

MATH 423 (Spring 2004) Exam 2, April 21st

No calculators, books or notes!

Show all work and give **complete explanations** for all your answers.

This is a 75 minute exam. It is worth a total of 80 points.

(1) [20 pts]

Let ϕ and ψ be the following forms on \mathbf{R}^3 :

$$\phi = x dx - y dy$$

$$\psi = z dx \wedge dy + x dy \wedge dz.$$

(a) Compute $\phi \wedge \psi$, $d\phi$ and $d\psi$.

$$\begin{aligned}\phi \wedge \psi &= (x dx - y dy) \wedge (z dx \wedge dy + x dy \wedge dz) \\ &= x^2 dx \wedge dy \wedge dz \quad \text{as } dx \wedge dx = 0 \text{ etc.}\end{aligned}$$

$$d\phi = d(x dx - y dy) = dx \wedge dx - dy \wedge dy = 0$$

$$\begin{aligned}d\psi &= d(z dx \wedge dy) + d(x dy \wedge dz) \\ &= dz \wedge dx \wedge dy + dx \wedge dy \wedge dz \\ &= dx \wedge dy \wedge dz + dx \wedge dy \wedge dz \\ &= 2 dx \wedge dy \wedge dz\end{aligned}$$

(b) Compute $\iint_{\mathbf{x}} \psi$ where \mathbf{x} is the patch for the cone $z^2 = x^2 + y^2$ defined by

$$\mathbf{x}(u, v) = (v \cos u, v \sin u, v), \quad 0 \leq u \leq \frac{\pi}{2}, \quad 0 < v < 2$$

$$\iint_{\mathbf{x}} \psi = \int_{u=0}^{\pi/2} \int_{v=0}^2 \psi(\vec{x}_u, \vec{x}_v) dv du$$

Now

$$\vec{x}_u = (-v \sin u, v \cos u, 0)$$

$$\vec{x}_v = (\cos u, \sin u, 1)$$

$$\psi = z dx dy + x dy dz$$

$$\begin{aligned} \psi(\vec{x}_u, \vec{x}_v) &= z(dx(\vec{x}_u)dy(\vec{x}_v) - dy(\vec{x}_u)dx(\vec{x}_v)) \\ &\quad + x(dy(\vec{x}_u)dz(\vec{x}_v) - dz(\vec{x}_u)dy(\vec{x}_v)) \end{aligned}$$

$$= v(-v \sin u \cdot \sin u - v \cos u \cos u) + v \cos u (v \cos u \cdot 1 - 0)$$

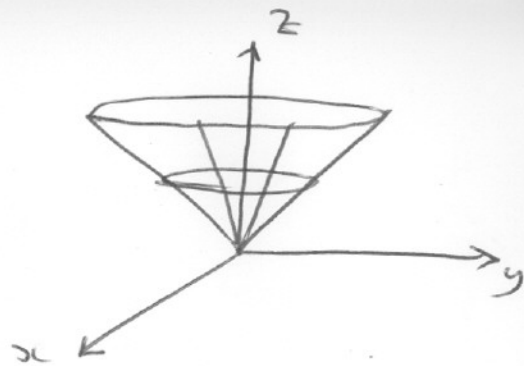
$$\cos^2 u - 1 = -\sin^2 u$$

$$= -v^2 + v^2 \cos^2 u =$$

$$\iint_{\mathbf{x}} \psi = \int_{u=0}^{\pi/2} \int_{v=0}^2 v^2 (-\sin^2 u) dv du = - \int_0^2 v^2 dv \int_0^{\pi/2} \sin^2 u du$$

$$= - \left[\frac{v^3}{3} \right]_0^2 \int_0^{\pi/2} \left(\frac{1}{2} - \frac{1}{2} \cos 2u \right) du = - \frac{8}{3} \cdot \frac{1}{2} \left[\frac{\pi}{2} - \left[\frac{\sin 2u}{2} \right]_0^{\pi/2} \right]$$

$$= \left[-\frac{8\pi}{12} \right] = -\frac{2\pi}{3}$$



(2) [20 pts] Let M be the conical surface $z^2 = x^2 + y^2$ with patch

$$\mathbf{x}(u, v) = (v \cos u, v \sin u, v), \quad 0 \leq u \leq 2\pi, \quad 0 < v < \infty.$$

(a) Compute the Gauss curvature K , mean curvature H , and principal curvatures of M . 16

(b) Sketch the cone M showing its principal curves. (You do not need to prove that the curves you draw are indeed the principal curves.) 4

$$\vec{x}_u = (-v \sin u, v \cos u, 0)$$

$$\vec{x}_v = (\cos u, \sin u, 1)$$

$$\vec{x}_{uu} = (-v \cos u, -v \sin u, 0)$$

$$\vec{x}_{uv} = (-\sin u, \cos u, 0)$$

$$\vec{x}_{vv} = (0, 0, 0)$$

$$E = \vec{x}_u \cdot \vec{x}_u = v^2$$

$$F = \vec{x}_u \cdot \vec{x}_v = 0$$

$$G = \vec{x}_v \cdot \vec{x}_v = 2.$$

$$L = U \cdot \vec{x}_{uu} = -\frac{1}{\sqrt{2}} v$$

$$M = U \cdot \vec{x}_{uv} = 0$$

$$N = U \cdot \vec{x}_{vv} = 0$$

$$K_{1,2} = H \pm \sqrt{H^2 - K}$$

$$K_{1,2} = 0, 2H$$

$$K_1 = 0 \quad K_2 = -\frac{\sqrt{2}}{2} \frac{1}{v}$$

$$U = \frac{\vec{x}_u \times \vec{x}_v}{\|\vec{x}_u \times \vec{x}_v\|}$$

$$\vec{x}_u \times \vec{x}_v = \begin{vmatrix} \hat{i} & \hat{j} & \hat{k} \\ -v \sin u & v \cos u & 0 \\ \cos u & \sin u & 1 \end{vmatrix}$$

$$= (v \cos u, v \sin u, -v)$$

$$\|\vec{x}_u \times \vec{x}_v\| = \sqrt{2} v$$

$$U = \frac{1}{\sqrt{2}} (\cos u, \sin u, -1)$$

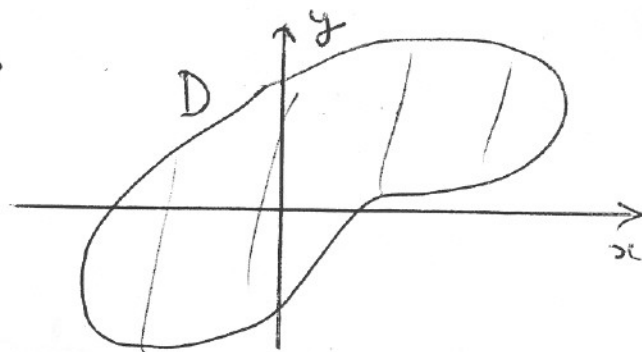
$$K = \frac{LN - M^2}{EG - F^2} = 0 \quad \boxed{K=0}$$

$$H = \frac{GL + EN - 2FM}{2(EG - F^2)}$$

$$= \frac{-\frac{2}{\sqrt{2}} v + 0 + 0}{2(2v^2)}$$

$$\boxed{H = -\frac{\sqrt{2}}{4v}}$$

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(3) [20 pts]

(a) Give a careful and complete statement of the Fundamental Theorem of Calculus for the case of 1-forms on surfaces. 6

(b) Let ω be the 1-form on $\mathbb{R}^2 \sim \{(0,0)\}$ defined by

$$\omega = \frac{1}{2\pi} \frac{-ydx + xdy}{x^2 + y^2}$$

(i) Prove that $d\omega = 0$ on $\mathbb{R}^2 \sim \{(0,0)\}$.

(ii) Let $\alpha(t) = \epsilon(\cos t, \sin t)$, for $0 \leq t \leq 2\pi$, where $\epsilon > 0$ is a constant. Show that $\int_{\alpha} \omega = 1$. 4

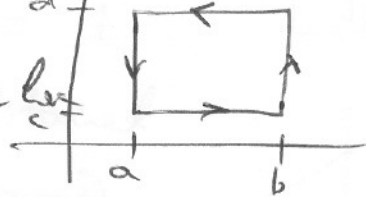
(iii) Let D be an open simply connected set in \mathbb{R}^2 that contains the origin, $(0,0) \in D$. [If a set is simply connected it doesn't have any holes]. Let β be a parametrization of the boundary of D . Show that $\int_{\beta} \omega = 1$. 4

(a) Let ϕ be a 1-form on M and let $\vec{x}: \mathbb{R} \rightarrow M$ be a 2-segment in M . Then

$$\int_{\vec{x}} d\phi = \int_{\vec{x}} \phi$$

A 2-segment is a differentiable map $\vec{x}: \mathbb{R} \rightarrow M$ from a rectangle $R = \{(x,y) \in \mathbb{R}^2 / a \leq x \leq b, c \leq y \leq d\}$ into a surface M .

The boundary $\partial \vec{x}$ of \vec{x} is the oriented curve in M which is the image of d of the curve in \mathbb{R}^2 shown by



$$(3) \textcircled{b} \quad \omega = \frac{+1}{2\pi} - \frac{y \, dx + x \, dy}{x^2 + y^2}$$

$$\begin{aligned} (i) \, d\omega &= \frac{1}{2\pi} \left[\frac{\partial}{\partial y} \left(\frac{-y}{x^2+y^2} \right) dy \wedge dx + \frac{\partial}{\partial x} \left(\frac{x}{x^2+y^2} \right) dx \wedge dy \right] \\ &= \frac{1}{2\pi} \left[\frac{-1(x^2+y^2) + y \cdot 2y}{(x^2+y^2)^2} dy \wedge dx + \frac{1(x^2+y^2) - x \cdot 2x}{(x^2+y^2)^2} dx \wedge dy \right] \\ &= \frac{1}{2\pi} \frac{+x^2+y^2 - 2y^2 + x^2+y^2 - 2x^2}{(x^2+y^2)^2} dx \wedge dy = 0 \end{aligned}$$

$$(ii) \quad \alpha(t) = \varepsilon (\cos t, \sin t) = (x(t), y(t))$$

$$\alpha'(t) = \varepsilon (-\sin t, \cos t) = (x'(t), y'(t))$$

$$\int_{\alpha} \omega = \int_0^{2\pi} \omega(\alpha'(t)) \, dt = \frac{1}{2\pi} \int_0^{2\pi} \frac{-y(t)x'(t) + x(t)y'(t)}{x(t)^2 + y(t)^2} \, dt$$

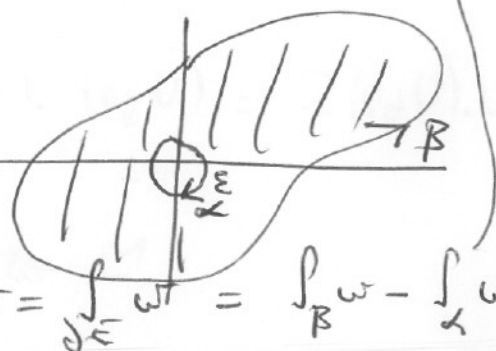
$$= \frac{1}{2\pi} \int_0^{2\pi} \frac{-\varepsilon^2 \sin t (-\sin t) + \varepsilon^2 \cos t \cos t}{\varepsilon^2 (\cos^2 t + \sin^2 t)} \, dt$$

$$= \frac{1}{2\pi} \int_0^{2\pi} 1 \, dt = 2\pi / 2\pi = 1$$

$$\boxed{\begin{array}{l} \text{So by (i)} \\ \int_{\beta} \omega = \int_{\alpha} \omega = 1 \end{array}}$$

(iii) LET E be the subset of \mathbb{R}^2 obtained by deleting a disk of radius ε from D about $(0,0)$ from D , where ε is chosen so that disk is a subset of D .

Then $d\omega = 0$ on E . So by FTC $0 = \int_E d\omega = \int_{\beta} \omega - \int_{\alpha} \omega$



(4) [20 pts] Let M be a surface in \mathbb{R}^3 .

(a) Define the shape operator S_p of M at a point p in M and prove that S_p is a linear map from the tangent space $T_p M$ to itself, i.e., $S_p : T_p M \rightarrow T_p M$.

(b) Define the normal curvature of M in direction \mathbf{u} at p in terms of the shape operator.

(c) The maximum and minimum values of the normal curvature of M at p are called the *principal curvatures* of M , and are denoted k_1 and k_2 . The directions in which these extremal values occur are called *principal directions* of M at p .

Prove that if p is not an umbilic point, then there are exactly two principal directions, that these directions are orthogonal, and that if \mathbf{e}_1 and \mathbf{e}_2 are unit vectors in the principal directions then

$$S(\mathbf{e}_1) = k_1 \mathbf{e}_1 \quad \text{and} \quad S(\mathbf{e}_2) = k_2 \mathbf{e}_2.$$

(a) Let $\vec{v} \in T_p M$. $S_p(\vec{v}) := -\nabla_{\vec{v}} U$ where U is a differentiable unit normal vector field on M .

$$\begin{aligned} S_p(a\vec{v} + b\vec{w}) &= -\nabla_{a\vec{v} + b\vec{w}} U = -a \nabla_{\vec{v}} U - b \nabla_{\vec{w}} U \\ &= a S_p(\vec{v}) + b S_p(\vec{w}) \end{aligned}$$

To show $S_p(\vec{v}) \in T_p M$ we must show

$$S_p(\vec{v}) \cdot U = 0, \quad \text{i.e.} \quad (\nabla_{\vec{v}} U) \cdot U = 0$$

well $1 = U \cdot U$

$$\Rightarrow 0 = \vec{v} \cdot [\vec{v} \cdot \vec{v}] = (\nabla_{\vec{v}} U) \cdot U + U \cdot (\nabla_{\vec{v}} U) = 2(\nabla_{\vec{v}} U) \cdot U$$

$$\text{So } (\nabla_{\vec{v}} U) \cdot U = 0.$$

(b) Let $\vec{u} \in T_p M$ be a unit tangent vector to M .
 $k(\vec{u}) = S_p(\vec{u}) \cdot \vec{u}$.

$$\text{Let } k_1 = \max_{\substack{\vec{u} \in T_p M \\ |\vec{u}|=1}} k(\vec{u})$$

$$(4) \quad \vec{e}_1 = \operatorname{argmax}_{\substack{\vec{u} \in T_p M \\ |\vec{u}|=1}} k(\vec{u})$$

Let $\vec{e}_2 \in T_p M$ be a unit vector orthogonal to \vec{e}_1

$$\text{For any } \vec{u} \in T_p M \exists \theta \in [0, 2\pi) : \vec{u} = \vec{u}(\theta) = \cos \theta \vec{e}_1 + \sin \theta \vec{e}_2$$

$$\text{So } k = k(\theta) = k(\vec{u}(\theta)) = S(\cos \theta \vec{e}_1 + \sin \theta \vec{e}_2) \cdot \begin{pmatrix} \cos \theta \vec{e}_1 \\ \sin \theta \vec{e}_2 \end{pmatrix}$$

$$\text{Let } S_{ij} = S(\vec{e}_i) \cdot \vec{e}_j \quad \text{for } 1 \leq i, j \leq 2$$

Then

$$k(\theta) = S_{11} \cos^2 \theta + 2 S_{12} \cos \theta \sin \theta + S_{22} \sin^2 \theta \quad \text{by linearity + symmetry of } S.$$

We must find θ that are critical points of k .

$$\frac{dk}{d\theta} = 2 \sin \theta \cos \theta (S_{22} - S_{11}) + 2 (\cos^2 \theta - \sin^2 \theta) S_{12}$$

IF $\theta=0$ Then $\vec{u}(0) = \vec{e}_1$, and so by assumption $k(0)$ is a MAX

$$\text{So } \frac{dk}{d\theta} = 0 = 0 + 2 S_{12} \Rightarrow \boxed{S_{12} = 0}$$

NOW since \vec{e}_1, \vec{e}_2 is ONB for $T_p M$, $S(\vec{e}_1) = S_{11} \vec{e}_1$

$$S(\vec{e}_2) = S_{22} \vec{e}_2$$

$$\text{as } S_{12} = 0. \quad \text{(PTO)}$$

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So $S_{11} = k(\vec{e}_1) = k_1$ holds

$$\text{Now } k(\theta) = k_1 \cos^2 \theta + S_{22} \sin^2 \theta$$

Since p is not an umbilic point, $k(\theta)$ is not constant, $(k_1 \neq S_{22})$ and as k_1 is the max of $k(\theta)$ we must have $k_1 > S_{22}$.

$$\begin{aligned} \text{So } k(\theta) &= S_{22} \sin^2 \theta + S_{22} \cos^2 \theta + (k_1 - S_{22}) \cos^2 \theta \\ &= (k_1 - S_{22}) \cos^2 \theta + S_{22} \end{aligned}$$

has its min when

$$\cos^2 \theta = 0$$

$$\text{i.e. } \theta = \pi/2, 3\pi/2$$

that is when $\vec{u} = \pm \vec{e}_2$.

Therefore $S(\vec{e}_2) = S_{22} \vec{e}_2 \Rightarrow k_2 = S_{22}$ holds.

