to their respective plots above, but are contributing significantly 598 here. Also, this second latent variable seems to be defining the arousal dimension. 599 Discussion. The factor score plots for this analysis shows that the first two sets of latent variables extracted by the analysis effectively separate the groups of excerpts into the clusters defined in the HCA for the adjectives survey. This factor plot shows us how 602 the strongest correlated signal between the two data tables separates Excerpts groups 2 603 and 3, but groups 1 and 2 didn't contribute much to this dimension, instead contributing

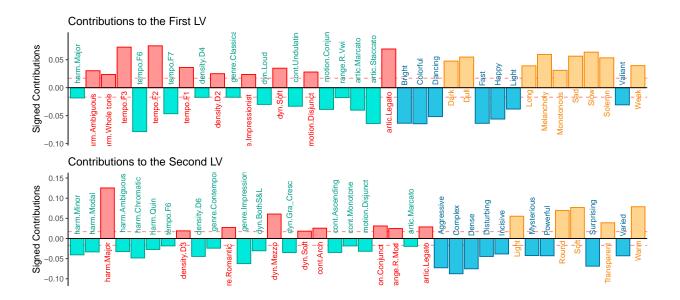


Figure 16

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to the 2nd latent variables. The second latent variable separates Groups 1 and 4, with 605 Groups 2 and 3 more barycentric. This suggests that, generally speaking, the excerpts that 606 were clustered in groups 2 and 3 are those that could be defined by positive and negative 607 valence, respectively, and those in groups 1 and 4 would be defined more by high and low 608 arousal. That being said, these excerpts are not defined exclusively along these dimensions, 609 but rather more by one than the other. For example, Excerpt 26 is characterized by being 610 one of the most extreme examples of positive valence, but doesn't score as highly on the arousal dimension, similarly with Excerpt 27 with negative valence. This is contrasted with Excerpt 7, which is one of the most negatively valenced stimuli, but also scores very high 613 on arousal, although the barycenter for that group is near the origin of that plot. 614

General Discussion

Although this study was designed to evaluate the sensory or cognitive response to
music, and not specifically the emotional response, there is significant overlap in the results
observed here and the results of the work investigating music and emotion. The
appearance of the valence-arousal plane in the results of Experiment 2 was not unexpected,

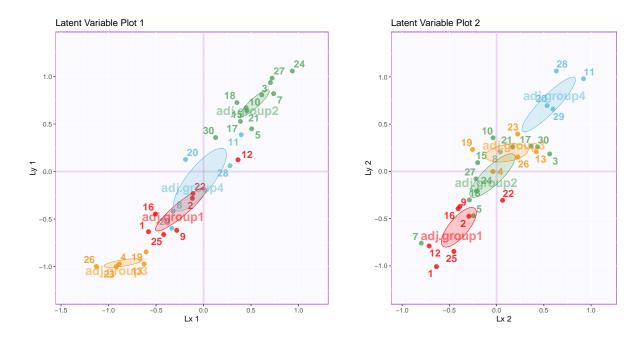


Figure 17

even though the adjectives we selected were not intended to be explicitly emotional. This 620 goes to show difficult it is to avoid any emotional content when selecting descriptors, and 621 from another perspective, how much emotional contagion the musical examples carry. 622 Overall, this supports the idea that the first two dimensions on which music is judged 623 holistically are valence and arousal. Some of the results discussed in Experiment 1 require 624 more explanation. In Experiment 1, there was an issue of having two individual excerpts 625 dominate the factor space, numbers 6 and 14, which did not happen in Experiment 2. One 626 of the ways in which CA is different from PCA is that PCA is usually unweighted. CA, on 627 the other hand, makes use of weights and masses to find the average observation. 628 Information that is common, therefore, falls towards the center of the plot, while information that is further from the average, in other words, more rare, ends up further from the center of the factor plots (Abdi & Williams, 2010a). Therefore, if a survey like the 631 one used in Experiment 1 includes a item that is wildly different than the others in the set, 632 the ratings will be very different, and that item will dominate the factor space. In this case 633 we have two such examples: excerpts 6 and 14. Excerpt 6 was written as a 634

Steve-Reich-esque minimalist, ostinato based excerpt, and excerpt 14 was written to be 635 jazzy. The reason this effect occurs with the first survey and not the second is that the 636 musical qualities on which the excerpts were rated were explicit and designed to separate 637 the excerpts along the various musical dimensions, while the adjectives survey was designed 638 to evaluate the excerpts more generally on holistic qualities. Excerpt 6 still appears as a 639 minor outlier in the visualizations for the second survey, but does not dominate the space 640 the way it does in the results of the first. What we did to mitigate that is to use those two 641 excerpts as supplementary projections, sometimes also referred to as out of sample observations. This allows us to evaluate what information is shared by those outliers with 643 the other elements in the dataset without having them dominate the visualization of the 644 factor space. If, when we projected those values into the factor space, they projected onto 645 the origin or very close to it, we would know that those observations shared no information with the other variables. The fact that they are where they are offers support to the idea that the first survey separates the excerpts approximately by genre. Because the 'genre' information isn't shared with the other observations, they are being projected onto the space sharing only the information that does not deal with genre, like tempo or range. 650 What this tells us is that musical qualities surveys captured a result that may have 651 characterized by 4-6 factors, each approximating genre and the qualities associated with 652 that genre and the general affective space captured an entirely different set of information 653 about the stimuli and the perception of the stimuli. 654 655

The hierarchical cluster analyses revealed different groupings in how the stimuli were rated between the two surveys. The PLSC then showed that when including both sets of data, there was a coherent interpretable factor space on which the excerpts were plotted.

There are a number of ways to further disambiguate the results of the surveys. One way would be to run a MFA, similar to the one above that plotted the difference between French and American raters on the adjective survey. This would allow for calculating a common factor space for the two surveys without separating the first and second dimensions of each.

This would provide us with a picture of the results that is fundamentally different from the 662 results of the PLSC, as it would be a true 'common factor space' instead of a space defined 663 by the covariance. The important question here is simply which question is more important. 664 In the case of these experiments, the PLSC answered our questions more effectively. 665 An important overall takeaway from this is that with a deep understanding of the 666 stimuli, we may be able to predict the approximate dimensionality of the solution factor 667 space. In the first survey, the solution was that the first two dimensions separated the 668 stimuli along genre or stylistic lines. Because we used only one stimulus from the 669 minimalist and jazz genres, we had a factor space that was distorted by outliers. To have a 670 solution in which we don't see these specific excerpts as outliers, but as coherent members 671 of a factor space, we would need more examples of those styles. This suggests that when 672 creating surveys or designing stimuli, we should keep in mind that we need multiple items per group, or presumed dimension. This is not to say that we will always be able to a 674 priori predict the factor space of the solution. For example, Experiment 2 may also have 675 benefitted from more minimalist or jazz examples. In a system in which the overall structure is obtained by evaluating the stimuli holistically, having a single outlier will 677 necessarily distort the space, either because it is an outlier in sensory terms or because it is 678 the only stimulus against which there is no direct reference. This in a way embodies the 679 issue described in the introduction, in which a single dimension is noisy. The noise, 680 specifically in Experiment 2, comes from the fact that those participants were likely to be 681 less familiar with mimalism and/or jazz than the trained musicians who took the QS, but 682 the reason the results are overall robust to that noise is that the participants were not 683

Limitations & future directions

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Although we evaluate the scores and ratings of participants from different countries,
we recognize that the issue of multiculturality is not addressed to a significant degree in

asked to rate the excerpts on any explicit dimensions or qualities.

this study. The sample was still largely students, and France and the United States are 688 both western countries that share western musical culture. To truly address this question, 689 it would be very interesting to include participants from multiple, contrasting musical 690 cultures, with languages that are more distinct than English and French. This presents new 691 problems, however, as the specific musical qualities included in the surveys may not all 692 apply to or translate well to other musical cultures. Harmony, for example, is a concept 693 that is developed to a significant degree in western music, but melody or rhythm may be 694 the fundamental focus of other musical cultures (cite patel here? I forget.). Another 695 question that fell beyond the scope of this study is the concept of semantic drift between 696 languages. Although illustrated in Figure 12, the source of the differences between French 697 and American participants is not entirely clear. We humbly hazard to guess that some of 698 the sources of the difference include aspects of perception that extend beyond the musical. These could be linguistic sources, such as the physical characteristics of the words 700 themselves, the cultural associations with the words, or the frequency of use in either language. Diving more into those questions of linguistics and semantic drift between 702 languages would be a fascinating future study. Another interesting study would be to 703 repeat this study using adjectives from specific domains or that that avoid explicit 704 emotional or musical content, to see how music maps onto different sensory spaces. For 705 example, 'moist,' 'slimy,' 'dry,' 'puckered,' 'smooth.' Although some of these adjectives may 706 carry musical weight, in the context of other words that all relate to haptic sensation, it 707 may provide some interesting feedback regarding how the music maps into other sensory 708 domains. Finally, using these studies may provide pilot work for the way in which people 700 without language react to music, nonverbal autistic people, for example. Whereas this 710 study explicitly uses language as an interlocutor for music perception, it offers insight into 711 ways to better communicate with people who do not have that ability. 712

713 Conclusions

Expanding the collection and analytical paradigms, and thus expanding scientific 714 scope and perspecive, has the added benefit of increasing reach. By expanding the ways in 715 which we collect data, we are able to more readily and consistently reach participants who 716 might normally be excluded from everday research paradigms, specifically racially and 717 ethnically diverse populations, poorer populations, those with limited access to 718 transportation, or who have a disability, or are immunocompromised. By developing 719 investigative paradigms that are accessible on mobile platforms and that reduce participant 720 demand while maintaining rigor and integrity, we are likely to be able to reach a much 721 greater subset of the population. If we are able to pair this kind of data gathering with 722 appropriate analysis, we can maintain the standards of scientific integrity that we as a 723 community expect with traditional hypothesis testing. The literature to date in the music 724 cognition domain has focused on a fairly small subset of the multivariate analyses available 725 to investigate these questions. As presented here, the number of ways that exist to analyze the data from a single set of experiments is considerable, and the results of each analysis illuminate different parts of the story the data are telling. Not every form of analysis is 728 appropriate in every context, but understanding how, and perhaps more importantly when, 729 to apply a technique or type of analysis is an important to uncovering new perspectives or 730 insights. 731

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