# Medial-Axis-Driven Shape Deformation with Volume Preservation

Lei Lan · Junfeng Yao · Ping Huang · Xiaohu Guo

Abstract The medial axis is a natural skeleton for shapes. However, it is rarely used in the existing skeleton-based shape deformation techniques. In this paper, we propose a novel medial-axis-driven skin surface deformation algorithm with volume preservation property. Specifically, an as-rigid-as-possible deformation scheme is used to deform the medial axis so that its local transform is as close as possible to a rigid transform. We maintain surface features of the deformed shape based on an implicit skinning method. Our experiments show that the proposed algorithm effectively preserves the volume of deformed shape, and addresses the bending and twisting problems associated with traditional skeleton-based shape deformation techniques.

**Keywords** Medial Axis  $\cdot$  Shape Deformation  $\cdot$  Implicit Skinning  $\cdot$  Volume Preservation

### 1 Introduction

It could be observed from our natural world that the pose of humans and most animals are dependent on the pose of their internal skeleton. The geometric structure of a skeleton is simpler than its associated surface, and the motion of skeleton is rigid inherently. So, skeleton-based shape deformation methods were proposed intuitively in early works, and has been very popular in many applications. The idea of skeleton, first proposed by Blum [6], is called *medial axis*. It is defined as the set of points with at least two closest points on the shape

boundary. Thus it contains the surface features and local thickness of shape. However, the existing skeleton-based shape deformation methods usually apply a stick skeleton [24,18] or a curve skeleton [44], instead of medial axis. Because medial axis computation is sensitive to noise, it is very difficult for the early works to obtain a high quality medial axis, which is structurally simple (without undesirable spikes), accurately approximating the surface, and compact enough for computing deformation. Fortunately, with the recent advancement of medial axis simplification, such as Q-MAT [22], a high quality medial axis can be obtained by pruning unstable branches and simplification from an initially poor quality medial axis. Thus, it becomes practical now to use "real" medial axis to drive shape deformation.

The medial axis of a 3D shape is a combination of non-manifold triangle meshes with dangling edges. Thus, it seems difficult to directly integrate medial axis into the pipeline of existing skeleton-based shape deformation methods. Yoshizawa et al. [41] proposed a variational mesh deformation approach, by using medial axis for preserving geometric details and thickness of shapes. In their method, the medial axis is deformed by *Skeletal Subspace Deformations* (SSD) [4], then the deformed shape is reconstructed with the original Laplacian coordinates from the deformed medial axis. However, their method needs to build a one-to-one correspondence between the surface vertices and medial axis triangles, thus it is not general enough to handle medial axis with dangling edges (e.g., fingers of a hand).

In this paper, we propose a truly medial-axis-driven shape deformation algorithm. Different from Yoshizawa et al.'s approach [41], medial axis is directly deformed by the user, and an implicit skinning technique is proposed to drive the surface deformation, and preserve the volume of deformed shape. To achieve this goal, an

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As-Rigid-As-Possible (ARAP) deformation scheme is adopted to deform medial axis so that the local transform of medial primitive is as close as possible to a rigid transform. The deformed medial axis drives each vertex of the surface to a temporary position by using their parametric coordinates, which is defined by the local enveloping primitives of medial axis. We extend the implicit skinning method [37] to rebuild surface features from the deformed medial axis. The local scalar field is simply defined based on each enveloping primitive of medial axis, which allows preserving surface features through iso-surface projection and tangential relaxation. Volume preservation can be achieved easily by adjusting the radius of spheres on medial axis, since the medial axis is deformed in an ARAP manner. The results prove our algorithm can be used to manipulate different 3D shapes and produce visually plausible deformations with volume preservation.

#### 2 Related Work

#### 2.1 Medial Axis Computation

Extracting the medial axis from given shapes is called *Medial Axis Transform* (MAT). MAT is typically computed by the Voronoi diagram of a set of sampled points on the shape boundary [1]. However, the computed medial axis has many undesirable spikes, making them unsuitable for any practical application. Du et al. [10] proposed a diffusion-based extraction method which combines the grassfire flow simulation and diffusion propagation. To obtain a structurally simple and compact medial axis, several methods have been proposed to simplify medial axis by identifying and pruning the spikes. To determine the points or edges to be pruned, most existing methods formulate a local or global threshold based on certain pruning criteria.

Angle-based filtering method [3,2,12,9,34] adopts the angle as global threshold, which is formed by a vertex of medial axis with its two closest points on the shape boundary. The vertex is removed from medial axis directly, if its angle is less than a user-specified threshold. Similarly,  $\lambda$ -based filtering method [8,7] specifies a threshold  $\lambda$  as the smallest circumradius of closest points at the simplified medial axis. The point is removed if its circumradius of closest points is smaller than threshold  $\lambda$ . A local pruning criterion is applied by Scale Axis Transformation (SAT) [26]. It adopts a factor s > 1 to enlarge all medial spheres, then removes the medial spheres that are contained in other medial spheres. The final medial axis is obtained by scaling back the surviving medial spheres by the factor 1/s. Although the method is highly effective, the

quality of simplification deeply depends on the factor s. Besides the pruning criteria defined on the vertices of medial axis, Faraj et al. [11] proposed Progressive MAT (PMAT) method to perform MAT simplification by collapsing edges of medial axis. The pruning criterion is defined as a cost of edge-collapse, which is related to the edge length and the difference of the medial radii at the endpoints. Sun et al. [36] proposed the union of volume primitives as volume representation. The volume primitives are linear interpolation of the medial spheres. The medial axis simplification is guided by the volume approximation error. Li et al. [22] proposed an efficient and effective MAT simplification method, called Q-MAT. In Q-MAT, a quadratic error metric [13] is adopted to measure approximation errors in MAT simplification, and a stability ratio is proposed to distinguish the spikes of medial axis. Recently, Yan et al. [40] proposed a global measure criterion based on the Erosion Thickness (ET) which performs very well in differentiating boundary noises from shape features.

#### 2.2 Shape Deformation

In our deformation algorithm, the deformation of a given 3D model is driven by its medial axis. Although, the medial axis is a natural skeleton for shapes, most existing skeleton-driven deformation methods take the form of a "stick skeleton", which could be considered as a simplified form of medial axis. Traditional skeleton-driven deformation methods assume that a skeleton is composed of rigid bones with linear [24] or non-linear [18, 19] blending weights. At run-time, the mesh vertices are rigidly transformed by its associated bones. The methods, such as Linear Blend Skinning(LBS) and Dual Quaternion Skinning (DQS) [18], have been proved to be practical for many applications due to their efficiency and simplicity. However, the quality of deformation may be degraded by the well known artifacts, such as candywrapper artifacts and volume-loss artifacts for LBS and bulging artifact for DQS. To prevent these artifacts, multi-linear skinning methods [39, 25, 17] introduce extra scalar weights for each bone. These extra weights add additional degrees of freedom to joints by blending separately in the subspace of bones. Helper bones with single weight [27,28] can be estimated from given examples, and be added to diminish the angle between bones when the joints suffer from twisting and bending. Although the methods reduce the artifacts, extra weight functions and bones introduce more computation overheads. Le and Hodgins [20] proposed to precompute the optimized center of rotation for each point from the rest pose and skinning weight. During animation, these centers of rotation are used to interpolate the

rigid transformation for each vertex, which can reduce the artifacts significantly with less computations. For volume preservation, Zhou et al. [42] presented volumetric graph Laplacian to encode the volumetric details of input mesh and formulated the volumetric details as a quadric energy function. The volumetric graph can be built without a solid meshing of surface's interior. Huang et al. [16] introduced the nonlinear volume constraint into subspace deformation. Zhou et al. [43] proposed an explicit mathematical model of spine-driven bending to address preserving local volume.

Our medial mesh deformation method is related to an important category of methods which try to maintain geometric relationship between mesh primitives. Sorkine and Alexa [33] solve non-linear optimization to keep local transform As-Rigid-As-Possible (ARAP). Sumner et al. [35] proposed an embedded deformation method, which samples some vertices from surface and organize them as a graph structure. The features of surface are encoded in the graph by applying each transformation of node on graph to deform its nearby space. A non-linear optimization problem is solved to ensure all transformations of nodes are as-close-as-possible to affine transformations. These methods can produce a high quality of deformation for surfaces.

## 2.3 Implicit-Function-Driven Deformation

In our deformation algorithm, the surface features are maintained by the implicit function defined on medial axis. The idea of implicit-function-driven deformation have been proposed, such as Metaballs [5,4,31], polygon-based implicit primitives [32], ellipsoidal implicit primitives [21], convolution surfaces [29], and for point set surfaces [15]. Recently, Vaillant et al. [37] proposed implicit skinning method to mimic realistic deformations, such as skin contact effects and muscular bulges. An extended method [38] is proposed for interactive character skinning.

Bloomenthal [4] used medial axis with a convolution field to address the well known artifacts in the skeleton-driven shape deformation, but the medial axis drives deformation indirectly. In our algorithm, we use deformation of medial axis to drive the deformation of its 3D shape, and use the implicit function constructed from medial axis to maintain the surface details.

#### 3 Implicit Surface Based on Medial Axis

Medial axis of a 3D shape S is a combination of non-manifold triangle meshes with dangling edges. It could be represented as a mesh  $M_s$ , called *medial mesh*, as

shown in Figure 1(a). Following Sun et al. [36], a vertex of medial mesh  $M_s$  is a medial sphere, denoted m. m is embedded in 4D space by  $m = \{c, r\}$ , where c is the center of medial sphere and r is the associated radius. Let  $e_{ij} = \{m_i, m_j\}$  denote an edge of  $M_s$ , which connects two medial spheres  $m_i$  and  $m_j$ . Similarly, let  $f_{ijk} = \{m_i, m_j, m_k\}$  denote a triangle face of  $M_s$ .

The volume primitive associated with edges and faces of the medial mesh is called *enveloping primitives*, as shown in Figure 1(b). For an edge  $e_{ij}$ , its enveloping primitive is swept by the family of spheres defined by linear interpolation of the medial spheres  $m_i$  and  $m_i$ :  $\{m|m = \alpha m_i + (1-\alpha)m_j, \alpha \in [0,1]\}$ . It comprises two spherical caps joined by a truncated cone, and will be called a *medial cone*, as shown in Figure 1(c). For the triangle face  $f_{ijk}$ , its primitive is obtained by linearly interpolating the three medial spheres  $m_i$ ,  $m_j$  and  $m_k$ :  $\{m|m = \beta_i m_i + \beta_j m_j + (1 - \beta_i - \beta_j) m_k, \beta_i \in$  $[0,1], \beta_j \in [0,1-\beta_i]$ . This primitive is called a medial slab, bounded by three spherical caps, three conical patches, and two triangles, as shown in Figure 1(d). For a 3D shape S, its medial mesh  $M_s$  is an inner skeleton with the enveloping primitives approximating S effectively.

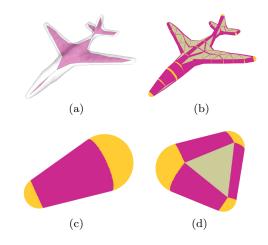


Fig. 1: (a) Medial mesh of Plane shape. (b) Enveloping primitives of its medial mesh. (c) Medial cone of an edge. (d) Medial slab of a triangle.

## 3.1 Implicit Surface of Enveloping Primitives

Our approach is inspired by the idea of implicit skinning [37], in which a scalar field is constructed with its 0.5-level-set approximating the surface. We denote the enveloping primitives of the medial mesh as C. Since the boundary surface  $\partial S$  of shape S could be approximated by the boundary surface  $\partial C$  of C, we can build

the implicit surface of  $\partial C$  to approximate  $\partial S$ . In this way, when the medial mesh  $M_s$  is deformed, we can update the implicit surface of  $\partial C$  to drive the deformation of  $\partial S$ .

For a given point p, we construct the implicit function f(p) based on the distance from p to  $M_s$  as well as the radius defined on  $M_s$ . Similar to Vaillant et al.'s approach [37], f(p) is generated by combining a set of local fields using Ricci's max operator [30]:

$$f(\mathbf{p}) = \max_{l} \{ f_l(\mathbf{p}) \},\tag{1}$$

where  $f_l(\mathbf{p})$  denotes a local scalar field and is constructed individually by a medial cone or a medial slab using the following distance-driven scalar function  $d_l(p)$ .

For a primitive  $C_l$  (medial cone or medial slab),  $d_l(\mathbf{p})$  can be defined by finding a medial sphere  $m_n =$  $\{c_n, r_n\}$  on  $C_l$ , such that the scalar function  $E_m$  is minimized:  $d_l(\mathbf{p}) = \min E_m(m_n)$ , where:

$$E_m(m_n) = \|\mathbf{p} - \mathbf{c}_n\|^2 - r_n^2. \tag{2}$$

We call the sphere  $m_n$  minimizing  $E_m(m_n)$  as the footprint sphere of point p on primitive  $C_l$ , as shown in Figure 2(a).

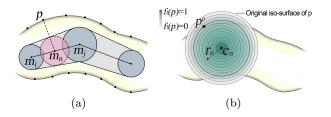


Fig. 2: (a) The footprint sphere  $m_n$  of a point p on the medial cone defined by  $m_i$  and  $m_j$ . (b) Definition of local scalar function  $f_l(p)$  for any surface point p, with its footprint sphere  $\{c_n, r_n\}$ . Note that the surface point may not exactly lie on the 0.5-level-set of  $f_l$ .

Without loss of generality, let us consider  $C_l$  being the medial cone of  $e_{ij}$ . In this case  $c_n = \alpha c_i + (1 - \alpha)c_j$ , and  $r_n = \alpha r_i + (1 - \alpha)r_j$ . By replacing them into Eq. (2), the scalar function  $d_l(p)$  could be seem as a quadratic minimization problem with  $\alpha \in [0,1]$  being the only variable to be decided. We could reformulate Eq. (2) as

$$E_m(\alpha) = \|\mathbf{p} - (\alpha \mathbf{c}_i + (1 - \alpha)\mathbf{c}_j)\|^2 - (\alpha r_i + (1 - \alpha)r_j)^2$$
  
=  $(A_i + A_j - 2A_{ij})\alpha^2 - 2(A_j - A_{ij})\alpha + A_{ij},$   
(3)

where:

$$A_{i} = (p - c_{i})^{T} (p - c_{i}) - r_{i}^{2},$$

$$A_{j} = (p - c_{j})^{T} (p - c_{j}) - r_{j}^{2},$$

$$A_{ij} = (p - c_{i})^{T} (p - c_{j}) - r_{i} r_{j}.$$
(4)

The second order derivative of  $E_m(\alpha)$  is:

$$H(E_m) = 2(A_i + A_j - 2A_{ij})$$
  
= 2[(c\_i - c\_j)^T (c\_i - c\_j) - (r\_i - r\_j)^2] (5)

Note that  $H(E_m) \leq 0$  if one sphere is inside another for the two spheres  $m_i$  and  $m_j$ , as shown in Figure 3. However, this will break the geometric morphology of a medial cone. Thus,  $H(E_m) > 0$  could be proved for all valid medial cones. To acquire minimal  $E_m(\alpha)$ ,  $\alpha$  could be solved by  $\frac{\mathrm{d}E_m(\alpha)}{\mathrm{d}\alpha} = 0$ . If  $\alpha < 0$  or  $\alpha > 1$ , we set  $\alpha = 0$  or  $\alpha = 1$ , respectively.

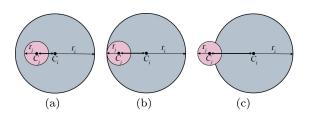


Fig. 3: (a)  $H(E_m) < 0$ ; (b)  $H(E_m) = 0$ ; (c)  $H(E_m) > 0$ .

The footprint sphere of point p on the medial cone can be determined by  $m_n = \alpha m_i + (1 - \alpha) m_j$ . The same method can be extended directly to compute the footprint sphere of p on a medial slab. Please refer to Appendix A for the proof of uniqueness of footprint sphere for the medial slab case.

Obiviously,  $d_l(p)$  defined above is a globally-supported scalar function in the range of  $[-r_n^2, +\infty]$ . To allow for the compositions of the  $f_l(p)$  according to Eq. (1), we use the following mapping function  $t_r(\cdot)$  to convert  $d_l(p)$  to a compactly-supported scalar function [37]:

$$t_r(\cdot) = \frac{-3}{16}(\cdot)^5 + \frac{5}{8}(\cdot)^3 - \frac{15}{16}(\cdot) + \frac{1}{2},\tag{6}$$

And, the local scalar functions of  $f_l(p)$  are computed as follows:

follows:  

$$E_{m}(\alpha) = \|\mathbf{p} - (\alpha \mathbf{c}_{i} + (1 - \alpha)\mathbf{c}_{j})\|^{2} - (\alpha r_{i} + (1 - \alpha)r_{j})^{2} \qquad f_{l}(\mathbf{p}) = \begin{cases} 1, & if \quad \frac{d_{l}(\mathbf{p})}{r_{n}} \leq -1, \\ 0, & if \quad \frac{d_{l}(\mathbf{p})}{r_{n}} > 1, \\ t_{r}(\frac{d_{l}(\mathbf{p})}{r_{n}}), & otherwise, \end{cases}$$
(7)

where  $r_n$  is the radius of the footprint sphere of p. In this way, the local scalar functions of  $f_l(\mathbf{p})$  are mapped to the range of [0,1]. The boundary surface  $\partial C_l$  is mapped to 0.5-level-set. When p is outside the surface  $\partial C_l$ , we have  $f_l(\mathbf{p}) \in [0,0.5)$ ; when p is inside the surface  $\partial C_l$ , we have  $f_l(\mathbf{p}) \in (0.5,1]$ . Figure 2(b) gives an illustration of this local scalar function  $f_l(\mathbf{p})$ . Since the boundary surface  $\partial C$  is just an approximation of the boundary surface  $\partial S$ , for any given point p on  $\partial S$ , it may not exactly lie on the 0.5-level-set of  $f_l$ . In Section 4.3 we present a projection operator to maintain the surface points on their original level-set throughout the surface deformation.

#### 3.2 Parametric Coordinate

For any surface point p on  $\partial S$ , we would like to maintain its "relative position" w.r.t. the footprint sphere  $m_n$  and its corresponding medial primitive  $C_l$ . We call such "relative position" as the parametric coordinate of p in  $C_l$ . Whenever the medial mesh  $M_s$  is deformed by users, each surface point p can be directly deformed to the position according to its parametric coordinate, before applying further iso-surface projections (Section 4.3) and tangential relaxations (Section 4.4).

We define the parametric coordinate of p w.r.t.  $C_l$ using the polar coordinate system. Specifically, if  $C_l$  is a medial cone, the parametric coordinate is defined as  $\delta^c = \{\alpha, \rho, \varphi, \theta\}$ ; if  $C_l$  is a medial slab, the parametric coordinate is defined as  $\delta^s = \{\beta_i, \beta_i, \rho, \varphi, \theta\}$ .  $\alpha$  (or  $\beta_i$  and  $\beta_i$ ) is the linear interpolation parameter of  $m_n$ on medial cone (or medial slab), where  $m_n$  is the footprint sphere of p on  $C_l$ .  $\rho$  is the distance from p to the spherical surface of  $m_n$ , and  $(\rho + r_n, \varphi, \theta)$  is the polar coordinate of p w.r.t. its footprint sphere  $m_n$ . The polar coordinate system of  $m_n$  is aligned with the local coordinate system defined on the medial primitive  $C_l$ , except for its origin being at  $c_n$ . Specifically, in the local coordinate system of  $C_l$ , we can transform parametric coordinates to Cartesian coordinates  $[x, y, z]^T$  as follows:

$$x = c_{n,x} + (\rho + r_n) \sin \varphi \cos \theta,$$
  

$$y = c_{n,y} + (\rho + r_n) \sin \varphi \sin \theta,$$
  

$$z = c_{n,z} + (\rho + r_n) \cos \theta.$$
(8)

## 4 Deformation Algorithm

#### 4.1 Overview

In the previous section, a time-varying global scalar field f(p) is defined by combining the local scalar fields

 $f_l(\mathbf{p})$ , which is defined based on the footprint spheres on enveloping primitives of medial mesh  $M_s$ . For every vertex p of the boundary surface  $\partial S$ , we initially compute the global field values f(p) and its parametric coordinates w.r.t. the medial mesh  $M_s$ . When the user performs as-rigid-as-possible (ARAP) deformation to the medial mesh, p is first transformed to a temporary position by using its parametric coordinates. Then, the surface features are rebuilt by projecting vertices to their original iso-surfaces along the current gradient direction of f(p). Tangential relaxation is further applied to evenly distribute vertices on the deformed surface, in order to capture the deformed shape and avoid selfintersections between neighboring triangles. Since the medial mesh is deformed in an ARAP manner, we provide an approach to preserve the global volume of the shape by simply adjusting the radii of the medial mesh. The pipeline of our deformation algorithm is illustrated in Figure 4.

#### 4.2 Medial Mesh Deformation

Firstly, each step begins from the deformation of medial mesh  $M_s$ . In our algorithm, users are allowed to manipulate medial mesh by selecting medial spheres and manipulate them to desired positions. We choose the ARAP scheme to guide the deformation of medial mesh, and the energy term is formulated based on the rigid shape matching [23] as:

$$E_d(\{\mathbf{R}_j, \mathbf{t}_j\}, \{\tilde{\mathbf{c}}_i\}) = \sum_{i} \sum_{j \in \mathcal{N}(i)}^{n} ||\mathbf{R}_j \mathbf{c}_{ij}^0 + \mathbf{t}_j - \tilde{\mathbf{c}}_i||^2, \quad (9)$$

where  $\mathcal{N}(i)$  denotes the set of indices of medial primitives  $\{C_j|j\in\mathcal{N}(i)\}$  which are connected with the medial sphere  $m_i$ .  $R_j$  and  $t_j$  are the rotation matrix and translation vector for medial primitive  $C_j$ .  $\tilde{c}_i$  is the deformed position of the medial sphere  $m_i$ . In the rest pose of the medial mesh, each medial sphere  $m_i$  has a corresponding center position  $c_{ij}^0$  in its connected medial primitive  $C_j$ . If each  $C_j$  is individually transformed by rotation  $R_j$  and translation  $t_j$ , these  $c_{ij}^0$  will be transformed along with each  $C_j$  and will not agree with each other on their positions in general.

When the user manipulates some medial spheres to their desired positions, we need to minimize  $E_d$  and solve for  $\{R_j, t_j\}$  and  $\{\tilde{c}_i\}$  in an iterative manner: (1) fix the positions  $\{\tilde{c}_i\}$  of medial spheres, and solve for rigid transforms  $\{R_j, t_j\}$  of primitives; (2) fix  $\{R_j, t_j\}$ , and solve for  $\{\tilde{c}_i\}$ . The details of our ARAP deformation computation are given in Appendix B.

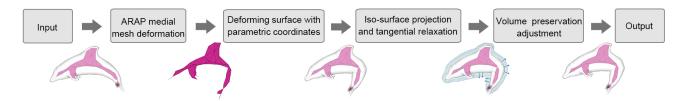


Fig. 4: The overall pipeline of our deformation algorithm.

#### 4.3 Iso-surface Projection

After the medial mesh  $M_s$  is deformed, we first deform the boundary surface  $\partial S$  according to the parametric coordinates of the vertices. However, due to the existence of bending deformation, such initial deformation of  $\partial S$  may not agree with the deformed global scalar field f(p) as defined in Eq. (1). We still need to further project them to the surface corresponding to their original f(p) value.

Note that  $f(\mathbf{p})$  is composed from local scalar functions  $f_l(\mathbf{p})$ , which is further dependent on the footprint sphere of  $\mathbf{p}$ . Thus our projection is formulated as an iteration of the following two steps: (1) based on the current position of  $\mathbf{p}^k$ , find its footprint sphere  $m_n^k = \{\mathbf{c}_n^k, r_n^k\}$ ; (2) project  $\mathbf{p}^k$  along the gradient direction  $\frac{\mathbf{p}^k - \mathbf{c}_n^k}{\|\mathbf{p}^k - \mathbf{c}_n^k\|}$ :

$$\mathbf{p}^{k+1} = \mathbf{c}_n^k + \lambda \frac{\mathbf{p}^k - \mathbf{c}_n^k}{\|\mathbf{p}^k - \mathbf{c}_n^k\|},\tag{10}$$

where  $\lambda = |(r_n^k)^2 + r_n^k \frac{\|\mathbf{p}^0 - \mathbf{c}_n^0\|^2 - (r_n^0)^2}{r_n^0}|^{\frac{1}{2}}$  is the marching length in one projection. Here the superscript k is the iteration number, and the superscript 0 denotes the rest state. Note that the above step (2) will move p exactly onto its original level-set defined by  $t_r(\frac{\|\mathbf{p}^0 - \mathbf{c}_n^0\|^2 - (r_n^0)^2}{r_n^0})$  in Eq. (6). Thus the iteration typically converges in very few iterations.

## 4.4 Tangential Relaxation

For some large bending operations, such as the bending of elbow, the surface vertices may become too sparse for the outer elbow region, or too dense for the inner elbow region. Thus it is important to relax the stretching or squeezing of the surface mesh. The tangential relaxation steps [37] are conducted as follows:

$$p^{k+1} = (1 - \mu)p^k + \mu \sum_{j} \Phi_j q_j^k,$$
 (11)

where the superscript k is the iteration number,  $\mu = 0.2$  is a constant,  $\mathbf{q}_i^k$  is the position of its 1-ring neighbor

projected onto its tangent plane, and  $\Phi_j$  is its associated mean value coordinate.

We use the following quantity  $\varepsilon$  to control the tangential relaxation steps:

$$\varepsilon = \frac{\sum_{i} \|\mathbf{p}_{i}^{k} - \mathbf{p}_{i}^{k+1}\|^{2}}{n_{v}},\tag{12}$$

where  $n_v$  is the total number of surface vertices. Tangential relaxation is repeated until  $\varepsilon \leq 1.0 \times 10^{-6}$  or the number of iterations exceeds 20.

After iso-surface projection introduced above, the tangential relaxation is performed. Since the tangential relaxation moves each vertex on its tangent plane, after tangential relaxation, iso-surface projection is executed again to guarantee the surface vertices stay on their original f(p) value. Figure 5 shows the illustration of iso-surface projection and tangential relaxation.

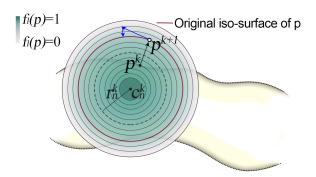


Fig. 5: Iso-surface projection moves the vertice p along black arrow. Tangential relaxation moves the vertice p along blue arrow.

#### 4.5 Global Volume Preservation

Since the deformation is directly driven by medial mesh, it is easy to preserve the volume of deformed 3D shapes by adjusting the radii of the medial mesh. Firstly, we can compute the volume of the 3D shape S at rest state

by:

$$V^{0} = \frac{1}{6} \sum_{\{i,j,k\} \in \mathscr{T}} \mathbf{p}_{i} \cdot (\mathbf{p}_{j} \times \mathbf{p}_{k}), \tag{13}$$

where  $\mathscr{T}$  is the set of triangles on the surface  $\partial S$ , and  $\mathbf{p}_i$ ,  $\mathbf{p}_j$ , and  $\mathbf{p}_k$  are the vertices of triangle  $\{i,j,k\}$ . During the surface deformation, we update the radii of all medial spheres on  $M_s$  uniformly, in order to preserve the volume of S. We denote such uniform radius change as  $\Delta r$ , and estimate the new volume as follows:

$$V = \frac{1}{6} \sum_{\{i,j,k\} \in \mathscr{T}} (\mathbf{p}_i + \triangle r \mathbf{n}_i) \cdot [(\mathbf{p}_j + \triangle r \mathbf{n}_j) \times (\mathbf{p}_k + \triangle r \mathbf{n}_k)],$$
(14)

where  $n_i$ ,  $n_j$ ,  $n_k$  are the surface normal at  $p_i$ ,  $p_j$ ,  $p_k$ , respectively. We formulate the following volume preserving energy to be minimized:

$$E_v(\Delta r) = (V - V^0)^2,\tag{15}$$

Newton iterations are used to solve  $\triangle r$ . It should be noted that some of the medial spheres on the medial mesh are already small enough, so reducing their radii by  $\triangle r$  may result in negative radii. Thus for a medial sphere  $m_i$ , if  $\triangle r < -\frac{2}{3}r_i$ , we simply skip the radius update for  $m_i$ .

After adjusting the radii for medial spheres, the global scalar function f(p) is updated, so iso-surface projection needs to be applied again.

## 5 Results

We have implemented our algorithm as an interactive editing system. Our algorithm is written in Microsoft Visual C++ 2012 and run on an Intel(R) Xeon E5645 CPU at 2.40GHz. Medial axis is extracted and simplified using Q-MAT [22]. The interactive system allows users to select some medial spheres as "fixed", and manipulate some other medial spheres by controlling their positions. When the user picks a medial sphere and drags it, only ARAP medial mesh deformation and surface deformation with parametric coordinates are computed on-the-fly. Iso-surface projection, tangential relaxation, and volume preservation are executed once the user releases the control and stops dragging the medial sphere. All the experiments are animated at 45 fps.

To evaluate the quality of volume preservation, we use the following error metric:

$$e_v = \frac{|V^d - V^0|}{V^0} \times 100\%,$$

where  $V^d$  is the volume of deformed shape and  $V^0$  is its original volume.

We show deformation results of Rapter and three different models in Figures 6 and Figures 11. The results are summarized in Table 1. It demonstrates very good volume preservation for stretching and rotational deformation. The computation time highly depends on the number of surface vertices and primitives of medial axis. Longer computation times are needed for the steps of iso-surface projection and tangential relaxation, such as the deformations of Dophin, Chair and Rapter.

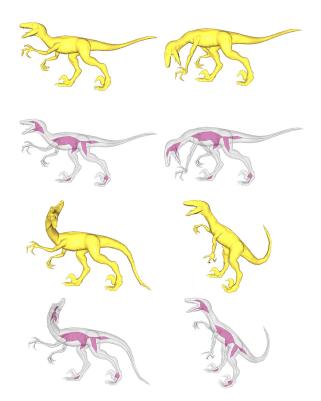


Fig. 6: Deformations of Rapter models.

Figures 7 shows highlighted views of Rapter deforsation by opening its mouth. It can be seen that the features of its teeth are well preserved throughout such large rotational deformation.

Figure 8 demonstrates that our algorithm could addresses the twisting and bending problems, which are notorious in the traditional skeleton-based shape deformation techniques. The the candy-wrapper artifacts caused by twisting (see Figure 8(a)) and volume-loss artifacts caused by bending (see Figure 8(b)) may appear in the deformation before tangential relaxation, and disappear after we relax the surfaces along the tangential directions of their iso-surfaces (see Figure 8(c) and 8(d)).

	#Ver	#Tri	#Pri	$V^0$	$V^d$	$e_v$	DC (ms)	IP (ms)	TR (ms)	VP (ms)
Raptor	20876	41592	158	0.019449	0.019656	1.0615%	64	637	721	38
Hand	6191	12378	36	0.053282	0.053258	0.4517%	31	39	76	13
Dophin	15100	30196	65	0.215781	0.217734	0.9052%	45	302	387	35
Chair	10500	21008	60	0.126142	0.12725	0.8749%	39	123	241	29

Table 1: Experimental results. From left to right, the first to seventh columns are names of models, the number of vertices, the number of triangles, the number of primitives. The last four columns are the average computation time for ARAP medial mesh deformation and deforming surfaces with parametric coordinates (DC), iso-surface projection (IP), tangential relaxation (TR) and volume preservation (VP).

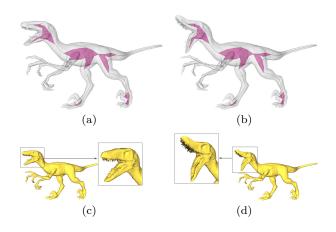


Fig. 7: Our method preserves surface features throughout the deformation, as illustrated on the teeth of Raptor.

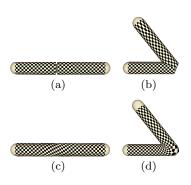


Fig. 8: (a) and (b): Twisting and bending the model before tangential relaxation. (c) and (d): After tangential relaxation.

To highlight the effect of volume preservation in our algorithm, we repeat a deformation process with and without volume preservation. In the experiment, a plane with 6448 vertices is modified to become a "flying bird" through interactive deformations. Figure 9 shows comparison of volume between two deformations during the entire process. The vertical axis represents the er-

ror of volume preservation and the horizontal axis represents the deformation steps from t0 to t7. Figure 10 illustrates the comparison of thickness after the deformation at t2.

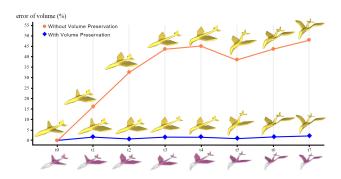


Fig. 9: Experiment on volume preservation. The orange circles represent the volume of deformed model without volume preservation, the blue diamonds represent the volume of deformed model with volume preservation.

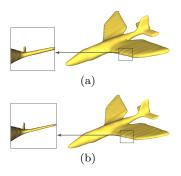


Fig. 10: Comparison of thickness at t2: (a) Without volume preservation ( $e_v = 32.49\%$ ). (b) With volume preservation ( $e_v = 0.7713\%$ )

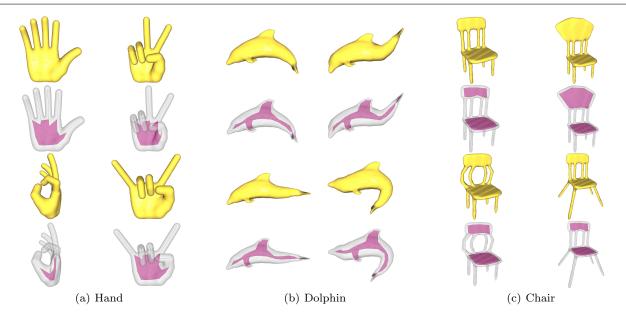


Fig. 11: Deformations of Hand, Dolphin and Chair models.

#### 6 Conclusion and Future Work

In this paper, we present a shape deformation algorithm driven by medial-axis, which is essentially a skeleton structure for representing 3D shapes, but provides more information about the surface, such as thickness and features, as compared to the traditional stick-skeleton or curve-skeleton. We combine ARAP deformation with radius adjustment on the medial mesh to guarantee global volume preservation during the shape deformation process. The iso-surface projection with tangential relaxation can not only preserve surface features, but also address the candy-wrapper and volume-loss artifacts in twisting and bending associated with traditional deformation methods.

Our current implementation of the deformation algorithm is not fully optimized in performance. In the future, we would like to further explore potential optimization approaches, e.g., GPU-based implicit skinning with tangential relaxation, in order to achieve real-time performance. Note our current ARAP deformation energy on the medial mesh does not penalize bending deformation. We would like to consider adding the bending energy to the medial mesh deformation and try to accommodate different kinds of kinematic constraints, e.g., rotational constraints for neighboring medial primitives, rigidity constraints for some medial primitives, etc.

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## A Footprint Sphere on Medial Slab

For the medial slab  $C_l$  of a triangle face  $f_{ijk}$ , the footprint sphere of point p on the slab is the sphere  $m_n = \{c_n, r_n\}$  with minimal scalar function  $E_m(m_n)$  defined as:

$$E_m(m_n) = \|\mathbf{p} - \mathbf{c}_n\|^2 - r_n^2. \tag{16}$$

Suppose  $\{c_i, r_i\}$ ,  $\{c_j, r_j\}$ , and  $\{c_k, r_k\}$  are the three spheres defining this medial slab, then we have  $c_n = \beta_i c_i + \beta_j c_j + (1 - \beta_i - \beta_j) c_k$ , and  $r_n = \beta_i r_i + \beta_j r_j + (1 - \beta_i - \beta_j) r_k$ . The scalar function  $E_m(m_n)$  can be written as:

$$E_{m}(\beta_{i}, \beta_{j}) = \|\mathbf{p} - (\beta_{i}\mathbf{c}_{i} + \beta_{j}\mathbf{c}_{j} + (1 - \beta_{i} - \beta_{j})\mathbf{c}_{k})\|^{2} - (\beta_{i}r_{i} + \beta_{j}r_{j} + (1 - \beta_{i} - \beta_{j})r_{k})^{2}$$

$$= A_{i}\beta_{i}^{2} + A_{j}\beta_{j}^{2} + A_{k}(1 - \beta_{i} - \beta_{j})^{2} + 2B_{ij}\beta_{i}\beta_{j}$$

$$+ 2B_{ik}\beta_{i}(1 - \beta_{i} - \beta_{j}) + 2B_{jk}\beta_{j}(1 - \beta_{i} - \beta_{j}),$$
(17)

where

$$A_{i} = (\mathbf{p} - \mathbf{c}_{i})^{T} (\mathbf{p} - \mathbf{c}_{i}) - r_{i}^{2},$$

$$A_{j} = (\mathbf{p} - \mathbf{c}_{j})^{T} (\mathbf{p} - \mathbf{c}_{j}) - r_{j}^{2},$$

$$A_{k} = (\mathbf{p} - \mathbf{c}_{k})^{T} (\mathbf{p} - \mathbf{c}_{k}) - r_{k}^{2},$$

$$B_{ij} = (\mathbf{p} - \mathbf{c}_{i})^{T} (\mathbf{p} - \mathbf{c}_{j}) - r_{i}r_{j},$$

$$B_{ik} = (\mathbf{p} - \mathbf{c}_{i})^{T} (\mathbf{p} - \mathbf{c}_{k}) - r_{i}r_{k},$$

$$B_{jk} = (\mathbf{p} - \mathbf{c}_{j})^{T} (\mathbf{p} - \mathbf{c}_{k}) - r_{j}r_{k}.$$
(18)

The Hessian matrix of  $E_m(\beta_i, \beta_j)$  is:

$$H(E_m) = \begin{pmatrix} \frac{\partial^2 E_m}{\partial \beta_i^2} & \frac{\partial^2 E_m}{\partial \beta_i \partial \beta_j} \\ \frac{\partial^2 E_m}{\partial \beta_j \partial \beta_i} & \frac{\partial^2 E_m}{\partial \beta_j^2} \end{pmatrix}$$

$$= 2 \begin{pmatrix} A_i + A_k - 2B_{ik} & A_k + B_{ij} - B_{ik} - B_{jk} \\ A_k + B_{ij} - B_{ik} - B_{jk} & A_j + A_k - 2B_{jk} \end{pmatrix}.$$
(19)

Let us denote:

$$H_{11} = A_i + A_k - 2B_{ik},$$

$$H_{12} = A_k + B_{ij} - B_{ik} - B_{jk},$$

$$H_{22} = A_j + A_k - 2B_{jk}.$$
(20)

Since two Euclidean vectors v and w satisfy the law of cosines:

$$(v - w)^T (v - w) = v^T v + w^T w - 2v^T w,$$
 (21)

we can rewrite  $H_{11}$ ,  $H_{12}$ , and  $H_{22}$  as:

$$H_{11} = (\mathbf{c}_i - \mathbf{c}_k)^T (\mathbf{c}_i - \mathbf{c}_k) - (r_i - r_k)^2,$$

$$H_{12} = (\mathbf{c}_i - \mathbf{c}_k)^T (\mathbf{c}_j - \mathbf{c}_k) - (r_i - r_k)(r_j - r_k),$$

$$H_{22} = (\mathbf{c}_i - \mathbf{c}_k)^T (\mathbf{c}_j - \mathbf{c}_k) - (r_j - r_k)^2.$$
(22)

If we denote the 4-dimensional vectors  $\mathbf{v}_i$  and  $\mathbf{v}_j$  in Minkowski space as:

$$\mathbf{v}_{i} = [(\mathbf{c}_{i} - \mathbf{c}_{k})^{T}, (r_{i} - r_{k})]^{T}, \mathbf{v}_{i} = [(\mathbf{c}_{i} - \mathbf{c}_{k})^{T}, (r_{i} - r_{k})]^{T},$$
(23)

then  $H_{11}$ ,  $H_{12}$ , and  $H_{22}$  can be written using Minkowski inner product  $g(\cdot, \cdot)$  as:

$$H_{11} = g(\mathbf{v}_i, \mathbf{v}_i),$$

$$H_{12} = g(\mathbf{v}_i, \mathbf{v}_j),$$

$$H_{22} = g(\mathbf{v}_j, \mathbf{v}_j).$$
(24)

As shown in Figure 3 of the paper, as long as the two spheres  $m_i$  and  $m_k$  are not arranged as "one inside another", then we can guarantee  $H_{11} > 0$ . Similarly  $H_{22} > 0$  also holds for general valid configurations of  $m_j$  and  $m_k$ .

Since both  $g(\mathbf{v}_i,\mathbf{v}_i)>0$  and  $g(\mathbf{v}_j,\mathbf{v}_j)>0$ , i.e.,  $\mathbf{v}_i$  and  $\mathbf{v}_j$  are spacelike vectors in Minkowski space, they satisfy the usual Cauchy-Schwarz inequality (see Formula 3 of [14]):

$$g(\mathbf{v}_i, \mathbf{v}_i)g(\mathbf{v}_i, \mathbf{v}_i) \ge g(\mathbf{v}_i, \mathbf{v}_i)^2, \tag{25}$$

with equality holds when  $\mathbf{v}_i$  and  $\mathbf{v}_j$  are co-linear. For a general medial slab,  $\mathbf{v}_i$  and  $\mathbf{v}_j$  will not be co-linear, thus we have  $g(\mathbf{v}_i,\mathbf{v}_i)g(\mathbf{v}_j,\mathbf{v}_j)-g(\mathbf{v}_i,\mathbf{v}_j)^2>0$ .

Thus the determinant of Hessian  $H(E_m)$  is positive:

$$|H(E_m)| = 2(H_{11}H_{22} - H_{12}^2) > 0,$$
 (26)

The scalar function  $E_m$  will have a unique global minimum, and thus the footprint sphere can be solved from minimizing Eq. (17) with  $[\frac{\partial E_m}{\partial \beta_i}, \frac{\partial E_m}{\partial \beta_j}] = [0,0]$ .

## **B Minimizing ARAP Deformation Energy**

For our medial mesh  $M_s$ , the ARAP deformation energy is defined as:

$$E_d(\{\mathbf{R}_j, \mathbf{t}_j\}, \{\tilde{\mathbf{c}}_i\}) = \sum_i \sum_{j \in \mathcal{N}(i)}^n \|\mathbf{R}_j \mathbf{c}_{ij}^0 + \mathbf{t}_j - \tilde{\mathbf{c}}_i\|^2,$$
 (27)

where  $\mathcal{N}(i)$  denotes the set of indices of medial primitives  $\{C_j|j\in\mathcal{N}(i)\}$  which are connected with the medial sphere  $m_i$ .  $\mathbf{R}_j$  and  $\mathbf{t}_j$  are the rotation matrix and translation vector for medial primitive  $C_j$ .  $\tilde{\mathbf{c}}_i$  is the deformed position of the medial sphere  $m_i$ , and  $\mathbf{c}_{ij}^0$  is its corresponding center position in  $C_j$  at the rest pose.

Each medial primitive has its local coordinate system with origin on the center of the primitive. So the translation vector can be simply:  $\mathbf{t}_j = \frac{1}{3}(\tilde{\mathbf{c}}_i + \tilde{\mathbf{c}}_j + \tilde{\mathbf{c}}_k)$  for triangle  $f_{ijk}$ , and  $\mathbf{t}_j = \frac{1}{2}(\tilde{\mathbf{c}}_i + \tilde{\mathbf{c}}_j)$  for edge  $e_{ij}$  on the medial mesh. To minimize  $E_d$  in Eq. (27), the rotation matrix  $\mathbf{R}_j$  of all primitives and the medial sphere central positions  $\tilde{\mathbf{c}}_i$  need to be solved in turn iteratively.

In each iteration, if we first fix the value of  $\tilde{c}_i$ , we can minimize  $E_d$  and solve the rotation matrices  $R_j$  as follows. Let us denote  $\tilde{c}_{ij} = \tilde{c}_i - t_j$ , for each primitive  $j \in \mathcal{N}(i)$ . Then:

$$E_{d} = \sum_{i} \sum_{j \in \mathcal{N}(i)}^{n} \|\mathbf{R}_{j} \mathbf{c}_{ij}^{0} + \mathbf{t}_{j} - \tilde{\mathbf{c}}_{i} \|^{2}$$

$$= \sum_{j} \sum_{i \in \mathcal{V}(j)}^{n} \|\mathbf{R}_{j} \mathbf{c}_{ij}^{0} - \tilde{\mathbf{c}}_{ij} \|^{2}$$

$$= \sum_{j} \sum_{i \in \mathcal{V}(j)}^{n} (\mathbf{c}_{ij}^{0}^{T} \mathbf{c}_{ij}^{0} - 2\mathbf{c}_{ij}^{0}^{T} \mathbf{R}_{j}^{T} \tilde{\mathbf{c}}_{ij} + \tilde{\mathbf{c}}_{ij}^{T} \tilde{\mathbf{c}}_{ij}),$$
(28)

where  $\mathcal{V}(j)$  is the set of vertices for primitive j. Since  $\mathbf{c}_{ij}^0 \mathbf{c}_{ij}^0$  and  $\tilde{\mathbf{c}}_{ij}^T \tilde{\mathbf{c}}_{ij}$  are fixed for now, minimizing  $E_d$  is equivalent to

maximizing the following  $F_d$ :

$$F_{d} = \sum_{j} \sum_{i \in \mathcal{V}(j)} (\mathbf{c}_{ij}^{0}^{T} \mathbf{R}_{j}^{T} \tilde{\mathbf{c}}_{ij})$$

$$= trace(\sum_{j} \sum_{i \in \mathcal{V}(j)} (\mathbf{c}_{ij}^{0}^{T} \mathbf{R}_{j}^{T} \tilde{\mathbf{c}}_{ij}))$$

$$= \sum_{j} \sum_{i \in \mathcal{V}(j)} trace(\mathbf{c}_{ij}^{0}^{T} \mathbf{R}_{j}^{T} \tilde{\mathbf{c}}_{ij})$$

$$= \sum_{j} \sum_{i \in \mathcal{V}(j)} trace(\mathbf{R}_{j}^{T} \tilde{\mathbf{c}}_{ij} \mathbf{c}_{ij}^{0}^{T})$$

$$= \sum_{j} trace(\mathbf{R}_{j}^{T} \sum_{i \in \mathcal{V}(j)} \tilde{\mathbf{c}}_{ij} \mathbf{c}_{ij}^{0}^{T})$$

$$= \sum_{j} trace(\mathbf{R}_{j}^{T} \mathbf{A}_{j})$$

$$= \sum_{j} f_{d}^{j},$$

$$(29)$$

where the matrix  $A_j = \sum_{i \in \mathcal{V}(j)} \tilde{c}_{ij} c_{ij}^0$ , and  $F_d^j = trace(R_j^T A_j)$ . Since each  $R_j$  is independent of each other, we can maximize

Since each  $R_j$  is independent of each other, we can maximize each  $F_d^j$  individually. We decompose  $A_j$  using Singular Value Decomposition (SVD):  $A_j = U_j D_j V_j^T$ . Then  $F_d^j = trace(R_j^T U_j D_j V_j^T) = trace(V_j^T R_j^T U_j D_j)$ . Since  $D_j$  is a diagonal matrix, the trace achieves maximum when  $V_j^T R_j^T U_j$  is an identity matrix. So  $R_j$  can be solved as:

$$R_j = U_j V_j^T. (30)$$

After getting the rotation matrices for primitives, we can assume  $\mathbf{R}_j$  to be fixed, and minimize  $E_d$  by solving for medial sphere center positions  $\tilde{\mathbf{c}}_i$ . They can be simply solved as:

$$\tilde{\mathbf{c}}_i = \frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} (\mathbf{R}_j \mathbf{c}_{ij}^0 + \mathbf{t}_j), \tag{31}$$

where  $|\mathcal{N}(i)|$  is the number of primitives that are connected to medial sphere i.

It should be noted that in each iteration, we compute the optimal  $\mathbf{R}_j$  and  $\tilde{\mathbf{c}}_i$  in turn, and each of these steps will decrease the energy  $E_d$  until converged.