## Office of Budget and Resource Planning

### Contact Information

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<td>5371</td>
</tr>
</tbody>
</table>
What is a Budget?

A budget is the financial plan for the allocation of resources during a particular period of time. Proper budget development and control provide the ability to ensure that limited financial resources are expended in an effective and efficient manner towards achieving the University’s strategic mission.

University of Texas at Dallas Budget Development Process

Schools and divisions are responsible for updating budget information for the new fiscal year. In order to produce a balanced budget, the updated expenses must not exceed allocations, or projected revenues and carryover balances for Non-Core funds. Budget updates provided by schools and divisions include:

1. Salary information for personnel changes including pay raises, promotions, approved vacancies, title changes, funding changes and any other salary adjustments.
2. Updates of non-salary expenses for changes in account codes and distribution of funds between cost centers.
3. Development of revenue and expense projections for Non-Core cost centers.

How is this information used?

Information that is submitted in the Budget Development process is used for the following:

1. As a guide for departments, designating initial spending authority and authorized positions.
2. Original budget for the upcoming budget year effective September 1– August 31.
3. Operating Budget for UT System:
   a. Expenditures by NACUBO function, fund group, and object of expense.
   b. Supplemental reports on salaries.
Budget Development Reminders
In order for the budget development process to be successful, and to comply with all UT Dallas and UT System requirements, there are a few items to remember when completing your budget.

Two Phase Process
Budget development at The University of Texas at Dallas is a two-phase process.

- The first phase involves the review of current funding, balancing to Core allocations, and making necessary changes in revenue and expense budgeted amounts. Validation of current budgeted positions will also be completed.

- During the second phase, merit allocations are awarded to eligible individuals. This phase will open to campus users after the first phase closes.

Revenue Projections
Budgeted revenue estimates are expected to be reasonable and realistic.

Salaries
Salaries for positions which have been approved and are intended to be filled for the fiscal year being budgeted should be listed on the department’s budget submission. All vacancies and new positions must be fully funded. Salaries for all active employees must be budgeted during the budget process with the exceptions listed below:

- Employees who have submitted written notification of their intent to resign prior to the start of the fiscal year being budgeted. These positions should be budgeted as vacancies or the positions inactivated if not intended to be filled.

- Research assistants, teaching assistants, hourly workers, including students, and non-budgeted lecturers. These groups will be budgeted as lump sums.

Inactive and Frozen Cost Centers
Cost centers with a zero fund balance and zero activity projected for budget fiscal year should be inactivated or frozen. NOTE: A cost center cannot be inactivated if it has a fund balance that carries forward or if it has outstanding balance sheet or encumbrance balances.

Allowable Expenses
The Appendix at the end of this guide includes information on allowable expenses by fund code.

Current Year Information
All current year information is uploaded just prior to the opening of the budget planning module.

New Departments and New Cost Centers
Requests for new departments and new cost centers can be made by completing the appropriate form under Staff Tools/Forms in PeopleSoft.
**User Roles**
In the Budget Planning Module, there are four levels of users:

**Read Only Access**
Users with this group will have access to view the BPM but cannot make any changes.

**Campus Group User**
Users with this role have the ability to access the campus group workbook pages and add, edit, and/or delete information. Examples: Fiscal Officer, Administrative Assistant in charge of budget entry.

**Campus Group Administrator**
Users with this role have the same access to budget information as those with the Campus Group User role. However, the Campus Group Administrator will be responsible for final review of the budget in all phases, and has the authority to submit the budget to the Campus Group Executive Reviewer. The Campus Group Administrator is responsible for reviewing and approving the budget information and making the official budget submission. A Campus Group Administrator can delegate the task of submitting the workbook to another user with written authorization. For example, Deans or Directors may wish to assign the Campus Group Administrator role to their authorized designee.

**Campus Group Executive Reviewer**
The Executive Reviewer is the Division’s final approver for campus group budgets and will have the same access to the budget information as the Campus Groups Users and Administrators. This role has been initially assigned to members of the executive management team. An Executive Reviewer can delegate the task of submitting the workbook to another user with written authorization.
THE BUDGET PLANNING MODULE

Opening the Workbook:
1. From the Galaxy portal, choose Gemini Financials
2. Click on Commitment Control custom > Budget > Budget By Campus Group.

You will be presented with search prompts as illustrated in Figure 2:

✓ **TIP** Make this page one of your Favorites so it is easy to get to.

**Fiscal Year should be set as the year for which you will be budgeting.** Search for your Campus Group. You can do this by starting to type the name, by using the magnifying glass , or clicking on Search to get to the entire list of campus groups available to you.
FUNDS TOTAL - CORE TAB

This is the home page for the workbook. You will see the information illustrated in Figure 3.

There are seven tabs located at the top of each workbook, as illustrated below. The tabs can also be accessed at the bottom of each workbook page.

Campus Group Totals
This is the total for all of the Core funds for the campus group. Total is the sum of Allocations plus Merit. The Expense Budget is the total of all the Original Expense Budgets in the Fund Allocation by Campus Group section below it.

Allocations have been entered by the Budget Office and include your original allocations for the current fiscal year, as adjusted by any permanent transfers approved to date, and any changes in the base allocation authorized by executive management. Merit allocations will be released during the merit process and the pools will be based on the University merit policy. Before your workbook can be submitted, the budget must be in balance, i.e., the amount in the Difference column must equal $0.

Fund Allocation by Campus Group
This section gives you an overview of your campus group’s Core allocations by fund and identifies the remaining amounts to be distributed to the Operating Expense Budget. The Original Expense Budget is the operating expense budget and any incremental increases from the fiscal current year plus the current payroll expenses. The amount in the Difference column is Total Allocation minus Original.
Expense Budget. This shows how much you have not allocated (a positive number) or when you have allocated too much (a negative number).

**NOTE:** The Original Expense Budget **cannot** exceed the total allocation. You will not be able to submit the workbook until all amounts in the column Difference equal $0.

**TIP** Drilldown Feature: To budget your Core cost centers, you have the option to click on an underlined amount in the Original Expense Budget column on the Fund Totals – Core page or the Core/Non-Core page to view all cost centers. A click on any underlined expense will display a list of all the cost centers budgeted within that fund group. You can then use the tabs or the Show All Columns button.

**Notes**
This space should be used to provide additional information that may be helpful in explaining your budget. This is where justifications for revenue budget increases should be submitted. The Budget Office will also put messages and explanatory information in this area. Many editing functions are available, including Spell Check. You can also hide the editing tools by clicking on the arrow in the lower right corner.

**Signed In/Out Workbook**
You must sign in to your workbook before you can add information or make any changes. The authorized user may simply click on the Signed Out – Click to Sign in workbook bar to begin working on the budget. A message of Signed In – Click to Sign Out will indicate someone is signed in to the workbook. Only one user can be signed in at a time giving him or her ability to add and/or edit information. So remember to sign out after you are finished with your session. However, any user can view the workbook without being signed in. All staff with access to the campus group workbook will be able to view the workbook at all times.

**TIP** The Budget Office can help you gain access to your workbook if necessary.

**Activity Log**
Click on the Activity Log to show changes in the submittal status.

**Error Log**
If your workbook contain any errors, the Error Log icon will appear. Click on the Error Log icon to display the errors needed for correction. Once corrected the icon will disappear. Workbooks containing errors **CANNOT** be submitted.

**Notify**
Budget-related emails can be sent by accessing the **Notify** icon any workbook page. This feature can be used to alert the Campus Group Administrators, Executive Reviewers, or the Budget Office when your workbooks have been submitted or are ready for review.
**Tips** WORKBOOK NAVIGATION

**Personalize**
This tool allows the user to view only selected information. Users can determine which columns are frozen at the beginning of each row, which items can be hidden, and how the information will be sorted. Each tab can be set to fit the user's needs.

**Personalize Column and Sort Order**

Find can help you quickly locate specific information on a page.

**Find**

Find focusses on just the information in the rows and columns on the page.

**Zoom**

Zoom focusses on just the information in the rows and columns on the page.

**View all** or **View 100**

By clicking here, you will be able to scroll through rows instead of just 10 at time.

**Sort**

Any column can be sorted by clicking on the column header. A little arrow will show you what direction the data is sorted.

**Download**

Workbook information can be downloaded to Excel. Remember that your spreadsheet will not contain any formulas. HINT: You may want to hide some unwanted columns before the download to make the spreadsheet more manageable.

**Show all columns**

The Core/Non-Core tab has its own set to tabs to assist in navigating and viewing cost center information. You can either use the tabs, or select Show all columns to view all budget cost centers by using the slider bars.

**Drill Down**

You can drill down on any number that is underlined to get details on what makes up that total. For example, on the Funds Total-Core tab, clicking on the underlined number under Original Expense Budget will bring up all cost centers with that fund code.

On the Budget FY – Sal tab in Core/Non-Core, drilling down on an underlined expense total in A&P, Classified,
or Faculty will take you to Payroll and a list of all persons in that cost center with that salary type.

**CORE/NON-CORE TAB**

The Core/Non-Core section of the Budget Planning Module summarizes the budgets for all cost centers within a particular school or division (as illustrated in Figure 4). Cost centers are classified as either Core or Non-Core based on their funding source. Cost centers that receive centrally-funded allocations are considered Core. All other cost centers are considered Non-Core.

Core cost centers are centrally-allocated and funded from:
- Statutory appropriations
- Tuition (Statutory – Designated)
- Mandatory designated fees

The budgets for those cost centers marked as Core in the Fund column roll up to the funds totals in the Fund Allocation by Campus Group above and to the Original Expense Budget on the Fund Totals – Core tab.

Non-Core cost centers are generally funded from:
- Revenue
- Endowment Income
- Gifts
- Prior year balances
- Transfers from specific sources (i.e. President’s EEF funds, Indirect Cost Recovery)
All cost centers owned by the Campus Group should appear in this section, even if there is no activity for the current year. If a cost center is missing, please add it by using the Add Cost Center to Campus Group area. You can only enter active cost centers which are a part of your campus group.

**Add Cost Center to Campus Group**

If you find that a cost center is missing from your workbook in the Core/Non-Core tab, you can add the active cost center to your budget workbook. Simply enter the cost center number in the box or use the search tool to find the correct cost center.

![Add Cost Center to Campus Group](image)

**TIP** Fields in a white box can be edited (for example, Faculty Lump Sum or Hourly Wages on the Payroll tab as illustrated in Figure 5). Other fields (for example, Longevity and Benefits, Figure 5) are totals calculated from other information. Underlined fields (for example, the Classified field, Figure 5) allow you to drill down to the detail that make up this total.

![Figure 5](image)

**TIP** You must sign in to a workbook before you can edit any fields.

The Core/Non-Core tab includes a section with sub-tabs to help you navigate and look at only specific information. You can also use the Show all columns button to view all workbook columns on one tab.

The sub-tabs are illustrated and described below.

![Add Cost Center to Campus Group](image)
All budgeted items from the Budget FY – Sal, Budget FY – Op, and Budget FY – Rev, as well as from the Beginning Balance and Net Transfers tabs, are totaled on the Total sub-tab (Figure 6) to arrive at the Projected FY Ending Balance.

The Projected FY Ending Balance should be $0 for all Core cost centers. Non-Core cost centers should have balances greater than or equal to $0. A negative year ending balance cannot be budgeted.

Projected FY Ending Balance = Projected Beginning Bal + Budget FY Revenue – Budget FY Expense +/- Net Transfers

**Budget FY – Rev**

Any revenue that is expected to be received in the upcoming budget year should be entered in the appropriate account code column of the Budget FY-Revenue sub-tab for Non-Core cost centers (Figure 7). The Budget Office will enter Endowment Interest and Indirect Cost Recovery as directed by Advancement and Research.

Budgeted revenue estimates are expected to be reasonable and realistic.
Budget FY - Sal

This tab (Figure 8) summarizes information from the Payroll and Supplements tabs.

<table>
<thead>
<tr>
<th>Fund</th>
<th>Current FY</th>
<th>A&amp;P Staff AS011</th>
<th>Faculty AS021</th>
<th>Total Supplements</th>
<th>Faculty Lump Sum - AS022</th>
<th>Lecturers AS024</th>
<th>Teaching Assistants AS027</th>
<th>Research Assistants AS020</th>
<th>Classified AS041</th>
<th>Hourly Wages AS050</th>
<th>Hazard Pay AS062</th>
<th>Longevity AS061</th>
<th>Benefits AS006</th>
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<tr>
<td>44 Core</td>
<td>3000</td>
<td>$36,633</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$327,233</td>
<td>$12,230</td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>45 Core</td>
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<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>46 Core</td>
<td>3000</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>47 Core</td>
<td>3000</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<td>$0</td>
</tr>
<tr>
<td>48 Core</td>
<td>3000</td>
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<td>$150,000</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
</tbody>
</table>

Figure 8

A&P, Faculty, and Classified salary amounts roll up to this tab from the information on the Payroll tab, and the Supplements amounts come from the information on the Supplements tab. Cost center budgets for Faculty Lump Sum, Lecturers, Teaching Assistants, Research Assistants, and Hourly Wages (for students and temporary workers) should be entered as lump sums in the appropriate editable fields on this sub-tab.

✓ TIP Using the Drilldown feature. In this section you can access additional detail by clicking on any amount that is underlined. For example, if you click on an amount in the A&P Staff AS011, the application will display those employees on the Payroll tab whose salaries make up that amount. Clicking Return will take you back to the Core/Non-Core page for that cost center.

✓ TIP Be sure to save any changes you made before hitting Return.

The fields that can be populated or edited here are:

- Faculty Lump Sum: This code is used for salaries for future faculty members. For use by the Provost Office only.
- Lecturers: Budgets for Lecturers I, II, and III. These are non-budgeted positions. Enter the lump sum total here.
- Teaching Assistants: Those with Job Code S00061. Lump sum for individuals employed in positions which require student status as a condition of employment, such as student assistants and teaching assistants.
- Hourly Wages: Budgeted amount to pay student employees other than TAs and RAs, and temporary workers.
- Longevity, Hazard Pay, and Benefits are also summarized from the Payroll tab. Amounts are only shown for those cost centers that must pay for these expenses.

Budget FY - Op

This tab (Figure 9) is to be used to enter budgets for non-payroll items, such as travel, M&O, and unallocated expenses. Scholarship expenses should be budgeted separately from M&O expenses.

<table>
<thead>
<tr>
<th>Fund</th>
<th>Current FY</th>
<th>Budget FY - Sal</th>
<th>Travel - AS000</th>
<th>Maintenance &amp; Operations</th>
<th>Scholarships</th>
<th>Capital</th>
<th>Library Materials - AS700</th>
<th>Unallocated - AS899</th>
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<td>1 Core</td>
<td>2201</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<td>$23,830</td>
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<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$3,352</td>
</tr>
<tr>
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<td>3000</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

Figure 9

✓ TIP Expenses should be accurately budgeted and classified. Refrain from using Unallocated if at all possible.
Current FY
The original revenue and expense budget for the current year for all non-salary accounts is presented on this tab (Figure 10).

<table>
<thead>
<tr>
<th>Fund</th>
<th>Current FY</th>
<th>Budget FY - Sal</th>
<th>Budget FY - Op</th>
<th>Budget FY - Rev</th>
<th>Total</th>
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<tr>
<td>421</td>
<td>Non-Core</td>
<td>$107,395.00</td>
<td>$107,395.00</td>
<td>$107,395.00</td>
<td>$0</td>
</tr>
<tr>
<td>422</td>
<td>Non-Core</td>
<td>$81,372.00</td>
<td>$81,372.00</td>
<td>$81,372.00</td>
<td>$0</td>
</tr>
<tr>
<td>423</td>
<td>Non-Core</td>
<td>$750.00</td>
<td>$750.00</td>
<td>$750.00</td>
<td>$0</td>
</tr>
<tr>
<td>424</td>
<td>Non-Core</td>
<td>$10,596.00</td>
<td>$10,596.00</td>
<td>$10,596.00</td>
<td>$0</td>
</tr>
</tbody>
</table>

Figure 10

CC Info
This tab (Figure 11) provides general information about all cost centers assigned to the campus group and includes fund and department. The fields on this sub-tab are not editable. If you find an error, please contact the Budget Office.

<table>
<thead>
<tr>
<th>Fund</th>
<th>Cost Center</th>
<th>Description</th>
<th>Department</th>
<th>Department Description</th>
</tr>
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<tr>
<td>1 Core</td>
<td>31330001</td>
<td>ATEC SUPPLEMENTAL</td>
<td>3010</td>
<td>ATEC</td>
</tr>
<tr>
<td>2 Non-Core</td>
<td>31330003</td>
<td>STARTUP CENTRAL - MALINA</td>
<td>3010</td>
<td>ATEC</td>
</tr>
<tr>
<td>3 Core</td>
<td>31331002</td>
<td>INFRASTRUCTURE ATEC</td>
<td>3130</td>
<td>ATEC</td>
</tr>
</tbody>
</table>

Figure 11

PAYROLL TAB

Use the Payroll tab to make adjustments to employee assignments or to add an employee and/or vacant position to your campus group.

The Payroll tab is divided into five sub-tabs: Budget Data, Job Data, Benefits, Merit and Details.

✓ Tip For information on salary or position changes, please refer to the University of Texas at Dallas Compensation Standards & Practices document accessible from the following URL: http://www.utdallas.edu/hr/compensation/standards/.

Only budgeted employees and positions should appear on the Payroll tab. Budgeted employees include permanent A&P, Classified, and Faculty positions. Budgeted faculty positions include: Professor, Associate...
Professor, and Assistant Professor; Research Scientists and Research Scientist Faculty; Senior Lecturer I, II, and III; Visiting Professor, Instructor, Research Associate, Clinical, Adjunct, and Research Professor; Endowed Professor and Endowed Chair; and Professor with Distinguished Title.

Budgets for Lecturers I, II, and III, Teaching Assistants (TAs), Research Assistants (RAs), student workers, and temporary employees should be budgeted as a lump sum on the Budget FY – Sal sub-tab of the Core/Non-Core tab (Figure 8).

If an active employee is missing from the Payroll worksheet, follow the steps in the Adding New/Vacant Positions section below.

✓ TIP The use of navigation tools like Find, Sort, and Personalize may be helpful when working in the Payroll tab.

Editable Fields: Information in a rectangle field can be edited. You can also click on the icon in the Edit column (Figure 13) to reveal the add/edit position information template. Allowable edits include: position number, total FTE, pay rate, job dates, cost centers, distribution percentage, and funding dates.

Edit/ Edit All

Edit: Select this option (Figure 13) to add/edit a single position.

![Figure 13](image)

After clicking Edit, the following form (Figure 14) will be displayed. You will be able to make changes in the editable fields.

![Figure 14](image)
**Edit All:** You can edit multiple positions by accessing the Edit All button (Figure 15):

![Figure 15](image)

Select Edit Multiple Positions

![Edit Multiple Positions](image)

Then click on the forward and backwards arrows

![Find View All](image)

Or click on View All

![Find View All](image)

You will then be able to scroll down to see all budgeted positions.

**Note:** If Edit All and then View All options are selected, the form illustrated in Figure 16 will appear for each individual position.

![Figure 16](image)
Note: You cannot change the salary on the Edit/Edit All screens. Any salary changes must be made in the Budget FY Salary Adjustment column on the Payroll Merit sub-tab (please see the Salary Adjustments section and Figure 28).

Budget Data

Inactive/Vacant: This column (Figure 17) is used to change the status of a position to inactive or vacant. Select your option by clicking on the drop down.

![Figure 17](image_url)

Inactive: Select this option if the employee will not be employed by the University in any capacity and you no longer need the position for the upcoming year. You must also submit a termination form to HR.

![Figure 18](image_url)

When you elect to inactivate a position, you will receive a warning (Figure 18). When inactivating a position, please ensure that you want to change this position to Inactive. Once changed and saved, the change is permanent and the funds for the position will be available for use elsewhere. If you save the inactivation election in error, you will have to re-enter the position.

Vacant: Select this option if the employee will not be in this particular position but you want to retain the position and the budget for the upcoming fiscal year.
When electing to make a position vacant, you will be prompted with a warning (Figure 19). Please ensure that you want to change this position to Vacant. Once changed and saved, the change is permanent and the funds are budgeted for the vacant position. If you saved the vacant election in error, you will have to re-enter the position and all related information.

**Funding Changes**

**Budget FY Distribution %**: Total distribution for a position cannot exceed 100%. If a distribution greater than 100% is entered, the error (Figure 20) will be recorded in the error log. The distribution percentage must be corrected in order to submit the budget workbook.

*Please note: You cannot change the total annualized FTE. Once the Budget FY Distribution % is corrected the annualized FTE will automatically correct.*

**Budget FY Cost Center**: Use the Budget FY Cost Center column (Figure 21) to change the cost center for an existing employee. A drop down menu is available for your use by clicking on the magnifying glass. Only those cost centers in your campus group will be available for selection.

**Adding/Deleting an Additional Funding Source within Your Campus Group**
If an employee or position salary is to be split among two or more campus groups for the upcoming budget year, use the Edit button for the appropriate row within the Payroll tab to access the detail screen similar to that illustrated in Figure 22.

Next, click on the button to add another row and make the necessary funding changes. As illustrated in Figure 23, funding for Position 21206 was split between two cost centers.

✓ **TIP** Be sure to save before you click return.

To delete a funding source from a position funded by multiple sources within your campus group, click Edit, make your changes to the information in the line for the funding you are keeping, and then delete the other line.

**Split Funding**

If this icon appears in the Split Funding column then the funding for this position is shared between two campus groups (as illustrated in Figure 24).

Click on the Split Funding icon and the complete split funding information for the employee will appear (Figure 25).
Payroll-related changes for split-funded positions among multiple campus groups will require coordination among the budget preparers for all campus groups to assure that funding as well as FTE and distribution are correct for that employee. Regardless of how many campus groups support the funding for a position, the position’s FTE cannot exceed 1.00.

If this icon appears in the Split funding column then the funding for this position is shared between cost centers within the campus group (as illustrated in Figure 26).

Click on the Split Funding icon and the complete split funding information for the employee will appear (Figure 27).
**Job Data**

**FTE:** This is the percent of time worked and should equal the total FTE for this employee, including all salary splits. If the calculated FTE for an employee is greater than 1.00, a warning message as illustrated in Figure 28 will be displayed. The user will need to click “OK” and correct the FTE for the employee noted. The workbook cannot be submitted until the error is corrected.

![Figure 28](image1)

**Benefits**

**Benefits, Longevity, and Hazardous Pay:** For all current employees, actual information is provided. Cost amounts for benefits (health insurance and retirement) for those employees who are paid on cost centers that self-fund these expenses are identified by a check mark in the Benefits Charged to this Cost Center column (as illustrated in Figure 29) for positions 12600 and 27029. This information cannot be updated on the Payroll worksheet.

If a position is vacated, the benefits will automatically be calculated. Longevity and Hazard Pay will default to $0. If you need more detailed information concerning these items, please contact the Budget Office.

Employees whose benefits are paid from central University benefit pools will show a Total Benefit Cost of $0.

Longevity and Hazard Pay are based on the amount the current employee will be eligible to receive in the upcoming fiscal year.

![Figure 29](image2)
Merit

Salary Adjustments: If you need to make an adjustment to the salary, make the change in the Budget FY Salary Adjustment column (see Figure 30). You will need to enter a positive or negative number that, when combined with the current salary, will equal the desired salary for the current budget year. This field is NOT to be used for any merit adjustments.

![Figure 30](image)

MERIT

The merit portion of the workbook is in the far right columns of the Payroll tab or you can use the Merit sub-tab (Figure 31).

![Figure 31](image)

The merit process will take place in the second phase of budget development. A campus group’s merit pool is based on the budgeted salaries of eligible positions as balanced and submitted during the first phase. The calculated pool amount excludes vacant positions. As defined in Texas Education Code Section 51.962, an employee must have been employed by the institution for at least six consecutive months immediately preceding the effective date of the increase to be eligible for a merit increase, and at least six months must have elapsed since the employee’s last merit salary increase. For questions on merit eligibility, please refer to the University of Texas at Dallas Compensation Standards & Practices guide available at the following UR: http://www.utdallas.edu/hr/compensation/standards/.

Merit is allocated by Core and Non-Core funds. Allocations can be exceeded by using M&O or Unallocated funds, as long as the cost center and/or fund remain in balance.

Allocated Merit: This is the amount of your merit pool (Figure 31).

Unassigned Merit: This is the amount of the pool still available for distribution (Figure 31).
Merit can be added as a dollar amount (ex. $500) in the Merit column, or a specific percent increase in Merit Increase Percent column. Enter merit adjustments in either column. Vacancies are not eligible for merit awards, and are automatically checked as Not Eligible for Merit. You can also mark any other positions that are not eligible for merit. Amounts can still be added for pay plan, exceptional merit, increases related to promotions, or market and equity adjustments.

If an employee’s salary is split between two or more cost centers, please ensure that the merit percentages in each Campus Group are the same. Employees will have an icon in the Split Funding column of the Budget Data mini tab if the salary is split between cost centers within the campus group. Clicking on this will allow you to see the merit for all cost centers. Coordination between departments will be needed if an employee’s salary is split with another department. These employees will have an icon in the Split Funding column and will show the merit awarded by each group. Total merit for that employee should equal the increase from Department A plus the increase from Department B; the total FY17 annual rate at 100% will be the same for both departments.

Other adjustments: Any budget year salary increases for market and equity adjustments, promotion, and/or exceptional merit, as well as one time merit awards, will require a written request submitted to the Provost or President. Upon authorized approval, the Budget Office will enter all approved adjustments into the workbook and make any necessary adjustments to allocations or budgets. Any necessary adjustments due to changes in the Pay Plan will be made by the Budget Office with guidance from Human Resources.

Other column: FOR BUDGET USE ONLY

Budget FY Salary Increase and Total Increase Percent: These columns are automatically updated and include adjustments from all sources.

Details

Line Comments: A place for explanatory comments is available for each line of the Payroll tab. Editable if the employee’s home department has changed due to a transfer, departmental reorg or split.

Home Department: Editable if the employee’s home department has changed due to a transfer, departmental reorg or split.

Adding New/ Vacant Positions:
Follow the steps in this section when budgeting for new positions, vacancies, or when adding additional funding lines for an existing employee.

NO positions can be added to your budget unless they have been approved and assigned a position number. Requests for new staff positions, replacements for existing positions, and reclassifications should be made through the Office of Human Resources using a Position Review and Request Form (PRR). Requests for new faculty positions are made through the Office of the Executive Vice President and Provost.

You can add positions in two ways (Figure 32):

1. By clicking on the sign on the Payroll tab listing all employees, which will insert a new row. Once the row is created then enter the appropriate information.

2. Click on Add Position
Note: If you click on the button, the form illustrated in Figure 33 will be displayed:

Follow the guidelines below when entering information to this form. Several of the fields include drop down menus (identified by the magnifying glass next to the field) to help in your selections.

All fields marked with an asterisk (*) require information, except UTD ID.
Position Number: Enter the assigned Position Number or select from the drop down menu by clicking on the magnifying glass. All positions must have a position number in order to be included in the budget. If you’re entering a vacant position, enter or select the position number and then click on the ADD VACANCY button. The following fields automatically be entered: UTD ID, FTE, Job Start/End date, Funding Start/End date, Distribution %, Medical Election and Medical Cost at 100%.

UTD Employee ID: Must enter if the employee is currently employed with the University. If the entered position is vacant, leave blank.

Employee Record: Does not need to be updated.

FTE: This is the percent of time worked and should equal the total FTE for this employee, including all salary splits. Enter a number between 0.01 and 1.00. The FTE cannot exceed 1.00. If the FTE exceed 1.00 you will receive a warning message (see Figure 28).

Current FY Pay Rate: Enter current fiscal year pay rate of the employee/position. If the position is new for FY17 enter the budgeted pay rate.

Hazard Total: Does not need to be updated.

Longevity Total: Does not need to be updated.

Cost Center: Enter the funding Cost Center for your campus group or select from the list of cost centers available by clicking the magnifying glass.

Fund Code: The fund code will automatically be entered based on the cost center selected.

Job Code: Enter the job code or select from the list displayed after clicking on the magnifying glass.

Job Start and End Dates: Please enter the job start and end dates. Most jobs should start September 1 of the budget year. Summer assignments begin June 1. The end date will be 05/31 for nine month employees, and 08/31 for 12 month employees.

Comp Frequency: The Comp Rate is the frequency at which the employee will be paid. Click on the magnifying glass next to this field for a list of Comp Rate codes. If you’re not sure which comp rate code to select, please leave this field blank. The most commonly used Comp Rate codes are:

- Classified and A&P staff = M (paid every month for 12 months)
- Faculty and lecturers = M9 (paid over 9 months)

Pay group: Click on the magnifying glass next to the Pay Group field for a list of valid Pay Group codes. If you’re not sure which pay group to select, please leave this field blank. Below is a list of commonly used Pay Groups codes:

<table>
<thead>
<tr>
<th>Pay Group</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>MOA</td>
<td>DAL-CONTRACT 9/12 MONTH</td>
</tr>
<tr>
<td>MOC</td>
<td>DAL-CONTRACT 9 MONTH</td>
</tr>
<tr>
<td>MOE</td>
<td>DAL-MONTHLY EXEMPT</td>
</tr>
<tr>
<td>MON</td>
<td>DAL-MONTHLY NONEXEMPT</td>
</tr>
</tbody>
</table>
**Funding Start and End Dates:** Enter the date that the funding for the position will begin and end in the upcoming budget year.

**Distribution %:** Enter a number between 0.01 and 100. This is the cost center’s allocation share for the employee. The Distribution **cannot** exceed 100%. If the Distribution exceeds 100% you will receive a message in the error log (See Figure 20).

**Account Code:** This will automatically populate based on the Job Code selected.

- 51011  Administrative and Professional Salaries
- 51021  Faculty Salaries
- 51024  Sr. Lecturers
- 51041  Classified Salaries

**Retirement Code:** TRS or ORP. You may leave this field blank if you are not sure of the election.

**Medical Election:**

<table>
<thead>
<tr>
<th>Elections</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>EE</td>
<td>Employee Only</td>
</tr>
<tr>
<td>EE+CH</td>
<td>Employee and Child</td>
</tr>
<tr>
<td>EE+FA</td>
<td>Employee and Family</td>
</tr>
<tr>
<td>EE+SP</td>
<td>Employee and Spouse</td>
</tr>
</tbody>
</table>

If you do not know the correct medical election, please leave the field blank. If a position is marked as Vacant, the medical benefits will default to EE + CH.

**Medical Cost at 100%:** This will automatically populate based on the Medical Election selected.

Once all of the payroll information is entered, click on the Save button. After saving the information, click on the Return button, go to the Core/Non-Core tab, and click on the **Recalculate Rollups** (Figure 34).
**SALARY ROSTER**

The Salary Roster is a report that generates the total salary by employee within your campus group. Even if the employee is split funded it will be included in the total salary.

Click on Commitment Control custom > Budget > Budget Reports

![Figure 35](image)

You will be presented with the following prompt when you click search. Select **bud** as Illustrated in Figure 36:

![Figure 36](image)

You will be presented with the following prompt. Click Run as Illustrated in Figure 37:
Then select Salary Roster Report and click OK (Figure 38). Figure 37 will appear again and then you'll need to click on Process Monitor. After clicking on Process Monitor and it will take you to Figure 39.
Once the process Run Status says **Success** and the Distribution Status says **Posted** click on Details

![Figure 39](image)

Click on View Log/Trace (Figure 40).

![Figure 40](image)

Click on UTDBP035.xls (Figure 41).

![Figure 41](image)

**TRANSFERS TAB**

The Transfers tab (as illustrated in Figure 42) lists all funds transferred both to and from the campus group.
cost centers. Campus groups can enter transfers to and from cost centers within their departments. All other transfers will be loaded by the Budget Office.

All amounts in the **From Amount** must be entered as a negative number. Amounts for the **Target Cost Center** will automatically populate once the Transfer From amount is entered. These amounts are then populated in the Total sub-tab in the Core/Non-Core tab.

Only cost centers which belong to the campus group may be used. The cost center may be selected from the drop down list or may be entered manually.

When a fund transfer is needed to or from a cost center outside of your campus group, please contact the Budget Office for assistance.

**BEGINNING BALANCE TAB**

The Beginning Balance Worksheet (as illustrated in Figure 43) is provided to assist in projecting the ending balance of the current year that will be carried forward as the beginning and budgeted balance for the upcoming budget year. Only Non-Core cost centers are listed on this worksheet. Anticipated carry forward balances from Core cost centers cannot be budgeted during this budget process.

Using the current year beginning balance and current fund balances as of the date the budget workbooks were opened and provided for reference, estimates of the year-end carry over balance can be entered here for all Non-Core cost centers. The amount in the Budget FY Projected Beginning Bal column is linked to the Total sub-tab in the Core/Non-Core section.

Please feel free to add any comments that help explain or clarify the balances.

**REMEMBER:** Cost centers cannot end the fiscal year with a negative balance. If the projected balance shows a deficit, the cost center manager will need to either budget a transfer of funds to the cost center to cover the deficit or move expenses to a different cost center before the end of the current fiscal year. The Beginning Balance is defaulted to $0 to prevent any negative balances from carrying forward in the budget.

**SUPPLEMENTS TAB**

This section is for **Salary Supplements** only. The Supplements tab (illustrated in Figure 44) contains information for any supplemental payroll items for employees in your campus group, and reflects current year expenses. Please verify this data and make any necessary changes. The distribution for any supplements that are split between cost centers must equal 100%. If you need to add a salary supplement, click on the [+] sign to add a line, and provide the appropriate information. If an employee is no longer receiving a supplement amount, change the amount to zero. Do not delete the row. The totals for this tab roll up to Budget FY – Sal sub-tab on the Core/Non-Core tab.
The File Attachments Tab (Figure 45) can be used to include supporting documents for the campus group budget. Explanatory emails, documents, etc. which will confirm or clarify budget information can be uploaded here. Reference to any attachments can be put on the Notes section of Fund Totals - Core or in any of the Comments sections of the tabs.

Some examples of attachments the Budget Office will add:

- IDC List for any campus groups that receive indirect cost funds. This information is provided by the Office of Research and is estimated of money that will be available for these Non-Core cost centers.
- Endowment Report: This report contains preliminary estimates of long-term funds accessible in the budget year. The Office of Development and Alumni Relations is the source for this report. Only those departments with Endowment income will have this attachment and revenue as part of their campus group workbook.
SUBMITTING THE WORKBOOK

Once all of the work on the budget is complete, including merit, the balancing of the Core allocations and the clearing of all errors on the Error Log, it must be reviewed by the Campus Group Administrator (the appropriate dean, director, or vice president). If the administrator has designated another staff as an administrator to submit the workbook in his or her stead, a Budget Submission form needs to be signed, attesting that the administrator has reviewed the budget information and approves it.

To submit a workbook, The Campus Group Administrator (or designee) should click the area “Submit Phase P1 for Executive Member to review” on the Funds Total – Core page (see Figure 46). The workbook will then be locked for editing by the campus group users until the merit phase opens.

If the Core funds are not in balance, you will get an error message indicating which fund(s) exceed the allocations (illustrated in Figure 47).

Click Ok, and fix the allocation for the fund(s) noted. Once corrected, you may submit the workbook.
**APPENDIX: QUALIFIED EXPENDITURES FOR BUDGETS BY FUND CODE**

**State Appropriations:** In general, there is great flexibility in spending appropriated funds. The educational and general appropriations may be expended for the following purposes, including, but not limited to: Instruction; Research; Public Service; Academic Support; Student Services; Institutional Support; Operation and Maintenance of Plant; Scholarships; Staff Benefits; and Organized Activities.

Major repairs and rehabilitation of buildings and facilities may be purchased from appropriated funds, but may not be purchased from general revenue funds that are not expressly identified or allocated for such purposes. The use of appropriated funds is prohibited for auxiliary enterprises such as intercollegiate athletics and for travel expense incurred outside Texas for the purpose of direct recruitment of students.

The expenditures listed below are not allowable on cost centers funded by state appropriations (fund codes 2000-2999):

- Food purchases, except for meal per diems associated with approved business travel.
- Alcohol purchases, which are never permitted with state funds even if associated with meals while on approved business travel.
- Expenses associated with holiday functions.
- Flowers and non-cash gifts.
- Employee achievement awards (length of service and retirement awards).
- Memberships to country clubs or exclusive dining clubs.
- Charitable donations.

**Designated Tuition and Fees:** Alcohol purchases are not allowable on cost centers funded by student fees (fund codes 3000-3001 and all student fee fund codes)

- Alcohol purchases are not allowed on any cost center that includes student fees.

Along with Designated Tuition, significant additional University resources are derived from five stipulated fees, the **Instructional Infrastructure Fee**, the **Information Technology Fee**, the **Undergraduate Advising Fee**, the **Library Services Fee** and the **Records Processing Fee**. Unlike Designated Tuition funds, funds derived from these stipulated fees must be expended in accordance with the purposes for which the fees are charged. In particular, they cannot be expended directly for instruction.

**Instructional Infrastructure Fee:** Capital, Maintenance and Operation, and Personnel costs that support Instruction. (Fund code 3108)

Examples of appropriate uses are provision of furnishing, and maintaining of classrooms, instructional equipment to faculty, and equipping, supplying, maintaining and staffing of instructional laboratories.

Inappropriate uses would include support of faculty research not directly tied to instruction, faculty salaries themselves, general departmental operations other than those described above, travel, and general University operations not directly tied to instruction.

**Information Technology Fee:** Capital, Maintenance and Operation, and Personnel costs related to information technology. (Fund code 3107)

Appropriate uses include essentially everything involved in the processing, transmitting and storing of “bits” or “digits” – software, hardware, personnel charges necessary to maintain computers and networks, and the administration and operation of the Information Resources division.
Inappropriate uses include costs of academic department telephones, non-information processing devices tied to computers, such as projectors.

**Overlaps:** There are obviously significant overlaps between the appropriate uses of funds from Instructional Infrastructure and Information Technology Fees, but also some significant mutually excluded uses. For example, instructional computer laboratories could be funded from either fee, as could faculty computers for use in instruction. However, laboratory chemicals for course instruction could only be funded from the Instructional Infrastructure fee, while the costs of maintaining the central campus network could only be funded from the Information Technology fee.

**Undergraduate Advising Fee:** Personnel and some Maintenance and Operations costs of providing advisement to students on academic and career issues. (Fund code 3102)

Appropriate uses include all costs (other than housing and basic utilities) of providing advising on curricular planning, choices of major and electives, and post-graduation career choices. Appropriate personnel include professional advising staff and support staff, along with fractions of faculty and academic administration effort allocated to similar advising activities or supervision thereof.

Inappropriate uses would include direct instructional costs and costs of general operations of academic instructional units.

**Library Services Fee:** This fee can be used to defray costs directly related to library services. Proceeds from the fee can be used for materials, equipment, and services related to the library, including acquisitions, operating expenses, library capital improvements, and salaries and benefits for library staff. (Fund code 3105)

**Student Services Fees:** These funds may only be used for the specific intended purposes of the fee. (Fund code 4XXX)

**Restricted Funds and Gifts:** These types of funds can only be used for the specific intended purpose of the funding. (Fund code 5XXX)