

## Stream Control Transmission Protocol

[Reference: RFC 2960; [ietf.org](http://ietf.org)]

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## Features of SCTP

- g Acked error-free non duplicated user data transfer
- g Data fragmentation conforming to discovered path MTU (max transmission unit)
- g Sequenced delivery of user messages within each stream (but have multiple streams)
- g Option for out-of-order delivery of a user message
- g Bundling of multiple user messages into a single SCTP packet
- g Fault-tolerance (at network level) by supporting multi-homing at either/both ends of an association and heart-beat/keep alive messages
- g Message boundaries preserved
- g SACK

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## Protocol Stack

Application
UDP TCP SCTP
IP
DL/MAC/Physical

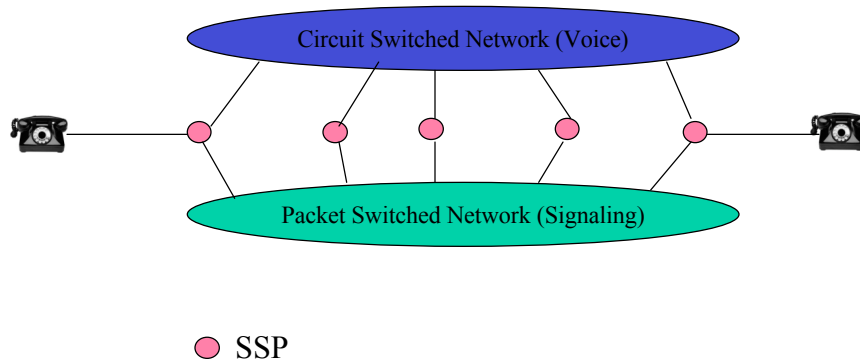
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## Need for SCTP (why not use TCP?)

- g Head of line blocking in TCP; not good in many situations
- g Applications must add their own record-making; TCP is stream (or byte) oriented
- g Fault-tolerance: What if NIC with destination IP address fails?
- g TCP vulnerable to Denial of Service attacks
- g Main motivator:
  - Carrying SS7 signaling on IP Networks

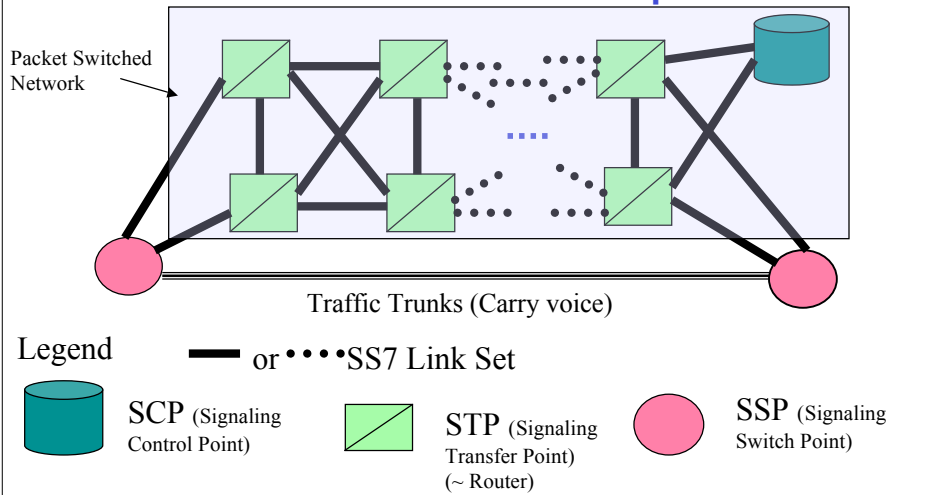
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### Sample PSTN Network



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### A Sample SS7 Network



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### (Packet switched) SS7 Networks's Needs

- g Reliability:
  - Multiple parallel links; nodes in mated pairs
- g Packet sequencing (between two switches) needed only within each call; not across all calls between two switches
- g In VoIP, need to do signaling in IP networks. (Both voice and signaling messages on IP networks)

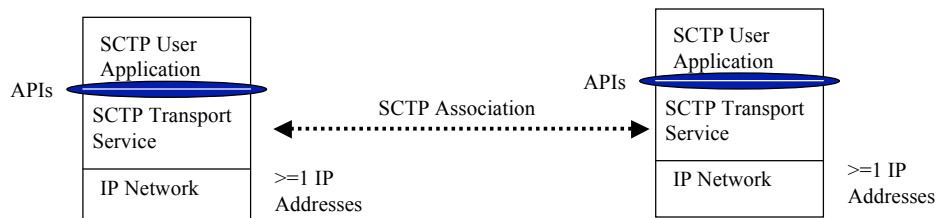
g Sample Fig



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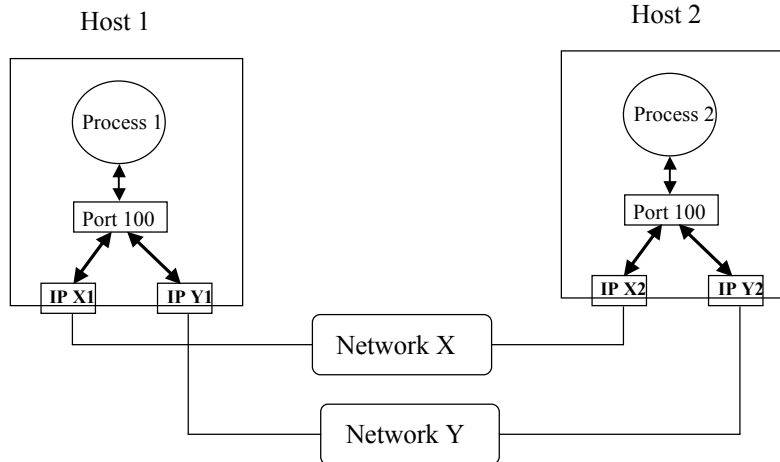
### What if a NIC fails?

- g If using TCP, the connection is torn down and new connection (to alternate IP address) made. Lose all current calls being set up
- g Using SCTP?



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## An Example



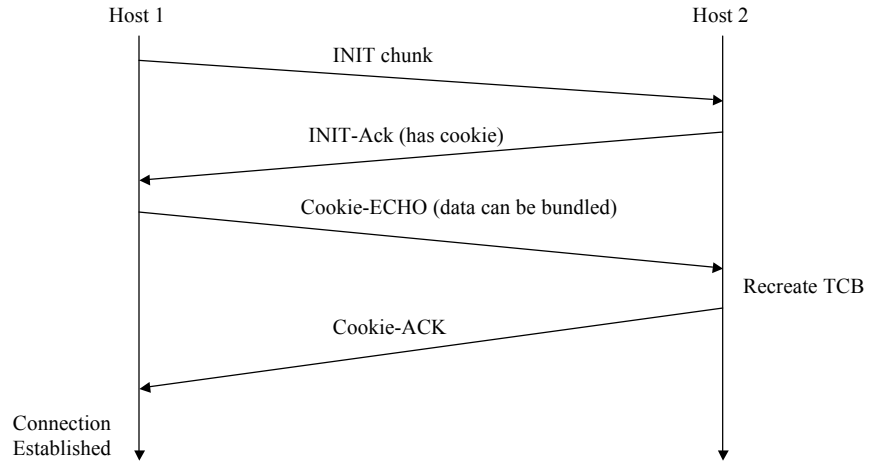
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## SCTP Components

1. Association startup and teardown
2. Sequenced delivery within streams
3. User data fragmentation
4. Sack, congestion avoidance
5. Chunk bundling
6. Packet validation
7. Path management

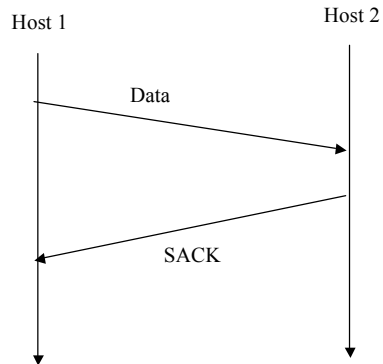
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### Association Initiation



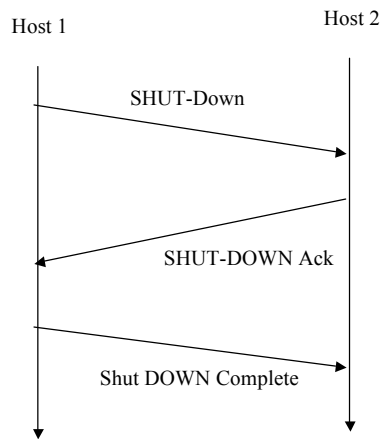
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### Data Transmission



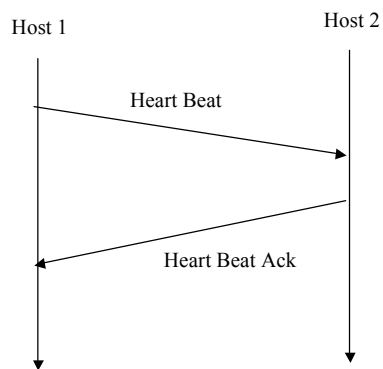
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## Shut Down



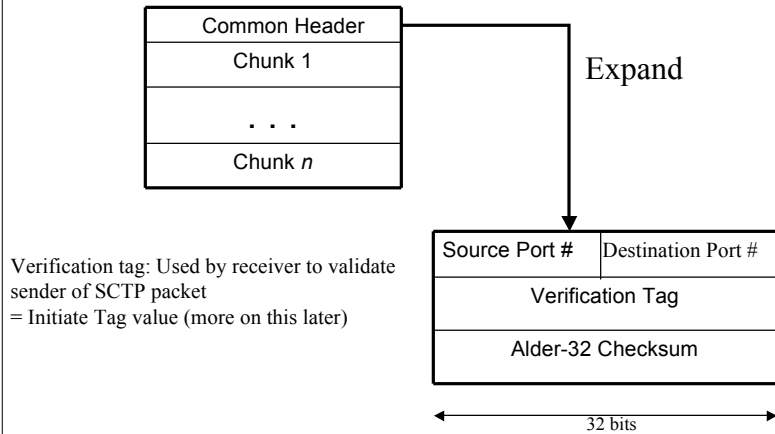
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## Heart Beat



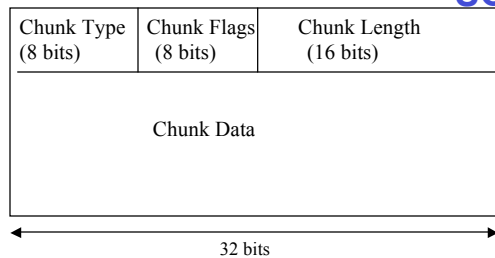
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### SCTP Packet Format



Verification tag: Used by receiver to validate sender of SCTP packet  
 = Initiate Tag value (more on this later)

### SCTP Chunk



- Type:**  
 0 = Payload data  
 1=INIT  
 2=INIT-ACK  
 3=SACK  
 4=Heart Beat Request  
 5=Heart Beat ACK  
 6=Abort  
 7=Shut Down  
 8= Shut Down Ack ...

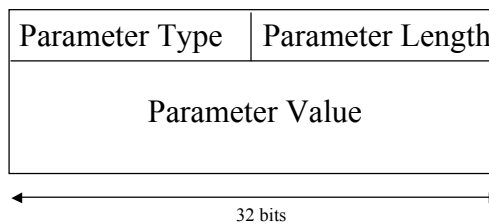
**Chunk Type is coded carefully.**  
 High order 2 bits say what to do if type in chunk is undefined

- 00 = stop processing packet and discard packet (don't process other chunks)
- 01 = same as above, report unrecognized type
- 10 = skip this chunk and continue processing
- 11 = same as 10, report unrecognized type



## SCTP Chunk (Continued)

- g Flags: 8 bits. Depends on type of chunk.
  - [When not needed, set all to 0]
- g Length:  $\geq 4$  (in bytes). Padded bytes not counted in length
- g Depending on chunk type, there may be chunk-specific parameters [will see examples later]



Like chunk type, unrecognized parameter types are handled

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## INIT Chunk

Type = 1	Flags = 0	Length (variable)
<u>* Initiate tag *</u>		
<u>*Advertised Receiver Window Credit*</u>		
<u>*# of outbound streams*</u>		<u>*# of inbound streams*</u>
<u>*Initial Transmit Sequence Number (TSN)*</u>		
Optional/variable length parameters		

\* Required Fields

Initiate Tag: Unsigned 32 bit # [randomly chosen]  
 Receiver of INIT (responding end) records this.  
 Must be sent by receiver back to sender in every packet

Value = 0 => receiver **aborts** association

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## INIT Chunk: Continued

- g Advertised Window Credit: Buffer size in Bytes. (Cannot be decreased)
- g # of outbound and inbound streams should both be greater than 0
- g Initial TSN: Sequence numbers (like in TCP)

### Optional Variable Length Parameters

Type = 5	Length = 8 (4+4 Bytes)
IPv4 address	

Type = 6	Length = 20 (4+16 Bytes)
IPv6 address	

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## INIT Chunk: Continued

- g Incoming packets may be addressed to any one of the multiple IP addresses (specified in optional parameters)
- g This is multi homing
- g No IP addresses? Use sender's IP address (from IP Packet)

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## INIT Chunk: Continued

Type = 11	Length
Host name (instead of IP address)	

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## INIT-Ack

Type = 2	Flags	Chunk Length (variable)
<u>* Initiate tag *</u>		
<u>*Advertised Receiver Window Credit*</u>		
<u>*# of outbound streams*</u>		<u>*# of inbound streams*</u>
<u>*Initial Transmit Sequence Number (TSN)*</u>		
COOKIE parameter; others		

\* Required Fields

INIT-Ack must contain COOKIE parameter. COOKIE encrypts all state information needed to construct association, COOKIE creation time, and COOKIE life span

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### INIT-Ack Continued

- g COOKIE expires to protect against replay attacks
- g Sender of COOKIE destroys all state
  - It will reconstruct later on receiving COOKIE on COOKIE-Echo

### COOKIE Echo (S->R)

Type = 10	Chunk Flags	Length >=4 (4+COOKIE L)
COOKIE		
Data Chunks (Optional); may be bundled with COOKIE Echo		

R unpacks COOKIE, reconstructs state, sends COOKIE-ACK to S and can begin accepting data

**Data Chunk**

Type=0	Flags=UBE	Length0	Length1
TSN	TSN	TSN	TSN
Stream	Number	Str	Seq#
Payloadid0	Payloadid1	Payloadid2	Payloadid3
User	Data	variable	length

Flag Bits UBE are used to indicate:

- U –Unordered Data
- B –Beginning of Fragmented Message
- E –End of Fragmented Message

A user message that fits in one chunk would have both the B and E bits set.

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**Packet Loss**

- g When one packet is lost, retransmission will occur in one of two ways:
  - Repeated SACKs occur reporting the missing packet (via holes) 4 times.
  - A time-out on the packet.
- g Receiver SACKs every packet when a hole exists.

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Gaps

- g Cumulative TSN is the highest consecutive TSN received (no gaps).
- g All gaps/fragments reports describe what has been received.
- g All gap/fragments numbers are offsets from the cumulative TSN.
- g Retransmissions are made to alternate destinations if possible.

SACK

Type = 3	Flags = 0	Length
<u>Cumulative TSN</u>		
<u>*Advertised Receiver Window Credit*</u>		
<u>n=# of Gap acks</u>		<u># of Dup TSNs =m</u>
Gap Ack Block 1 start	Gap Ack Block 1 end	
...	...	
Gap ack block n start	Gap ack block n end	
Dup TSN 1		
...		
Dup TSN m		

## Example

- g Received 200, 201, 202, gap, 210, 211, 212, gap, 218, 219, 220
- g Cum TSN = 202
- g Number of gaps = 2
- g Start = 8; End = 10; {received 202, 202+8 to 202+10}
- g Start = 16; End = 18

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## Sending Large Message

- g **Message size > path MTU**
- 1. Break message into path-MTU sized chunks
  - Overhead: IP/Data Chunk are common headers
- 2. Assign these chunks TSNs in sequential order
- 3. BE bits:
  - 10 = first chunk
  - 00 = middle pieces (pieces other than first and last)
  - 01 = last chunk
- g Unfragmented messages have the BE flags set to 11.
- g The TSN is useful in guaranteeing correct delivery.

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## Receiving Large Message

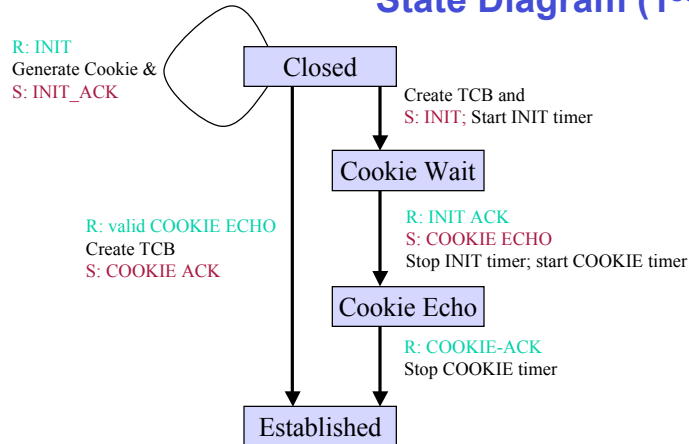
- g Save each piece in a re-assembly queue.
- g Use TSN to order the pieces.
- g When all pieces are received (from 10 to 01) with no missing TSNs, merge all the data together.

## Heart Beat and Fault Management

- g HB sent on idle destinations at pre-determined rate
- g Receiver responds with Ack.
- g Misses? Timeouts on HB?
  - > Threshold? Report destination is down
  - Report to upper layer
  - If down destination is primary, switch all traffic to an alternate IP address

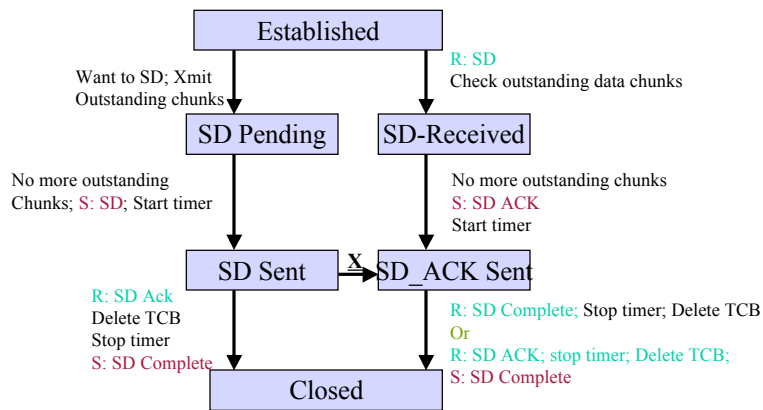


State Diagram (1<sup>st</sup> Half)



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State Diagram (2<sup>nd</sup> Half)



X :: R: SD;  
S: SD Ack; start timer

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## Retransmission Timer

- g RTO
- g Compute and manage RTO of each end point
  
- g Like TCP

## Security

- g No protection to data contents
  - An attacker can sniff LAN, hijack connection, transmit bad packets, etc.
  - IP Sec can be used when protection against a sniffer is needed
- g SCTP uses validation/verification tag to verify packets that belong to an association
  - Blind attacks are not possible
- g COOKIE-Protect against SYN attacks

## Congestion Control

- g Slow start and congestion avoidance per association
- g Uses:
  1. Receiver advertised window size
  2. Congestion control window- adjusted by sender based on observed network conditions
  3. Slow start threshold

Need these for each IP address (multi-homed)

Four NACKS (by SACK) => immediate transmission of missing packet (fast retransmit)